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Study of Aerosol/Cloud/Radiation Interactions over the ARM SGP Site

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While considerable advances in the understanding of atmospheric processes and feedbacks in the climate system have led to a better representation of these mechanisms in general circulation models (GCMs), the greatest uncertainty in predictability of future climate arises from clouds and their interactions with radiation. To explore this uncertainty, cloud resolving model has been evolved as one of the main tools for understanding and testing cloud feedback processes in climate models, whereas the indirect effects of aerosols are closely linked with cloud feedback processes. In this study we incorporated an existing parameterization of cloud drop concentration (Chuang *et al.*, 2002a) together with aerosol prediction from a global chemistry/aerosol model (IMPACT) (Rotman *et al.*, 2004; Chuang *et al.*, 2002b; Chuang *et al.*, 2005) into LLNL cloud resolving model (Chin, 1994; Chin *et al.*, 1995; Chin and Wilhelmson, 1998) to investigate the effects of aerosols on cloud/precipitation properties and the resulting radiation fields over the Southern Great Plains.

Our first focus on this aspect is to compare the simulated aerosol properties to the ARM measurements. Figure 1 presents the calculated aerosol optical depth (AOD) at 355 nm using NCAR CAM3 (GCM output at $1^\circ \times 1.25^\circ$, 26 layers) as well as NASA GEOS3 2001 (assimilated data at $1^\circ \times 1^\circ$, 48 layers) meteorology over SGP site together with those from the ground based Raman lidar (Ferrare *et al.*, 2001). The simulated seasonality of AOD using CAM3, in general, agrees with measurements though the magnitude is slightly lower. On the contrary, simulated AOD using GEOS3 shows a very different pattern from measurements. The nonlinear dependence of AOD on relative humidity diagnosed from large-scale variables may be a possible explanation since the simulated aerosol burdens alone can not explain for the discrepancy. To further explore this issue, we present in Figure 2 the simulated aerosol column burden as well as the “derived” AOD over SGP site as a function of relative humidity. We found that the “derived” AOD from aerosol burden using GEOS3 has a much better agreement with measurements when RH = 95%. This does not imply that the relative humidity at SGP site should be 95% all year; instead it demonstrates the important role of relative humidity in the comparison of model simulations and measurements.

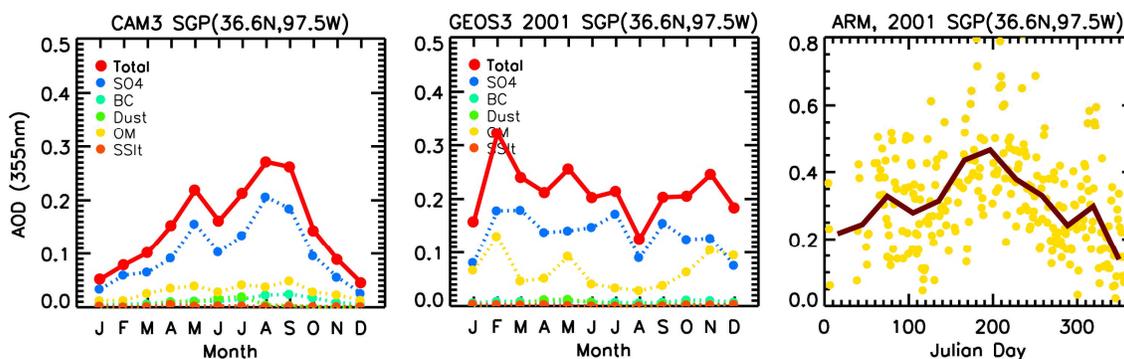


Figure 1. Simulated seasonal variations of aerosol optical depth at 355 nm over the SGP site using CAM3 (left) and GEOS3 2001 (middle) meteorology. Also shown are the measurements from Raman lidar (right) with scattered circle for daily average and solid curve for monthly average.

