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# Characterization of Structure and Damage in Materials in Four Dimensions

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# Characterization of Structure and Damage in Materials in Four Dimensions

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## ACRONYMS USED

3D-XRD	3D-x-ray diffraction	MCP	Microchannel Plate
APT	Atom probe tomography	MD	Molecular dynamics
CCD	Charge-coupled detector	MSE	Materials Science and Engineering
DTEM	Dynamic transmission electron microscope	SANS	Small angle neutron scattering
EBSD	Electron Backscattering diffraction	SCC	Stress corrosion cracking
EELS	Electron Energy Loss Spectroscopy	SEM	Scanning Electron Microscope
EXAFS	Extended x-ray absorption fine spectra	SNS	Spallation Neutron Source
FIB	Focused Ion Beam	STEM	scanning TEM
GBCD	Grain Boundary Character distribution	TEM	Transmission Electron Microscope
GBED	Grain Boundary Energy Distribution	XRT	X-ray tomography
HAADF	high-angle annular dark-field		

## ABSTRACT

The materials characterization toolbox has recently experienced a number of parallel revolutionary advances, foreshadowing a time in the near future when materials scientists can quantify material structure across orders of magnitude in length and time scales (i.e., in four dimensions) completely. This paper presents a viewpoint on the materials characterization field, reviewing its recent past, evaluating its present capabilities, and proposing directions for its future development. Electron microscopy; atom-probe tomography; X-ray, neutron and electron tomography; serial sectioning tomography; and diffraction-based analysis methods are reviewed, and opportunities for their future development are highlighted. Particular attention is paid to studies that have pioneered the synergetic use of multiple techniques to provide complementary views of a single structure or process; several of these studies represent the state-of-the-art in characterization, and suggest a trajectory for the continued development of the field. Based on this review, a set of grand challenges for characterization science is identified, including suggestions for instrumentation advances, scientific problems in microstructure analysis, and complex structure evolution problems involving materials damage. The future of microstructural characterization is proposed to be one not only where individual techniques are pushed to their limits, but where the community devises strategies of technique synergy to address complex multiscale problems in materials science and engineering.

## 1. INTRODUCTION

The past decade has seen a number of revolutionary advances in the basic characterization toolbox of Materials Science and Engineering (MSE):

- Conventional plane-section microscopy techniques, including optical and scanning electron microscopy, have been synergized with automated methods of serial sectioning, providing rapid access to full three-dimensional views of complex microstructures.<sup>1-3</sup>
- Crystallographic orientation information can now be mapped spatially in three-dimensions thanks to advances in synchrotron X-ray and neutron diffraction methods<sup>4-7</sup> as well as electron backscatter diffraction in combination with serial sectioning technologies.<sup>8-13</sup>
- Tomographic methods using X-rays have become available ubiquitously, and are achieving finer resolution.<sup>4,6,14-16</sup> In parallel, electron tomography techniques in the transmission electron microscope are reaching the status of a routine capability for studies of both soft and hard materials.<sup>17,18</sup>
- Electron microscopy has been revolutionized through several parallel developments, including advances in in-situ testing and instrumentation,<sup>19</sup> the application of aberration correction to improve image resolution,<sup>20-23</sup> and the development of ultra-fast microscopy methods with unprecedented time-resolved observational capabilities.<sup>24,25</sup>

- Chemical mapping using atom probe tomography has achieved a field-of-view spanning hundreds of millions of atoms,<sup>26,27</sup> commensurate with the scales of very large atomistic simulations.

When taken independently, these developments are each, in their own right, major advances; they are of specific importance to the sub-community of scientists that developed them. However, when taken together, these advances anticipate a time when the structure of a material can be measured completely and specified quantitatively. For length scales spanning between the dimensions of atoms and macroscopic engineering components (comprising many grains and phases), and for time scales ranging from the sub-picosecond range (characteristic of phonons and electronic processes) to the microsecond range (characterizing phase transformations) and beyond, it is now possible to envision an age where a complete knowledge of material structure as well as its evolution will be available. Furthermore, these advances are bringing convergence in the spatial and temporal domains that are explored by simulations and experiments, making it possible for the first time to exploit the potential of both approaches fully.

This review summarizes the discussions and thoughts of a group of developers, practitioners, and customers of advanced materials characterization techniques, on the present status and future prospects for characterizing materials in “four-dimensions”, i.e., in three spatial dimensions along with a time-resolved view of structure evolution. In addition to framing the state-of-the-art in the various characterization techniques, the group debated:

- i. future advances in techniques as well as identifying major roadblocks to such advances,
- ii. elaborating the most fruitful synergies among available characterization tools, and strategies to further synergize these techniques in the immediate future, and
- iii. identifying “grand challenge” problems in characterizing *microstructure and microstructural damage evolution* in materials, which pose major scientific and engineering difficulties and could be addressed and solved with the proper combination of advanced characterization tools.

While there have been many recent conferences, symposia, and focused journal issues that have highlighted characterization advances (see, for example, Refs.<sup>13,19,28-32</sup>), this report is differentiated by its specific focus on anticipating future needs and challenges as in points (i-iii) above. Thus, the goal of the present paper is to not only frame the current state of materials characterization in four dimensions, but to plot its future trajectory. Because the group involved only a small cross-section of the community, the topical coverage in this article is by no means intended to be exhaustive, or even homogeneous. It is, rather, a viewpoint: a reflection of the opinions and findings of this group, developed through their review and discussion.

This paper is organized as follows:

- Section 2 provides a brief survey of recent characterization advances. In addition, prospects for technique and instrumentation development and refinement are presented, and the urgent needs are highlighted.

- Section 3 provides examples of current synergies among the various characterization techniques reviewed in Section 2, which foreshadow the dramatic advances that can be made in MSE with an advanced characterization toolbox.
- Section 4 presents suggestions for specific grand challenge problems in MSE that should be addressable in a holistic way by using the proper combination of characterization tools.
- Section 5 summarizes the major conclusions.

## **2. MATERIALS CHARACTERIZATION TECHNIQUES: RECENT ADVANCES AND FUTURE EXPECTATIONS**

This report was inspired by the many parallel and revolutionary advances that have occurred throughout the materials characterization community in the past decade or so. The first task was to review the most significant of these developments and to establish expectations for future advances in characterization techniques. This section reviews the outcome of that discussion briefly. It is not intended for this section to be a rigorous technical review of these techniques, but a high-level overview of extant capabilities with references provided to point readers to more detailed literature. The following sections are organized loosely by length scale, beginning with transmission electron microscopy-based techniques and atom probe tomography, proceeding to analysis methods using X-ray and neutron sources, and concluding with large field-of-view methods based on plane-section microscopy and serial sectioning.

### **2.1 Towards 4-D Characterization in Transmission Electron Microscopy**

The electron microscope has become a standard tool for the characterization of materials, providing snapshots of microstructure and composition, enabling phase identification and providing crystallographic information, as well as insight into properties such as the electronic and magnetic states and structure. For example, the bright-field diffraction contrast image presented in Figure 1 yields information about the dislocation structure around a crack tip in Si,<sup>33,34</sup> but it also highlights two significant limitations of the information contained in such micrographs. First, the information is two-dimensional. Most of the spatial information in the direction of the electron beam is lost and, consequently, the dislocation geometry and its relationship to the crack cannot be discerned from the image. Some depth information can be obtained from pairs of stereographic images, taken at different tilt angles and then re-fused by the human brain with the aid of, e.g., a stereoscope or red-blue anaglyphs. However, these methods lack the flexibility of an arbitrary viewing angle and the information is difficult to present. Second, the micrograph conveys no information about how the dislocation arrangement evolved as a function of deformation history. Most TEM information is gained post-mortem, after processing, fabrication, and testing, and such images are subsequently used to infer the relationship between the observed state and the route and processes by which it was attained. While the ability to observe the evolution of microstructure has always been possible in the

electron microscope, the range of stimuli and measurement probes that could be employed were limited and the temporal resolution was at best  $0.03 \text{ s}^{-1}$ .

Over the past few decades, there have been numerous advances in instrumentation for the TEM. These include spherical<sup>20,21,35-37</sup> and chromatic<sup>38</sup> aberration correctors and monochromators,<sup>20,21,36-39</sup> advances in electron sources<sup>40,41</sup> and electron lenses,<sup>42</sup> sample holders<sup>43</sup> and microlithography processed samples that incorporate the sample, stimulate it and measure its macroscopic response *in-situ*,<sup>44-46</sup> as well as detectors and image processing software.<sup>47</sup> These advances have not just advanced the spatial resolution such that it is now below  $0.7 \times 10^{-10} \text{ m}$  and element sensitivity but have transformed the electron microscope from a static two-dimensional tool to one that is capable of providing time-resolved, three-dimensional structural, compositional, electronic and magnetic information. Here we review these developments, with primary emphasis on non-biological systems, although similar instrument and technique development have enabled significant advances in that field; see for example Refs.<sup>17,48-51</sup> Section 2.1.1 describes the developments that have extended conventional electron microscopy from two- to three-dimensions. Section 2.1.2 discusses the instrument, sample holder and sample fabrication technologies that are enabling time-resolved experiments that span multiple orders of magnitude in time to be performed.

### **2.1.1 Electron Tomography: Extending structural and compositional imaging from two- to three-dimensions**

Over the past decade, electron tomography has become an established technique for characterizing materials in three dimensions in the TEM. The technique, which has been used in the life sciences since the 1960's,<sup>48-51</sup> involves acquiring images of a region of interest over a large angular range and subsequently using reconstruction and visualization algorithms to obtain a three-dimensional representation of the object. Depending on the material being examined, images for electron tomography may be acquired using bright-field, dark-field or annular dark-field imaging, energy-loss imaging, energy-dispersive X-ray spectroscopy or other techniques such as off-axis electron holography. The final reconstruction of the object can be used to provide information about the positions, orientations and morphologies of nanoparticles,<sup>52-58</sup> variations in density and composition,<sup>55,59,60</sup> the positions of defects such as dislocations<sup>61-64</sup> and even electromagnetic fields.<sup>65-67</sup>

There are numerous requirements in acquiring the images used to create tomograms. The contrast should vary monotonically (ideally linearly) with specimen thickness and with a parameter such as density or composition; the tilt increment between images should be as small as possible, usually  $0.5$  to  $1^\circ$ ; the angular range over which images are acquired should be as large as possible and at least  $-70$  to  $70^\circ$ ; and misalignments between successive images should be minimized before reconstruction. Clearly, these are demanding requirements not only in terms of image quality and alignment, but also in terms of the volume of data generated. The reconstructed three-dimensional volume is formed by using either a weighted back-projection scheme<sup>68</sup> or iterative reconstruction algorithms.<sup>17</sup>

The angular range requirement is a significant issue since full specimen rotation is not always possible due to the magnitude of the objective lens pole-piece gap in comparison to the thickness of the sample holder. In addition, rotation of a planar TEM sample to beyond about 70° leads to an oblique incident angle at which the sample is no longer electron transparent. If the angular range used is too small, significant artifacts may be present in the reconstruction - these can be described in terms of a “missing wedge” of information in Fourier space, as each projected image only provides information about a single central slice in the Fourier space representation of the object. The concept of the “missing wedge” and the impact it has on the detail in the reconstructed image are illustrated in Figure 2.<sup>69</sup> Although the influence of the missing wedge on the quality of the reconstructed image can be minimized by acquiring orthogonal or conical tilt series of images, the use of such schemes compounds the problem of acquiring and aligning images, especially if diffraction contrast imaging conditions are used.<sup>70</sup>

### 2.1.1.1 Examples of the application of electron tomography

The signal that has been identified as most suitable for electron tomography of the morphologies, densities and indirectly the composition of inorganic materials is high-angle annular dark-field (HAADF) imaging in the scanning TEM (STEM). This imaging mode is less sensitive to diffraction contrast than conventional bright-field or dark-field dynamical and kinematical imaging conditions and it provides information that is sensitive to variations in atomic number  $Z$  (it is approximately proportional to  $Z^{1.7}$ ). There are now many examples in the literature of the application of HAADF STEM tomography, notably to problems in heterogeneous catalysis<sup>56,71-75</sup> and to the characterization of the morphologies of nanoparticles, nanostructures and polymers.<sup>33,52,54,55,76,77</sup>

An example of HAADF STEM tomography is provided by the work of Cervera Gontard *et al.*,<sup>78</sup> who studied Pt and PtCr nanoparticles supported on carbon black; such materials are used as heterogeneous catalysts in the electrodes of proton exchange membrane fuel cells. Figure 3a shows an HAADF STEM image of Pt particles acquired at a single viewing angle; the result when a tilt series comprising 136 images is used to provide a three-dimensional reconstruction of the particles alone is shown in Figure 3b. Although there are difficulties associated with reconstructing heavy particles and a light support simultaneously, such data, when processed and segmented, permit not only a clear three-dimensional view of the two phases comprising the material (carbon black and metallic nanoparticles) as shown in Figure 3c, but also permit the study of minute features of individual nanoparticles, including facet geometries, surface ledges and twins (Figure 3d). Particles as small as 5 nm were found to be amenable to three-dimensional shape analysis, with a spatial resolution in all three-dimensions of approximately 1 nm.

Compositional information can be obtained in the electron microscope by using either electron energy-loss spectroscopy (EELS) or energy-dispersive X-ray spectroscopy. Coupling these techniques with the acquisition of an angular tilt series allows direct determination of variations in composition in three-dimensions.<sup>76</sup> For example, Jarausch *et al.* combined electron tomography with EELS and with information from the shape of the core-loss edge of the Si L<sub>23</sub>

peak to generate not only a three-dimensional compositional map, but also a map of the chemical state making up the different Si-containing phases of a W-Si contact.<sup>79</sup> Examples of these maps are shown in Figure 4.

Subtle features in electron energy-loss spectra, such as the energies of plasmon peaks, can be used to obtain three-dimensional information about materials, in which variations in density and composition are too small to be mapped reliably using other TEM methods. For example, Yurtserver *et al.* combined electron tomography with plasmon-loss imaging to reconstruct silicon nanoparticles embedded in an amorphous SiO<sub>2</sub> matrix, Figure 5.<sup>80</sup> By using this approach, they demonstrated that the dominant form of the Si nanoparticles is not the spherical shape that is normally assumed, but rather a complex morphology with a high surface-to-volume ratio. The ability to acquire images over a range of energy losses at every specimen tilt angle may alternatively allow full spectroscopic information to be acquired from each voxel in the reconstruction of an object.<sup>52</sup>

Intensity variations in diffraction contrast images and the difficulty of maintaining constant imaging conditions over a large angular range (i.e., the same diffraction vector with the same Bragg deviation parameter) make it challenging to use such an imaging condition as the basis for tomographic reconstruction. Nevertheless, several groups have reported reconstructions based on diffraction contrast imaging conditions using two-beam, weak-beam dark-field and annular dark-field imaging modes.<sup>61-64,81,82</sup> An example of this capability is demonstrated in Figure 6, in which snapshots taken from the tomogram of the dislocation structure in Figure 1 are presented in negative form.<sup>83</sup> For the reconstruction, images were acquired every one degree over an angular range from -43° to 31°. To assist in the visualization of the dislocation structure and to compensate for the missing wedge effect, a three-dimensional model of the dislocation configuration was constructed from the tomogram. In addition a Thompson's tetrahedron was positioned accurately<sup>84</sup> in the model such that it becomes an integral component of it. Snapshots of the dislocation structure rotated about orthogonal axes are shown in Figure 6b. The partitioning of the dislocations to well-defined slip bands is evident. Dislocations can be seen terminating on the crack flank as well as on the free surface, and the assignment of the dislocation line directions and slip systems becomes trivial. By combining this information with knowledge of the Burgers vectors, rapid determination of the properties of the dislocations becomes possible. Availability of such information will enhance our ability to unravel dislocation structures such as in dislocation forest structures, cross-slip processes, spatial arrangements of dislocation sources, as well as dislocation interactions with other obstacles. This information will yield new insight to the fundamental processes governing mechanical properties and will inform physically-based models designed to assess mechanical properties. Conventional dark-field imaging has also been used to obtain the three-dimensional morphologies of precipitates in a superalloy for which the extinction distance of the reflection used was sufficiently large when compared with the thickness of the specimen.<sup>85</sup>

The TEM also affords the ability to obtain information about the magnetic domain structures and magnetic domain walls. Traditionally, information about electromagnetic fields in and around materials has been obtained in the TEM by using the Foucault and Fresnel modes of Lorentz microscopy, respectively. Three-dimensional images of the magnetic induction in and around a magnetic sample can be obtained from the change in phase shift of the electron wave recorded at

different specimen angles. This information can be acquired from either the transport-of-intensity formalism or electron holographic observations.<sup>66,67,86-88</sup> As an example, Phatak *et al.*<sup>87</sup> acquired a three-image through-focus series about orthogonal tilt axes (-70 to +70, in 5° increments) of bilayers of Py(27 nm)/Cr(3 nm) that had been patterned lithographically into various shapes. The sample was then turned over and two additional tilt series acquired in order to separate the magnetic contribution to the phase shift from that of the mean inner potential. Figure 7(a) shows a representative through-focus series of images of an ellipsoidal particle with two magnetic vortices. Figure 7(b) shows the experimentally reconstructed 3D magnetic vector potential.