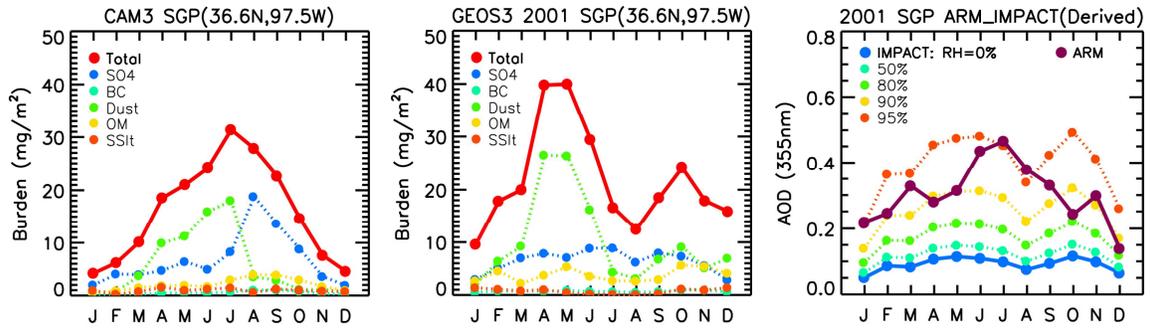


Figure 2. Simulated seasonal variations of column burden of aerosols over the SGP site using CAM3 (left) and GEOS3 2001 (middle) meteorology. Also shown on the right are the ARM data and the “derived” AOD as a function of relative humidity from the aerosol burden simulated with GEOS3 2001.

The simulated aerosol concentrations and components over the SGP site are incorporated into the 2D version of LLNL non-hydrostatic, fully compressible cloud resolving model (CRM) to study the impacts of aerosols on the optical and microphysical characteristics of clouds. In this study, the cloud model simulates a squall-like precipitation system passing through the central facility of ARM SGP site on June 19, 2004 (Figures 3a–3d). Model framework is set up with varied horizontal (2 km in the central 900-km area with 50 stretching grids on both sides) and vertical resolution (50 m near the ground and gradually increased to 600 m at 3.3 km) with top layer at 20.7 km. The initial condition at 1400 UTC (i.e., 9 AM) is modified from the 1130 UTC sounding at the central facility as shown in Figure 3e. Though the convective available potential energy (CAPE), $620 \text{ m}^2 \text{ s}^{-2}$, is small in this case, the treatment of prognostic surface energy is able to destabilize and moisten the environment near the ground and leads to the development of a squall-like precipitation system with substantial wind shear in the lowest 3 km. The vertical profiles of natural (SO4b, OCn, submicron dust, and sea salt) and anthropogenic (SO4a, OC, and BC) aerosols applied into CRM are those monthly averages for June and are shown in Figure 4a, while the interrelationship between anthropogenic sulfate, aerosol number, updraft velocity, and liquid cloud nucleation described by *Chuang et al.* (2002a) is given in Figure 4b.

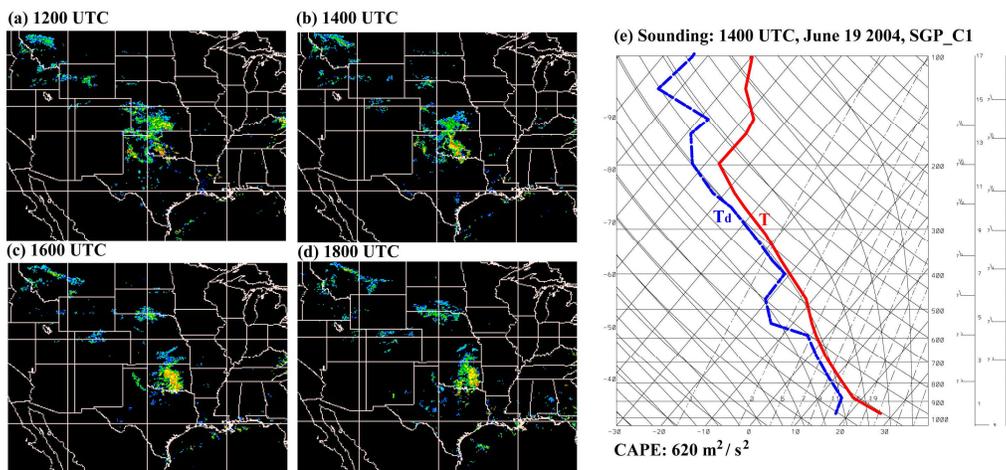


Figure 3. (a) - (d) Radar reflectivity of the selected precipitation system passing through the central facility of ARM SGP site on June 19, 2004. (e) Initial sounding. The horizontal velocity shown on the right is the normal-line component of winds, parallel to the propagation of rain band.