### 2.1.1.2 Future prospects for electron tomography

The above applications of electron tomography demonstrate it is now possible to obtain three-dimensional structural, electronic, compositional and magnetic information with a spatial resolution that is often around 1 nm.<sup>58</sup> The spatial resolution of the reconstructed images are usually limited by artifacts resulting from the use of a limited angular range, from non-linearity of the recorded signal with specimen thickness, and from the low signal-to-noise ratio in spectroscopic images. The use of a single threshold value to define an iso-surface in the reconstruction of an object, for example, a precipitate, may result in an incorrect three-dimensional representation of the object particularly if the particles overlap. In addition, the need to acquire images every degree over an angular range of 140° represents a major limitation. There have been numerous advances to address these challenges, some of which are described briefly here.

One advance is the use of improved reconstruction algorithms such as discrete intensity tomography, which incorporates known information about the specimen to constrain the reconstruction. For example, prior knowledge that there are only a few phases of known densities,<sup>52,89</sup> or that the image is composed of only a few types of atoms arranged on a lattice,<sup>90</sup> enables the missing regions in the Fourier space of the object to be populated more correctly. In such cases, reconstruction can be performed from fewer images. For example, Batenburg *et al.* showed that it was possible to reconstruct the morphology of gold nanoparticles by using only 15 images.<sup>52</sup> This advance foreshadows the significant improvements in efficiency that may be gained by synergizing electron tomography with other analysis methods that can be used to provide additional information about the structure.

Other advances pertain to the development of improved hardware and both reconstruction and software dedicated to optimizing electron tomography. The development of new specimen holder technologies allows greater specimen tilt range to be achieved; for example, through the use of dual-axis tilting or the rotation of needle-shaped specimens by a full 360°.<sup>70,91</sup> The use of triple axes tilting now allows a diffracting condition to be chosen and maintained accurately as the specimen is tilted. Furthermore there may be opportunities for incorporating technologies developed in other communities for automating the collection and processing of images. Software that can efficiently pre-process images before reconstruction would reduce artifacts in the reconstruction, while improved approaches for the segmentation of phases or features could provide a representation of the object that is consistent with the original dataset.<sup>92,93</sup>

The examples that have been presented in this section all provided nanometer scale information about the materials. However, there are efforts towards the development of atomic resolution electron tomography.<sup>94,95</sup> For example, Bar Sadan *et al.*, used a combination of low accelerating voltage and negative spherical aberration imaging to obtain atomic scale (~0.2 nm) tomographic images of individual inorganic fullerene-like particles.<sup>95</sup> Other approaches for imaging individual atoms in three dimensions have involved triangulating their positions by acquiring atomic-resolution HAADF images of a similar region of a specimen from multiple well-defined directions.<sup>75</sup>

At present, most electron tomograms are generated from images acquired over a large angular range at room temperature. The reconstructed images are snapshots in time of the composition or structure, albeit now in three dimensions. Advances are now beginning to involve the integration of electron tomography with the capability to stimulate a material and to monitor its response such that snapshots of kinetic processes can be revealed in three dimensions. The development of such capabilities is key to understanding the three-dimensional dynamics of processes such as dislocation nucleation and motion, as well as chemical reactions, phase domain growth and coarsening, as well as sintering phenomena in a variety of materials.

Short-term developments that are improving the time resolution of tomographic experiments include the use of high-brightness electron guns, improved detectors and specimen stages, the more sophisticated automation of experiments, the use of noisier initial experimental datasets to perform tomographic reconstructions and the development of improved reconstruction algorithms that allow constraints and prior knowledge about the specimen to be included, so that fewer images (ideally only a few) need to be acquired to perform each reconstruction. Longer-term directions may involve the development of instruments that allow images of materials to be acquired from two or more different directions simultaneously. Significantly, recent experiments have demonstrated the use of tomographic techniques with pulsed electron sources to characterize repeating events in a stroboscopic manner.<sup>96</sup>

There are many other possible advances in electron tomography that would find a receptive field of use across MSE. A particularly valuable development would be a technique to map variations in crystallography and lattice strain in three dimensions. Instrumentation that allows the crystallographic orientation of a specimen to be adjusted automatically during the acquisition of a tilt series of images would be useful for the characterization of defects. Reconstruction algorithms could also be improved significantly, for example, by making use of the true variation in the recorded signal with sample thickness and orientation, using input taken from models and simulations of the expected contrast from the specimen (including the effect of dynamical diffraction).

### **2.1.2. Time-resolved studies in the transmission electron microscope**

From its beginnings, the TEM has been used to study the dynamics and kinetics of reactions and processes. Critical considerations for time-resolved experiments are: the spatial and temporal resolution that can be achieved and the mechanism used to excite or stimulate the material.

These topics are reviewed in turn in the following two sections, which also provide examples of the use of time-resolved TEM for specific problems. The prospects for future advances in this area are then highlighted.

### 2.1.2.1 Spatial and temporal resolution

The spatial resolution in any time-resolved TEM experiment is defined by the microscope optics and the stability/environment of the stage. With current state-of-the-art spherical-aberration corrected instruments, sub-Ångström spatial resolution can be attained.<sup>97</sup> Future developments will see chromatic-aberration corrected as well as spherical-aberration corrected instruments, and these will yield an order of magnitude improvement in the spatial resolution of certain classes of energy-filtered TEM images.<sup>38</sup> The application of these instruments to time-resolved studies is just commencing, and new avenues of research will be created as appropriate instrumentation is developed and becomes commonplace.

The temporal limitation on *in-situ* studies results from the total electron beam current and, in a conventional electron microscope equipped with a thermionic or field emission electron source, is of the order of 10 milliseconds. In a practical sense, the temporal resolution is set not by the beam current but by other parameters. In the earliest studies, it was determined by the time to transport in, expose and transport out the recording medium - a process occurring over minutes. Temporal resolution was improved when cine cameras were used to record events occurring on a phosphor screen, but the spatial resolution was compromised as the image on the screen was captured through the viewing glass. Temporal resolution, image capture and resolution were improved with the inclusion of cameras in the microscope column. With dedicated screens and video recording, time resolution of  $30 \times 10^{-3} \text{ s}^{-1}$  with atomic spatial resolution then became achievable. CCD cameras allowing digital acquisition along with image capture and processing subsequently resulted in significant improvements in collecting and handling data.<sup>98</sup>

An improvement to the fundamental temporal resolution limit requires replacing thermionic and field emission sources by photoemission ones.<sup>99</sup> For these sources, the temporal resolution is set by the duration of a short pulse of photo-excited electrons, which is in turn determined by the duration of a laser pulse used to create the electron beam – typically milliseconds to femtoseconds. These advances have expanded the accessible temporal range from tens of milliseconds to the pico- and even the femto-second range. Three temporal ranges are now identified in time-resolved electron microscopy, namely, conventional *in-situ* TEM from minutes to tens of milliseconds, dynamic TEM(DTEM) from milli- to nano-seconds,<sup>41,100,101</sup> and ultrafast TEM from the pico- to femto-second timeframe.<sup>102</sup>

The operating mode of a photoemission electron microscope is determined by the number of electrons in the beam. In the ultrafast TEM,<sup>102-106</sup> the electrons are confined such that the electron beam contains no more than  $\sim 10^3$  electrons. At the fastest timescales typically the beam contains a single electron. As there is only a single electron in the beam,  $\sim 10^8$ - $10^9$  shots are needed to form an image, limiting the types of sample stimulation that are amenable to study with this technique. However, the benefit is that there is no degradation in the spatial resolution of the microscope. In contrast, the electron beam in the DTEM is designed to contain sufficient

electrons to obtain an image with a single shot.<sup>25</sup> Typically, for a normal  $\sim 10\ \mu\text{m}$  field-of-view, this requires the pulse to contain  $10^8$ - $10^9$  electrons. In this high current density beam, electron-electron interactions degrade the spatial resolution of the beam to between  $\sim 5$  and  $10\ \text{nm}$ , limiting the types of study that can be performed. However, the single shot approach means that the microscope can be used to study irreversible processes such as nucleation/growth and damage.<sup>25,29,107-112</sup>

### **2.1.2.2. Methods of stimulating TEM specimens**

A critical requirement for time-resolved microscopy is the ability to stimulate and excite the material so that the response can be captured in real time. The simplest method to stimulate a material is to use the electron beam itself. This approach was used initially to accelerate the build-up of contaminants on the sample surface; to induce the motion of dislocations in metals;<sup>113</sup> to cause displacement damage, dislocation loops and voids;<sup>114-117</sup> and to induce phase transformations.<sup>118-121</sup> Additional capabilities for stimulating the material require incorporating a mechanism within the limited volume available in the sample holder, modifying the microscope itself, or doing both. Specimen holders for heating, cooling, deforming and indenting a material, as well as for applying magnetic or electric fields or exposing the sample to a gaseous or liquid environment, are all available commercially. In the time domain of tens of milliseconds there are many examples of the use of these stages, and it is impossible within the scope of this brief review to do them justice. Selected references are given, and the interested reader is referred to collections of papers in special journal issues and conference proceedings.<sup>28,122</sup>

As an illustration of the how these TEM stages have evolved, various devices available for probing mechanical properties of samples are shown in Figure 8. Each method has advantages and disadvantages: the room and high temperature stages, shown as Figure 8a, can probe deformation processes in any material that can be made electron transparent, but suffer from the inability to measure the applied load or the corresponding displacement, and to control (or even to know) the sample temperature. Nevertheless, stages of this type have provided insight about dislocation sources, strengthening mechanisms, as well as dislocation-interface interactions.<sup>45,123-128</sup> The simultaneous observation of deformation processes and acquisition of a macroscopic response measurement required new approaches, including, for example, integrated load and displacement transducers and gauges within a scaffold used to support a deposited electron transparent film;<sup>44-46</sup> examples of such devices are shown in Figure 8b. These novel approaches require film deposition and microlithographic processing, and the devices are single use. That is, as the device is an integral component of the TEM sample, it is destroyed during use. Finally, devices for indenting thin films or particles with micrometer to tens of nanometer dimensions have also been developed and used to great effect, Figure 8c.<sup>46,129,130</sup>

With appropriate confinement windows isolating the sample volume on a holder from the microscope vacuum, it is possible to expose a material to a liquid or a gaseous environment inside the electron microscope. The windows must not rupture under the pressure differential across them or be degraded by the liquid or gaseous environment. These requirements impact the material selected for the window material as well as its thickness, which ultimately impacts the resolution that can be achieved. Nevertheless, such window-confinement holders have been

used and yielded new insights to catalytic reactions, particle growth, etc. For example, Ross and co-workers pioneered the use of such a device to study the electro-deposition of copper on gold.<sup>131,132</sup> Using a liquid cell, they discovered that surface adsorption and diffusion controlled the early stage kinetics of deposition, including cluster density and initial growth rate, while liquid diffusion limits behavior at longer times (see Figure 9). Cluster nucleation was found to follow first-order kinetics and three-dimensional diffusion limited growth explained the exponents of individual cluster growth.<sup>133</sup> This work provided direct evidence to support the foundation of models of cluster nucleation and growth. Future developments for window-limited systems are in designing thinner but robust windows that can accommodate a wide variety of gaseous and liquid environments. Such advances will open new opportunities in areas of liquid-solid and gas-solid interactions as well as in biological systems.

The alternate approach to including the stimulation mechanism in the sample holder is to modify the electron microscope itself. For example, gas cells have been incorporated inside the objective pole-piece, enabling gas pressures of a few tens of MPa of hydrogen gas with less than nanometer resolution<sup>134,135</sup> or a few kPa with atomic resolution;<sup>136-138</sup> ion accelerators have been attached to microscope columns to enable the effects of bombarding materials with energetic particles to be investigated as a function of temperature;<sup>139-141</sup> metal sources have been incorporated for studies of the growth of thin films.<sup>142,143</sup> Recent developments in this area have seen modifications to instruments with correctors for spherical aberration for gas-solid interaction studies.<sup>144</sup>

It is also possible to combine methods of stimulating the material, including, for example, simultaneous application of heat, stress, and atmosphere. For example, metals have been deformed at temperatures ranging from 77 to 1500 K in a gaseous environment to explore the fundamental processes controlling hydrogen embrittlement.<sup>145</sup> Figure 10 shows a time series of images extracted from video-tape showing that dislocations under stress but stationary move when hydrogen gas is introduced into the cell (and concomitantly into the metal), stop when the gas is removed, and start again when it is reintroduced. The generality of these observations across several metals and alloys formed the basis for the hydrogen enhanced localized plasticity mechanism of hydrogen embrittlement.<sup>145</sup> Similarly, controlled environment transmission electron microscopy has been used to gain an understanding of the function of catalysts and the structural and compositional modifications associated with loss of catalytic activity<sup>138,146,147</sup> growth of nanotubes,<sup>148,149</sup> etc.

The DTEM approach to photoemission microscopy has been used to study a variety of processes such as martensitic phase transformations, crystallization, chemical reactions and nanowire growth, and is currently being aimed towards biomolecular processes.<sup>41,100,101,107,109,150,151</sup> For example, Lagrange *et al.* used the DTEM to discover the transient phases and morphological changes in the rapid solid-state chemical reaction occurring in reactive multilayer foils - self-propagating exothermic reactions initiated by an external stimulus.<sup>41</sup> Figure 11 shows a captured reaction front in a Al/Ni-7 wt.% V multilayer. The reacted layer radiates outward from the point of impact of the laser—the line demarking reacted and unreacted material is readily distinguished in Figure 11a by the marked change in contrast. The cellular structure formed behind the propagation front is shown in Figure 11b. As this structure is metastable and

disappears with time, its existence would be missed in conventional post-reaction studies. Such early results indicate the promise of the technique to capture and explore fast reaction processes.

Ultrafast TEM has extremely high spatial and temporal resolution, but operates in the “pump-probe” mode where a sample is repeatedly pumped with a specimen drive laser (which stimulates the material) and probed with the electron beam. Therefore the technique provides a stroboscopic view of the material, and is optimally suited to the study of perfectly reversible phenomena that can be stimulated by the laser pulse: for example, electronic phase changes, the observation of phonon modes and picosecond changes in the electronic structure have all been studied using ultrafast TEM by Zewail and coworkers.<sup>24,102-106,152-156</sup> An example of the latter application, which involves ultrafast EELS, is presented in Figure 12, which shows the time-energy difference landscape of graphene as a function of time after a laser pulse. The primary change is the enhancement in the low energy regime, 7 eV, which is attributed to  $\pi$  plasmon resulting from interband  $\pi\pi^*$  transitions. An increase in the 27 eV bulk plasmon peak ( $\pi+\sigma$ ) is also observed. Shown in Figure 12b along with the changes in the EELS profiles is the temporal behavior of the c-axis; both compression and expansion along this axis is found. This reflects the anisotropic loading of the electronic states, and the transfer of energy to strongly coupled phonons. As with the other time-resolved TEM approaches, the potential for these techniques has been established, and linking the methods with aberration correctors and in-situ stages should open new areas for exploration.

### 2.1.2.3 Future prospects for time-resolved TEM

The primary area for development in time-resolved TEM is in the total functionality of stages or the sample scaffolds such that they are able to provide multiple forms of stimuli simultaneously and the capability to measure the macroscopic property changes concurrently with the observation of the atomic processes. Examples of such devices that are emerging are the liquid and electrochemical cells and miniaturized mechanical property test frames briefly described earlier. These developments provide the opportunity to explore how structural and compositional evolution occur and how they influence macroscopic material properties.

Extending the application of in-situ stages and devices to aberration-corrected medium- voltage electron microscopes will provide new opportunities. For example, with chromatic aberration-correction comes the ability to widen the pole-piece gap considerably, providing more volume in which to incorporate combined stimuli and measurement devices. This advance would also permit in-situ observations on thicker samples - a key direction for future study of “bulk” materials physics in light of the known effects of nearby surfaces on, for example, dislocation activity and point defect production in ion irradiated materials.<sup>157</sup> For the ultrafast TEM, aberration correction could lead to sub-Ångström spatial resolution coupled with femtosecond temporal resolution and sub-100 meV energy resolution, revolutionizing many of the experiments that can be performed in the electron microscope. For the DTEM, aberration correction allows larger apertures to be used to form the images, reducing electron-electron interactions and leading to higher spatial resolution. A chromatic and spherical aberration corrected system should allow atomic spatial resolution to be obtained for pulses that are ~1-10 ns in duration, opening up many new areas of research.

While the range of accessible time scales spanned by current TEM techniques is already extremely wide, advances in the combined temporal and spatial resolution of DTEM and ultrafast TEM are anticipated. For example, radio frequency and ultra-cold atom sources offer the potential to increase the brightness and coherence of electron guns; more electrons can be contained in shorter pulse durations. Recent results have shown that  $\sim 10^6$  electrons can be included in a 30 fs pulse, which, although not at the level required for single-shot imaging, foreshadows its achievement. Strategies for overcoming the detrimental electron-electron interactions in such pulses are also under consideration, and may even permit access to sub-picosecond timescales coupled with nanometer spatial resolution in a single shot. It thus seems that the resolution gap between ultrafast TEM and DTEM may one day be bridged by instrumentation advances.