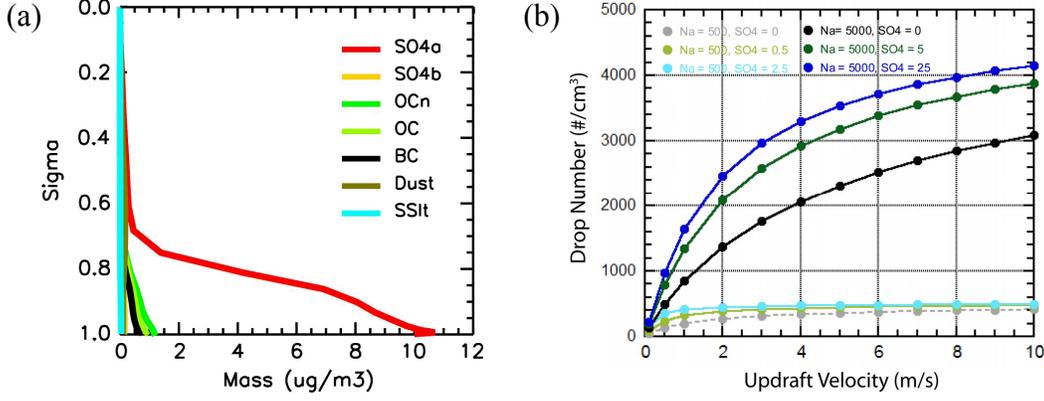


Figure 4. (a) Simulated vertical profiles of aerosol concentrations and components over the SGP site for June. (b) Variations of the predicted drop number concentrations with aerosol number, anthropogenic sulfate, and updraft velocity.

We examine how increases in cloud optical depth associated with aerosols impact shortwave and longwave radiative fluxes. We also study in detail the response of cloud microphysics to different aerosol characteristics. Additionally, we investigate the sensitivity of surface precipitation rate to different parameterizations of autoconversion (the process of forming raindrops by collisions and coalescence of cloud drops). Table 1 lists the experiments carried in this study. Four different parameterizations for autoconversion process are shown in the following.

$$\text{Kessler (1969):} \quad P_{Raut} (s^{-1}) = k (l_{cw} - l_{cwc}) \quad \text{Eq.(1)}$$

$$\text{Berry (1968):} \quad P_{Raut} (s^{-1}) = \frac{\rho l_{cw}^2}{1.2 \times 10^{-4} + \frac{1.569 \times 10^{-12} N_d}{D_o \rho l_{cw}}} \quad \text{Eq.(2)}$$

$$\text{Beheng (1994):} \quad P_{Raut} (s^{-1}) = \frac{6 \times 10^{25} n^{-1.7} N_d^{-3.3} (\rho l_{cw})^{4.7}}{\rho} \quad \text{Eq.(3)}$$

$$\text{Chen and Cotton (1987):} \quad P_{Raut} (s^{-1}) = C \frac{\rho}{\rho_w} l_{cw}^2 \left(\frac{\rho}{\rho_w} l_{cw} N_d \right)^{\frac{1}{3}} H(r_{vl} - r_{vlc}) \quad \text{Eq.(4)}$$

Table 1. List of physics processes used in sensitivity experiments.