## **2.2 Towards Perfect-Fidelity Chemical Mapping in the Tomographic Atom Probe**

Atom probe tomography (APT) enables the chemical distribution of a microstructure to be characterized in three dimensions, with near atomic-level resolution and a relatively large field-of-view. In this technique, specimens are prepared by fashioning small needles with a tip radius on the order of 100 nm. Atoms are removed sequentially from the tip of the needle-shaped specimen by a field ionization and field evaporation process, which can be accomplished by the application of either a voltage or laser pulse, superimposed on a standing voltage.<sup>158,159</sup> In either case pulsed stimulation of the sample tip leads to a sequence of atomic removal; the specimen is deconstructed roughly one atom at a time. The identity of the emitted ions is determined in a wide-angle time-of-flight mass spectrometer equipped with a position-sensitive single atom detector.<sup>26,27,158</sup> The atomic coordinates of the ions in the specimen are estimated from their impact position on the single atom detector, and the order in which they were removed from the specimen.<sup>160,161</sup> Based on the position of the ion detection and the time-of-flight measurement, it is possible to infer the chemical identity and original position in the sample of each detected atom. These data are subsequently reconstructed in the computer to provide a full three-dimensional view of the atoms in the sampled volume.

The atom probe has evolved dramatically over the last few years, most notably in the accessible field-of-view. Early datasets comprised only  $10^4$ - $10^5$  atoms whereas present state-of-the-art instruments acquire datasets containing up to a billion atoms in the form of a truncated cone of up to 200 nm in diameter, and typically between 100 and 500 nm in length. Such datasets may be interrogated in a variety of ways to extract information on the solute distribution at features such as clusters, precipitates, interfaces, dislocations, and internal surfaces.<sup>26,27,158</sup> The major improvements in instrumentation that have led to this dramatic advance are detailed in the following section, along with some typical examples of the use of APT in materials science. The limitations to further advances are then laid out, along with prospects for the next generation of APT instruments.

### 2.2.1 Advances in and applications of atom probe tomography

The watershed advances in APT described above have resulted from a number of complementary hardware and procedural improvements. The first of these was the introduction of the so-called local electrode, i.e., a counter-electrode to the specimen positioned very close to the specimen tip; the local electrode typically has a central 25-50  $\mu\text{m}$  aperture that is placed approximately the same distance from the apex of the specimen by a three-axis nanopositioning stage. The combination of size and specimen-to-aperture distance reduces the required voltage to produce a field evaporation event from the sample tip, by about a factor of two. As a result, higher pulse rates may be used (up to 200 kHz), leading to acquisition times orders-of-magnitude shorter than in previous instruments. Additionally, with shorter times between pulses (at the standing voltage), there is less potential for unwanted preferential evaporation of the weakly bound atoms between pulses. Because of the very high rate of atom removal achievable with local electrodes, a related critical hardware development was a compatible single-atom detector based on crossed delay-lines; such detectors enable the large field-of-view possible in modern APT experiments.

An example of a large and compelling dataset provided by a local electrode atom probe is shown in Figure 13.<sup>162</sup> This image shows a  $\gamma/\gamma'$  nickel-based superalloy specimen, with  $\gamma'$  particles segmented based on their composition. Note the large volume of the specimen, which spans half a micron along the needle axis, and which comprises 106 million atoms. Miller and Reed used this data to evaluate partitioning of a minority Re addition to the  $\gamma/\gamma'$  interface.<sup>162</sup>

One of the main drawbacks of conventional APT, even with a local electrode, is the limitation of the technique to materials of sufficiently high electrical conductivity to support voltage pulsing; this requirement limits analysis essentially to metallic materials. To analyze semiconductors, ceramics, and even organic materials, requires an alternate method of pulsing. As early as the 1970s, the feasibility of thermal pulsing to effect atom removal in the atom probe was demonstrated using a laser,<sup>159,163</sup> but only with the introduction of stable and reliable solid-state lasers did this approach become viable for APT. Commercial laser-pulsed atom probes were introduced in 2005, and exhibit pulse durations (0.1-10 ps) and repetition rates (1 MHz) that easily exceed the needs of APT. In such instruments, the field evaporation is effected by the thermal excursion that is induced,<sup>163,164</sup> which means that performance may be optimized by heating the smallest possible volume in the shortest possible time (so that cooling is rapid and the field evaporation time window is limited to  $\sim 200$  ps or less).

The most important outcome in the use of laser-assisted APT is that semiconductor and ceramic materials may now be routinely analyzed. For example, Inoue *et al.* used this method to analyze an entire field-effect transistor, comprising a gate, gate oxide, channel, source/drain extension, and halo. An example of an atom dot map is shown in Figure 14.<sup>165</sup> Inoue *et al.* were able to resolve the distribution of dopants within the transistor components, including As atoms in the source/drain extension, and P atoms which preferentially decorated both the interface between gate and gate oxide and the grain boundaries in the polycrystalline Si gate itself. Others have used laser-assisted APT to examine bulk ceramic materials such as alumina<sup>166</sup> and zirconia.<sup>167</sup>

The final procedural adaptation that has significantly transformed the use of APT is the adoption of focused ion beam (FIB) sample preparation. Prior to the introduction of FIB, metallic needle-

shape specimens were generally made by electropolishing. However, this method is not effective for most non-metallic specimens or site-specific locations. FIB machining enabled needle-shaped specimens to be fabricated from most forms of solid materials, and also permitted specimens to be made from site-specific locations in a microstructure.<sup>168</sup> In addition, the annular milling capability of a FIB permits final-stage processing of electropolished needles, into a perfect cylindrical geometry; a circular cross section is critical to a high-fidelity reconstruction, ensuring uniform magnification over the surface.

### **2.2.2. Limitations and future prospects of atom probe tomography**

APT is still far from achieving perfect-fidelity chemical mapping, correctly identifying 100% of the atoms in their exact locations within a specimen. In order to overcome existing barriers and to achieve this goal requires advances in two areas: ion detection with improved efficiency and mass resolution, and reconstruction methods that account for trajectory aberrations. These issues are discussed in turn below.

Between issues of detection efficiency and mass resolution, the accuracy of APT for chemical concentration measurements stands to be significantly improved. For example, the current generation of crossed delay-line position sensitive detectors only detects about 60% of the incident atoms. This is due to the use of microchannel plates (MCP) to amplify the signal from an individual ion into millions of electrons suitable for detection by the crossed delay line detector. The other 40% of ions strike the intrachannel area of the MCP and are not amplified and therefore not detected. Unfortunately, for many problems in solute segregation and clustering, this efficiency is simply insufficient. For example, current APT datasets cannot yet be used to assess the degree of short- or long-range chemical order in a multi-component crystal. Many other critical scientific questions, including, e.g., the formation and arrangement of solute-centered clusters in binary glasses, nucleation of ordered phases, and interface segregation at low specific excess levels, also urgently require the development of 100% efficient single-atom detectors.

A related issue pertains to mass resolution. In wide field-of-view APT instruments, the mass resolution can be sufficiently improved by the incorporation of an energy-compensating lens so that the mass peaks of all isotopes of all elements can be resolved to the noise floor. In voltage pulsed instruments, there is a small spread in the energy of the ions as they are field evaporated from the specimen, leading to a loss in mass resolving power. Therefore, devices that compensate for this energy deficit, such as a magnetic sector, Poschenrieder, or reflectron lenses, are usually integrated into the mass spectrometer. The initial designs for such systems were based on a small acceptance angle instrument. Only very recently has a wide angle variant been proposed by Panayi, enabling both spatial and temporal focusing on the single atom detector.<sup>169</sup> This electrostatic lens improves the mass resolution and, more importantly, reduces the tails in the mass peak sufficiently that finely-spaced mass peaks can be fully resolved to the noise floor. A disadvantage of the current generation lens is a reduction in the detection efficiency due to the use of a field defining grid that blocks a few percent of the ions.

Even with 100% detection efficiency and improved mass resolution, issues of spatial resolution and aberrations in the reconstructed atomic coordinates remain significant barriers to perfect-

fidelity APT. Although there are many materials for which the spatial resolution of APT for composition mapping in three dimensions is already unsurpassed, it is telling that at present, APT data cannot be used to image the atomic lattice and determine the orientation of a crystal. Improving spatial resolution, and removing aberrations in the reconstructed atomic coordinates, defines the future direction of development in atom probe tomography.

A distinction must be made between the spatial resolution in the longitudinal direction (analysis direction) and the lateral directions. The former are determined principally by the visibility of atomic planes normal to the analysis direction, the latter by fluctuations in the trajectories of atoms as they depart the tip. For a refractory metal at a low temperature, i.e., tungsten at 50-60 K, the resolution of APT has been found to be about 0.15 nm in the lateral direction, and somewhat better at 0.06-0.14 nm in the longitudinal direction, based on Fourier analysis of the frequencies in an APT image<sup>170</sup> and so-called spatial distribution maps.<sup>171</sup> The greatest impediment to actually achieving such resolution in all materials and for all conditions is the presence of atom trajectory aberrations, which result from irregularity in the electrostatic fields that effect atom removal from the tip; whereas a perfectly smoothly curved tip would exhibit a uniform field and project ions uniformly upon the detector, irregularities of any kind in the structure of the tip surface lead to non-uniformity in the detected atom positions.