Physics \ Experiment	Control	1	2	3	4	5	6
Autoconversion	Kessler	Berry	Berry	Beheng	Beheng	Chen & Cotton	Chen & Cotton
Aerosols	N/A ¹	Natural	N + A ²	Natural	N + A	Natural	N + A
Cloud Drops	Fixed	Predicted	Predicted	Predicted	Predicted	Predicted	Predicted

¹ N/A: Not Applied; ² N + A: Natural + Anthropogenic

The control simulation prescribes the effective radius of liquid cloud droplet and does not explicitly account for aerosol effect on clouds. Other experiments apply cloud drop parameterization and predict the temporal and spatial variations of drop effective radius and concentration. Although processes associated with ice cloud remain unchanged in all experiments, it is possible that the properties of ice cloud can be influenced through processes between liquid and ice phases. Additionally, an identical threshold value of cloud water mixing ratio ($l_{cwc} = 1 \text{ g kg}^{-1}$) is applied to these four autoconversion parameterizations even though individual scheme may have its own optimal value for different application.

(a) *Effects of Aerosols on Cloud Optical Properties*

The optical depth of liquid cloud is calculated as follows:

$$\tau_l = \sum_{k=1}^K \Delta h_k w_{L,k} \left[a_l^i + \frac{b_l^i}{r_{el}} \right] \quad \text{Eq.(5)}$$

where the index k represents cloud layer, Δh_k is the thickness of each cloud layer, $w_{L,k}$ is the cloud liquid water content. The spectral intervals (denoted by the superscript i) and coefficients are defined in *Fu and Liou (1993)*. We examine how increases in cloud optical depth associated with aerosols impact shortwave and longwave radiative fluxes at the top of model layer. As shown in Figure 5, anthropogenic aerosols enhance cloud optical depth through the reduction of r_{el} and result in a larger reflected SW flux. The so called first indirect effect (or Twomey effect), where an increase in aerosols causes an increase in drop concentration and a decrease in drop size for fixed liquid water content, is clearly demonstrated during the first 5 hours when the predicted liquid and ice water content with or without anthropogenic aerosols are similar. Our results suggest that the enhancement of reflected SW by anthropogenic aerosols can be up to 17 - 20 Wm^{-2} (averaged over the area of $200 \times 1 \text{ km}^2$).

In *Fu and Liou (1993)*, r_{el} is prescribed in a range between 4 and 31 μm , based on cloud types. To explore the sensitivity of reflected SW flux to the treatment of effective drop size, we compare experiments with predicted r_{el} to control simulation. We found that for $r_{el} = 5 \mu\text{m}$ (a typical value used for continental cloud in GCM) the control simulation reflects a higher SW flux by about 30 Wm^{-2} than experiments 2, 4 and 6. This not only indicates the importance of aerosol/cloud interactions but also suggests a potential uncertainty associated with cloud/radiation treatments in GCMs.

Contrary to the dependence of reflected SW flux on effective drop size, the outgoing LW flux is mainly determined by the altitude of clouds. Lower clouds trap outgoing LW flux from Earth's surface more effectively and re-emit LW radiation at higher temperature than higher clouds. Since cloud structures are similar with or without anthropogenic aerosols before the first 5 hours, there is little difference in outgoing LW during this period. Afterwards, the evolution of convection development exhibits a strong dependence on aerosol concentration as well as the parameterization of autoconversion process, resulting in considerable variations in cloud structure and the outgoing LW flux.

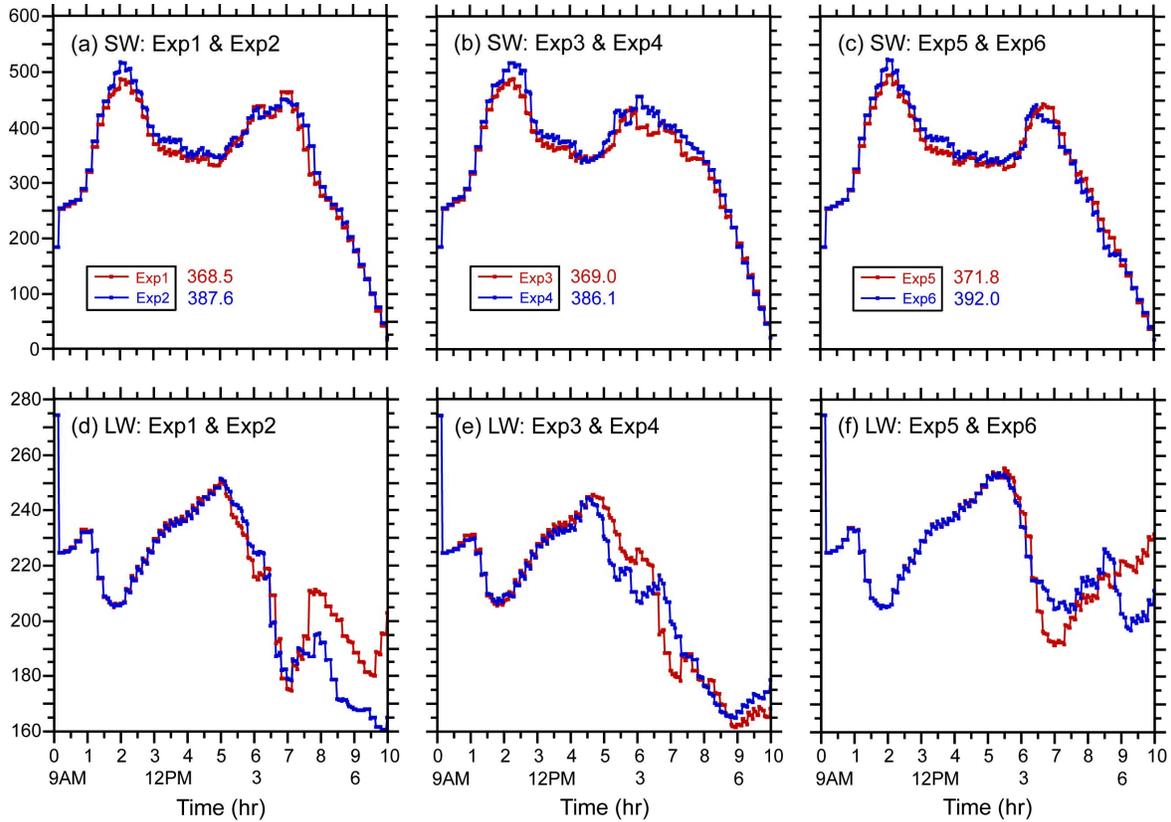


Figure 5. Averaged shortwave (SW) and longwave (LW) fluxes (Wm^{-2}) at the top of the model layer over a domain of $200 \text{ km} \times 1 \text{ km}$ with the convective core as the center. Also shown in the SW plots are the temporal averages between 10 AM and 2 PM.

(b) Effects of Aerosols on Surface Precipitation Rate

Aerosols not only influence the optical properties of clouds but also tend to alter the cloud lifetime and precipitation efficiency through the reduction in drop size (the second indirect effect). Climate models that have attempted to quantify the effect of aerosols on clouds have shown that the magnitude of the second indirect effect is extremely sensitive to the parameterizations of autoconversion and cloud cover in the models (*Lohmann and Feichter, 1997*). It is not yet clear whether these processes and the changes in these processes associated with aerosols is accurately described by the parameterizations currently in the models. To examine the sensitivity of the surface precipitation rate to the parameterization of autoconversion, we perform experiments with different representation of autoconversion and explore the response of convective cloud system to different aerosol concentrations. The first autoconversion scheme is from *Berry (1968)*, the second one is from *Beheng (1994)* that has been applied into ECHAM general circulation model, whereas the third one is based on *Chen and Cotton (1987)* employed in NCAR CAM2.

Figure 6 presents the time evolution of surface precipitation pattern using different parameterizations of autoconversion with and without anthropogenic aerosols. As demonstrated in the figures, once the initial convection triggered by the warm and moist bubble decays, the simulated cold pool is strong enough to interact with ambient wind shear to form a new

convection after about 4 - 5 hours. Conversely, the time-varying surface energy evolves a unfavorable condition for the development of new convection in the late afternoon. The time lag to trigger a new convection by cold pool as well as the duration and precipitation associated with the active convective band vary with the representation of autoconversion and aerosol concentration. For Berry and Chen & Cotton schemes, the patterns of surface precipitation rate are similar with or without anthropogenic aerosols but the duration of precipitation with higher aerosol concentration is somewhat longer. In contrast, considerable differences in the evolution of surface precipitation pattern are noticed for Beheng scheme.