“Homophase aberrations” are those that arise from geometrical irregularities of the tip surface. Faceting occurs in crystalline materials when atoms evaporate preferentially from the edges of close packed planes and not from the plane interior regions. Because a faceted tip is not smoothly curved, ions are preferentially displaced away from the center of the facet, leading to a perceived higher atomic density along facets. Figure 15 shows a histogram of detected atoms from a tip of aluminum, illustrating this effect. Aberrations of this type can be as large as 2 nm or more at the center of major poles in materials with pronounced faceting; in such materials resolution is thus position-dependent with the best spatial resolution occurring between the facet edges. Similar effects are observed in single-phase polycrystals, where grain boundaries can exhibit either a higher or lower density of atoms compared to the grain interior.<sup>172,173</sup>

“Heterophase aberrations” occur because different materials require different electric fields to evaporate atoms. When two phases with different evaporation fields are present on the surface of an APT specimen, the tip shape adapts as illustrated in Figure 16a and the projection magnification thus varies across the image.<sup>174</sup> Figure 16b compares an APT reconstruction of a DRAM device with a corresponding scanning electron micrograph; clearly the APT data are distorted and do not capture the correct geometry of the device. The global resolution in APT of heterogeneous materials thus varies from barely affected (when the two or more evaporation fields are almost identical) to severely distorting (when the two or more evaporation fields are very different).

The simple reconstruction algorithm in widest use today is based on the assumption of a smoothly curved tip. Aberrations of the kind described above are endemic to the wide field-of-view of state-of-the-art instruments, which can virtually never be expected to achieve uniform evaporation. To some extent, improved handling and filtering of APT data may partially mitigate some of these issues in specific cases. For example, Moody *et al.* have removed regions of known aberration from APT images and have shown significant improvements in the visibility of

atomic planes as a result.<sup>175</sup> In the general case, though, what is required is an improved integration of tip structure effects on atomic trajectories into the reconstruction process. While this topic has been modeled, the step of reliably incorporating tip shape into the reconstruction procedure is nontrivial. It requires, first of all, that the tip shape be known at a given time. Conceivably, a good model could then predict the order in which atoms would be removed, as well as their specific trajectories, so reconstruction could be conducted knowing only the tip shape at the outset of the experiment. Alternatively, the tip shape could be periodically assessed and used to correct the model in-situ. In any event, knowledge of the tip shape will almost certainly require an independent measurement, suggesting the possibility of, for example, integrating APT with electron tomography.<sup>176</sup>

An alternative approach is to visually observe the atomic structure before and after each and every atomic removal event. Again, this might be possible by synergizing APT with another method of imaging. For example, Miller *et al.* viewed a NiZr intermetallic atom probe tip with an imaging gas (in field ion microscope mode) before field evaporating a single atom; they then cycled this procedure to watch the sequence of atom removal.<sup>27</sup> A series of field ion micrographs showing eight successive atom removals from this tip is shown in Figure 17. It is at least conceivable that such a strategy could be scaled to larger samples and millions of atoms, providing a direct view of the state of the tip and perhaps even the specific atoms being removed at any given time; the engineering challenge to make this a routine procedure with high acquisition rates, however, is daunting.

A final limitation of APT is that the technique is inherently a static one; due to its destructive nature, it is not possible to even conceive of in-situ microstructural observations with APT (that is, barring the development of a revolutionary new ability to alternately disassemble and reassemble the same structure). At best, time dependency of materials processes can be explored through a sequence of “snapshots” acquired from different samples treated for different times.

### **2.3 Towards 4-D Characterization with X-Rays and Neutrons**

X-rays and neutrons have long been reliable workhorses for the characterization of materials structure, with the largest applications being in radiographic imaging of microstructure and determination of crystal structure and orientation. These classical techniques can be extended to reveal the same information, but with a full three-dimensional view, and the past decade has seen such 3D experiments become routine. At the same time, synchrotron X-ray sources permit a substantial increase in the volume of bulk specimens that can be probed, and neutron user facilities offer complementary capabilities with even greater penetration distances. Owing to the non-destructive nature of these techniques, time-resolved experiments are also possible, giving access to the full 4D space in bulk specimens; these methods are unique among all those reviewed in this article in this regard. This section reviews the major types of experiments that are now common with X-ray and neutron beams, providing examples of the state-of-the-art of these capabilities. In addition, future prospects for each method are highlighted

### 2.3.1 X-ray Tomography: Advances and Applications

Tomography is probably the most well-known 3D X-ray imaging method, and basically consists of recording a series of many radiographs of the same sample viewed at different angles. If different components of the microstructure have sufficiently different absorption coefficients, a 3D image of the microstructure can be reconstructed using algorithms similar to those mentioned for electron tomography.

For relatively smaller and/or lower density specimens, X-ray tomography (XRT) can be carried out with lower-energy beams available on desktop instruments. And, indeed, commercial XRT instruments have proliferated rapidly in recent years, with a characteristic resolution generally of some tens of micrometers. An example of a two-phase structure that has been characterized extensively by XRT is snow firn, multilayer snow that is present on the top 70-100 m of polar ice sheets, and which is comprised of air and water ice. XRT imaging has been used to visualize the structure of the ice within the firn, as shown in Figure 18. One of the key benefits of XRT is also its non-destructive nature, as noted above; this permits extensive subsequent examination of the same samples of firn using other techniques.<sup>177-185</sup>

When carried out on a synchrotron beamline, the capabilities of XRT are enhanced in the size of the sample that can be probed, as well as in the resolution, which approaches the micron range for a conventional set-up. An example of this is shown in Figure 19, which shows a directionally freeze-cast titanium foam. This material was produced from a solidified mixture of ice and Ti powder, from which the ice was removed by sublimation prior to sintering of the powder.<sup>186,187</sup> The resulting titanium foam has application in bone replacement, as it exhibits the same aligned, elongated pore architecture.<sup>188</sup> The architecture of this foam was studied by XRT performed at the Advanced Photon Source at Argonne National Laboratory with a 45 keV synchrotron X-ray beam with 6 microns/pixel resolution;<sup>189</sup> note the improvement in resolution as compared with the results from Figure 18 using a desktop instrument.

Although reconstructed 3D images are visually compelling and can offer some insight merely by inspection, the greatest benefit of XRT is realized when the data are subsequently plied to a quantitative purpose. As the field evolves, greater emphasis is being placed on detailed quantification of microstructure, beyond the scalar metrics accessible by, e.g., stereology on plane sections. For example, in the case of snow firn, XRT data, Figure 18, have been used to assess the three-dimensional pore connectivity and internal surface area.<sup>190-192</sup> These quantitative structural details are of great importance in interpreting the climatic record, because the sintering of ice and entrapment of air in closed pores are key time signatures used to date various locations in ice cores.<sup>193-196</sup> In the case of the titanium foam of Figure 19, the surface topology was explored in terms of the curvature distribution function,<sup>2</sup> and compared with the same distribution from trabecular bone, of which it is an intended simulacrum.<sup>77</sup> A similar analysis can be conducted with the distribution of surface normal vectors, which speaks directly to anisotropy and directionality of the structure. Such 3D microstructure metrics are much more powerful than simple scalar values such as volume fraction, surface area, average feature size and connectivity, and will hopefully be used in the future more frequently with XRT data.

In recent years there have been some significant advances in improving the resolution of synchrotron-based XRT. For example, the increasing precision of nanofabricated Fresnel lenses has progressively refined the focus of X-ray beams to the point where ~20 nm features may be imaged. One example using such high-resolution XRT is shown in Figure 20, which shows results from a study of coarsening in gold foams.<sup>197</sup> Data such as these offer much more than simply a visualization of a static structure; the ability to perform non-destructive imaging of the same volume after, e.g., thermal exposure, permits time-resolved studies of structure evolution. Quantitative analysis of the 3D dataset can lead to a detailed understanding of mechanisms of structure change. In the example from Figure 20, Dunand *et al.* were able to conclude that the de-alloyed nanoporous gold structure is not produced by a coarsening process dominated by bulk diffusion, but rather exhibited quantitative characteristics closer to a phase separated system.<sup>197</sup>

### 2.3.2 3D X-ray diffraction and the mapping of crystallographic orientations in 3D

Whereas XRT is useful for the visualization of phase domains based on transmitted intensity, methods that provide spatial resolution and contrast based on, e.g., crystal orientation or other diffraction-based data are of increasing interest. The method called “3-dimensional X-ray diffraction” (3D-XRD) is a synchrotron X-ray technique developed over the past decade. The instrument is an X-ray microscope that non-destructively characterizes bulk microstructure and simultaneously gives complete crystallographic orientation information. Often, the speed of the instrument is sufficient to follow processes of microstructural evolution in-situ while the bulk samples are exposed to external stimuli.