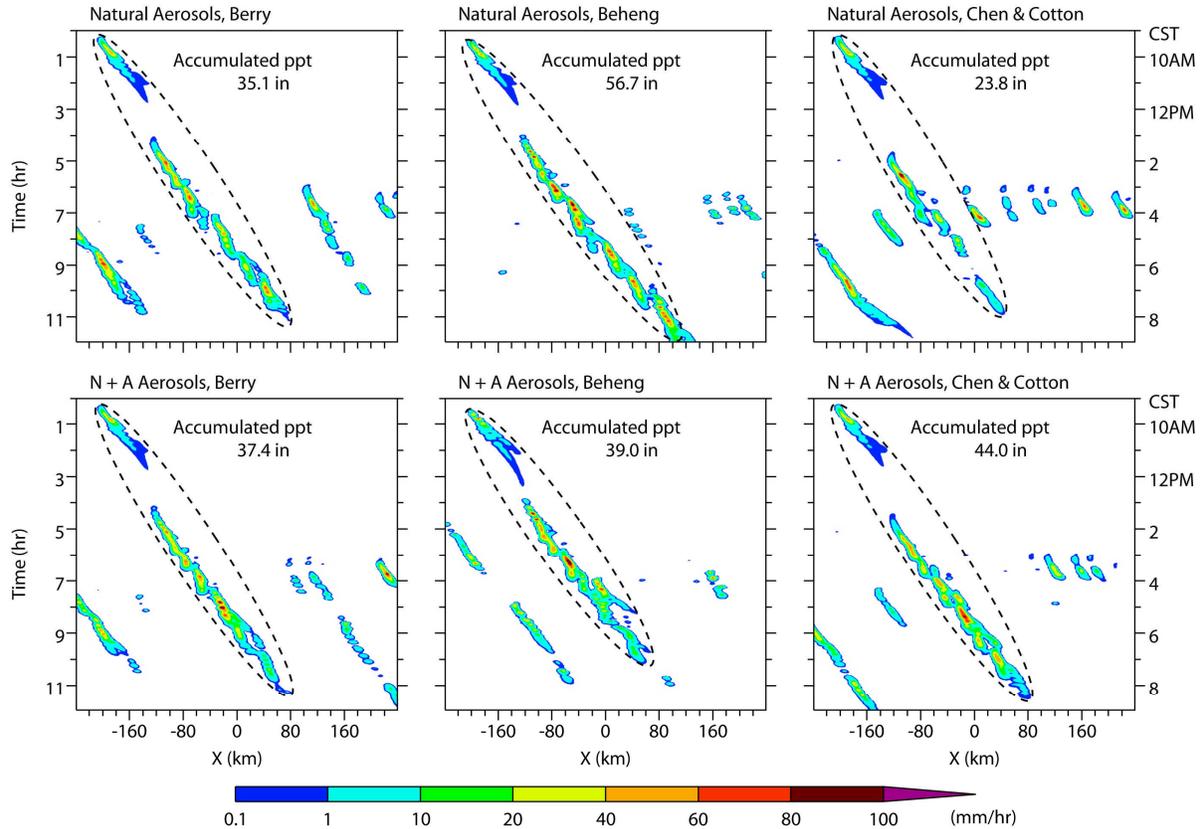


Figure 6. Simulated surface precipitation rate (mm/hr) using different schemes of autoconversion with and without anthropogenic aerosols. Also shown is the accumulated precipitation associated with the active convective band (denoted by the dashed curve).

We are in the process to examine whether ARM data can be used to verify the response of the cloud resolving model and then explore the potential of ARM data to directly infer the indirect effects of aerosols on climate. Further work is in progress with the 3D version of LLNL cloud resolving model to thoroughly explore the interactions between cloud dynamics and cloud microphysical details associated with aerosols, quantify the second indirect effect of aerosols on radiation fields, and address the associated uncertainties.

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