Full details on the theory behind and evolution of the 3D-XRD apparatus are available in a monograph by Poulsen,<sup>14</sup> but a main operational principal is the use of two sets of detectors that are used for two different types of measurements, as shown in Figure 21. Far-field detectors with relatively large pixel sizes (e.g., approximately 200  $\mu\text{m}$ ) are placed far from the sample for characterization of grain sizes, orientations and strains, while detectors with small pixel sizes (typically approximately 1  $\mu\text{m}$ ) are placed very near the sample for optimal spatial resolution and full mapping of microstructures. As with other synchrotron-based methods, the sample enjoys a large working volume permitting use of furnaces, cryostats, or mechanical test rigs.

3D-XRD measurements are typically carried out with X-ray energies in the range of 45-100 keV, and include characterization of position and volume, 3D shape, crystallographic orientation, as well as elastic and plastic strain of individual microstructural elements such as grains and particles.<sup>14,198,199</sup> The spatial resolution at present state-of-the-art facilities is about  $5 \times 5 \times 1 \mu\text{m}^3$ , while microstructural elements down to about 50 nm can be detected provided overlap of diffraction spots on the detector can be avoided.<sup>14</sup> The temporal resolution can be on the order of seconds to minutes for measurements only using the far-field detector, whereas full mapping experiments using the near-field detectors typically require on the order of a few hours. In addition to the standard 3D-XRD setup depicted in Figure 21, there are other instruments using somewhat different measuring strategies that are deployed at, e.g., Argonne National Laboratory and Hasylab.<sup>15,16,200</sup> These offer different combinations of resolutions; for example improved spatial and orientational resolution but poorer temporal resolution due to longer exposure/scan times.

One example of the use of 3D-XRD has been to resolve the nucleation and growth of individual recrystallization nuclei.<sup>201</sup> The growth of individual nuclei through the deformed matrix of a cold-rolled single Al crystal was followed in-situ during annealing, with a time resolution of 10-20 minutes; a series of snapshots from this experiment is shown in Figure 22. As the deformed matrix in which these nuclei were growing was very homogeneous with interior misorientations below 7 degrees, it was expected that the nucleus would grow homogeneously in space and time. This was not observed experimentally; on the contrary, all nuclei evolved with quite irregular shapes, typically growing faster along the rolling direction. What is more, local protrusions were very often seen to form on the moving boundary; small segments would locally move very quickly, with the neighboring boundary segments following at a slower rate to re-straighten the boundary. These local 3D results have spurred vigorous modeling efforts.<sup>202-204</sup> Whereas interrupted stop-and-go type motion has also been seen in in-situ SEM<sup>205,206</sup> and TEM<sup>207 208</sup> observations of recrystallization previously, only the bulk observational capability of 3D-XRD was able to rule out the possible dominance of surface/thin film effects.

### 2.3.3 Neutron-based characterization in 4D

Neutron scattering is a powerful probe for characterizing the structure of materials at multiple length scales, owing to some unique properties of neutrons. First, they are highly penetrating, permitting measurements on true bulk specimens rather than from surface regions or thin samples. Second, unlike X-ray scattering where the scattering factor scales with atomic number, the neutron scattering length varies sporadically with atomic number and also from one isotope to the next. For example, neutrons are scattered strongly by light elements such as H or O. As a result, neutrons have been used to determine the position of some chemical species that could not be determined otherwise. Finally, neutrons tend to have wavelengths in the range of Ångströms, and as a result can be used to probe structure at scales ranging from the atomic to sub-micron level. For example, diffraction studies provide insights on atomic/crystal structure, while small-angle scattering studies probe scales up to hundreds of nanometers and play an important role in the studies of, for example, coarsening in Ni-based superalloys,<sup>209</sup> nano-scale clustering in metallic glasses<sup>210</sup> or oxide-dispersion-strengthened alloys.<sup>211</sup> At even larger length scales, neutrons can be used for radiography and tomography in the same fashion as are electrons and X-rays, providing three-dimensional views of microstructure.

One of the most valuable and widely adopted uses of neutron analysis in 3D is for the mapping of residual stresses in bulk components. Based on the geometry of the incident beam and the diffraction spots, it is possible to identify the diffraction signal from specific locations within a bulk sample, and by extension to develop a 3D map of the diffraction signal and any information that it contains, including lattice strains (from which stresses are inferred). The basic approach is described in more detail in Refs.,<sup>212-214</sup> and successful examples include stress mapping in engineering components including welds,<sup>215-217</sup> rail heads,<sup>218</sup> aircraft wings,<sup>219</sup> boiler tubes used in paper mills,<sup>217</sup> and natural gas cylinders.<sup>217</sup> The measurement techniques have advanced to a stage where, in many cases, the experimental data can be quantitatively compared with predictions by finite element calculations,<sup>217,220</sup> thereby providing guidance on the design and life prediction of structural components.

A significant puzzle in early neutron analyses of residual stress was how to interpret results obtained from multiple planes. It is here the significance of intergranular strains in polycrystalline materials was recognized. Whereas lattice strains are linear in the elastic range, when plastic deformation occurs, the lattice strain deviates from the linear elastic response. The additional elastic strain that develops in the grains to accommodate inhomogeneous plastic deformation during the macroscopic elasto-plastic transition, is termed the intergranular or type II strain. Signature intergranular strains develop due to the activation of select slip planes, for example. For this reason, the intergranular strains have been used as a fingerprint to gain insights of elastic and plastic anisotropy in polycrystalline metals. For example, by measuring the intergranular strains and using polycrystal plasticity modeling to interpret the results, Wollmershauser *et al.* demonstrated the intergranular strain evolution of CeAg is nearly identical to that of NiAl, indicating that they share a common primary mechanism of plastic deformation, i.e.,  $\langle 100 \rangle \{011\}$  “cube” slip.<sup>221,222</sup> As another example, a recent study on nanocrystalline Ni revealed no intergranular strains, providing evidence of grain-boundary mediated plasticity.<sup>223,224</sup>

Significant intergranular strains also develop as a result of cyclic loading. This was demonstrated by Wang *et al.* for 316 stainless steel.<sup>225</sup> Figure 23 shows the experimentally determined intergranular strains as a function of the tilt angle relative to the loading direction as a function of the number of cycles. The differences in the orientation dependences are due to the presence of intergranular stresses. Such data provide a more rigorous test for numerical modeling of deformation behavior in polycrystalline materials. In some materials, cyclic loading leads to a phase transformation. For example, under cyclic loading, ULTIMET, a Co-based ferritic alloy transforms from retained austenite to the more stable hcp phase.<sup>226,227</sup> In-situ neutron diffraction showed that the volume fraction of the hcp phase increases with increasing number of load cycles.

#### **2.3.4. Future prospects for X-ray and neutron analysis in 4-D**

Major trends for advances in the tomography techniques include the introduction of new sources of contrast for tomographic imaging. For example, phase contrast, or X-ray diffraction contrast tomography could provide not only a spatial reconstruction of phase domain shapes, but also simultaneous mapping of crystal orientations.<sup>228,229</sup> Of course, spatial resolution is always improving, with values in the range of  $\sim 10$  nm becoming possible due to improved optics. Temporal resolution remains a key issue for these techniques, with acquisition times below 30 minutes being highly desirable for in-situ studies of structure evolution. Thus, a key development would be higher brightness sources that would allow experiments to be performed in real time; for example, the incorporation of rotating anode X-ray sources into laboratory-scale XRT experiments could provide more than an order of magnitude increase in brightness and a corresponding decrease in exposure time. Free electron X-ray lasers may also lead to dramatically reduced exposure times for XRT experiments.

Similarly, 3D-XRD may be expected to advance in terms of spatial and temporal resolution; the integration of new detector architectures, such as arrayed or structured scintillators, rather than CCD cameras, could dramatically improve resolution. Perhaps more important, the field is moving presently to improve the standardization of analysis software for 3D-XRD data. In the

future, standardized data acquired at public beamlines perhaps could be made openly available as a service to the community. Another key direction for the advancement of 3D-XRD is to combine it with various tomographic methodologies. There are already published examples of such data,<sup>7</sup> and the resulting synergies may be expected to become more commonplace through fully integrated parallel experiments in the coming years. Similarly, the ability of 3D-XRD to map local strains tomographically within a composite material has been demonstrated, but has yet to find wide application.<sup>230</sup>

For neutron analysis, new facilities and instrumentation upgrades promise major advances in the coming years. For example, the Spallation Neutron Source (SNS) at Oak Ridge National Laboratory houses several instruments specifically designed for structural studies, including POWGEN – the high resolution powder diffractometer, VULCAN<sup>231,232</sup> – the engineering diffractometer, NOMAD – Nanoscale Ordered Materials Diffractometer, EQ-SANS – Extended Q-Range Small-Angle Neutron Scattering, and VENUS for neutron imaging.<sup>233</sup> The VULCAN diffractometer, in particular, is designed for the materials science and engineering community, with a focus on mechanical behavior. This instrument offers a time resolution of 1 second or less, and can accommodate samples in a controlled atmosphere, under mechanical loads, and at temperatures up to 1600 °C. For the now widely-accepted method of neutron measurement of residual stresses, the rapid acquisition time of such new instruments promises a resolved view of stress evolution during, e.g., processing or cyclic loading. Already there have been several attempts to determine the stress and temperature evolution during welding with neutron scattering, including, for example, during friction stir welding.<sup>234</sup> With a stroboscopic measurement technique, it should be possible to determine how the intergranular strains change within a load cycle, or under complex loading states.

## **2.4 Meso-scale Characterization in 3D by Serial Sectioning**

A well-established method for obtaining 3D characterization data is by serial sectioning, which is a conceptually simple strategy comprised of two principal steps. The first step is to create a planar surface through careful removal of a known volume of material. After sectioning, the newly exposed surface is analyzed using a variety of 2D characterization methodologies such as standard optical or electron imaging methods, or those that provide maps of the local crystallographic or compositional information. The combined task of sectioning and imaging is repeated until the desired material volume is interrogated. After data acquisition is complete, a 3D volume is reconstructed from the stack of 2D images.

### **2.4.1 Advances in and applications of serial sectioning**

Serial sectioning studies have a long history as comprising labor-intensive cycles of polish-and-image. Most studies up to the turn of the century involved optical imaging to most rapidly acquire images,<sup>235</sup> with only rare and monumental efforts at more complex imaging (i.e., high-resolution SEM,<sup>1,191,236</sup> montaging to achieve a larger field of view,<sup>237</sup> or orientation imaging<sup>238-245</sup>). Perhaps the finest-resolution serial-sectioning dataset collected by serial manual polishing and imaging is shown in Figure 24, from the work of Lund and Voorhees on a  $\gamma/\gamma'$  nickel-based

superalloy.<sup>1</sup> Steps towards automation of serial sectioning are occurring, and the increasing sophistication of computer controls and robotics promise further advances.<sup>11,246</sup>

By far the most significant advance for serial sectioning analysis was the introduction of the FIB and combined FIB-SEM instruments over the past decade. These microscopes are capable of focusing energetic ions (typically 30 kV Ga<sup>+</sup>) to spot sizes on the order of 5 to 20 nm. Rastered movement of the ion beam enables the controlled removal of material at the nanoscale via ion sputtering interactions, which for a serial sectioning experiment can be used to control the section thickness to a value of 10–15 nm using closed-loop control measures, although larger section values are typically used. By comparison, mechanical polishing has been used to achieve section thicknesses only as fine as about 100 nm. In addition to this unrivaled sectioning capability, FIB microscopes have other advantages. First, ion milling is a fairly universal method for micromachining and suitable surfaces have been successfully prepared in metals, ceramics, polymers, and electronic and biological materials. Second, the method results in relatively low damage as compared with typical abrasive or cutting methods, which helps preserve the microstructural features, especially for multi-phase materials that contain both soft and hard phases or those that contain significant porosity. However, the ion beam can introduce damage or artifacts. For example, Konrad and co-workers analyzed the microstructure around a Laves phase in an iron-aluminide intermetallic alloy, and found that while 30 kV ion beam sectioning provided a surface suitable for EBSD analysis of the Fe<sub>3</sub>Al matrix, the crystal structure of a Laves phase particle could not be obtained due to ion-induced damage in the near-surface region.<sup>247</sup> More recent efforts to characterize the effect of the ion accelerating voltage on the quality of EBSD patterns suggests that future serial sectioning experiments should incorporate low-kV milling protocols to minimize this issue.<sup>248</sup> Note that the selection of the in-plane imaging resolution and serial section thickness (spacing between consecutive sections) should be considered prior to performing the experiment. A rough guideline for selecting the serial sectioning thickness is to ensure that the feature or average-feature-of-interest will be observed in at least 10 sections, and the in-plane resolution is typically on the order of or smaller than the serial sectioning thickness. It is also important for nanoscale FIB-SEM tomography studies to consider the information limit for the characterization methodology that is to be used, to ensure that the voxel dimensions are at least equal to or greater than this value to avoid collecting 'empty magnification.' Typical milling times range from under a minute to over an hour depending on the volume of material that is removed as well as the relative ion sputtering yield.

Recent examples showcasing the value of automated serial sectioning are the works of Wilson *et al.*<sup>249</sup> and Gostovic *et al.*,<sup>250</sup> who used FIB-SEM serial sectioning to study the active cathode regions of conventional solid-oxide fuel cells. Comprising three phases (La<sub>0.8</sub>Sr<sub>0.2</sub>MnO<sub>3</sub> or LSM, yttria stabilized ZrO<sub>2</sub> or YSZ, and pores), the functionality of the cathode in reducing oxygen is directly related to the total length of phase triple boundary per unit volume. In addition all three phases must percolate in order to facilitate the necessary transport processes. Connectivity and percolation represent key property-controlling topology information that is very difficult or even impossible to infer from two-dimensional views. As an example of this, the 3D reconstruction of Wilson *et al.* revealed that a fraction of the triple phase boundaries were not interconnected and, therefore, could not contribute to the electrochemical processes in the

cell.<sup>249</sup> Gostovic *et al.* related the electrochemical properties of the cathode to the porosity, triple phase boundary length, and tortuosity.<sup>250</sup>

The electron beam in a dual-beam FIB instrument can be used for more than imaging, which permits FIB serial-sectioning studies with far richer datasets. For example, spectroscopy can provide mapped compositional information and EBSD can provide local information on the crystallographic character of bulk crystalline or polycrystalline material.<sup>251,252</sup> In fact, the combination of EBSD with FIB serial sectioning is arguably one of the most important advances in structural characterization at the super-grain scale. EBSD provides high spatial resolution (approaching 10 nm), reasonable angular resolution (as good as  $\sim 1^\circ$ ), ease of adaptation to virtually any electron beam instrument, and automated data collection and mapping capability. These patterns provide information on the crystal structure, crystallite lattice orientation and a measure of crystallite integrity (lack of defect content), leading to insight about texture, phase morphology, strain localization, and grain boundary structure.

One significant advantage of collecting crystallographic or chemical maps compared to collecting only image data is the classification of the data into physically-meaningful objects (e.g., grains, phases) can be performed using automated software routines, as compared to image-based data classification that often requires significant human supervision. The commercial manufacturers that supply EBSD instrumentation have developed robust segmentation and analysis methods to convert the Kikuchi band pattern generated by the interaction of the electron beam with the sample into a crystallographic orientation. Thus, the ‘difficult’ part of image segmentation has already been solved, and more importantly, the singular characteristic that defines a grain - common crystallographic orientation - is an inherent part of the data collected during the experiment. The studies of Groeber<sup>10,253</sup> and Zaefferer and coworkers<sup>247,254</sup> have demonstrated that grain structures can be readily defined using this methodology. Similar comments hold as well for elemental image data, especially when used in conjunction with multivariate statistical analysis software.<sup>255</sup>

One of the principal drawbacks of present 3D FIB-SEM analysis that incorporates crystallographic or chemical mapping is the relatively slow rate of data acquisition. For example, although the acquisition rate for EBSD has increased dramatically since the first commercial systems appeared in the early 1990's when the data collection rate was about 1 pattern per second, the fastest commercial systems can only obtain and analyze upwards of a few hundred patterns per second under ideal conditions. The total time required to collect an EBSD map is often practically selected for a serial sectioning experiment to be on the order of a few minutes to over an hour for each section, and when combined with the aforementioned FIB milling step and necessary sample stage movements, the cumulative time per serial section can approach a couple of hours. A case in point is a state-of-the-art serial sectioning experiment in 2006 (cubic voxel size of 0.25  $\mu\text{m}$  and an analysis volume of 96 x 46 x 36  $\mu\text{m}^3$ ) that produced a data cube with over 10 million EBSD data points, and required over 148 hours to collect.<sup>253</sup>

#### **2.4.2 Prospects for future advances in serial sectioning studies**

Serial sectioning experiments provide a near-term and direct pathway to collecting 3D data from the micro- to macro-scale. For serial sectioning experiments of macro-scale volumes, current

automated instrumentation allows one to readily acquire image data via optical microscopy and mechanical removal methods, but incorporation of crystallographic or chemical information requires manual intervention. At the micro-scale, there is a greater quantity of spectral information that can be included in FIB-SEM data collection processes, via either electron or ion imaging and their derivatives, or chemical or crystallographic mapping.

While software to analyze two-dimensional orientation data is rather advanced and available commercially, that for analysis of three-dimensional data sets is still in its infancy, and there are many outstanding issues to be resolved in proper reconstruction and handling of data. For example, it has been shown that for at least some problems, sub-pixel alignment of serial sections might be essential to achieve accurate results.<sup>256,257</sup> This can be seen, for example, by examining the orientation of triple junction lines in an equiaxed microstructure; these should be randomly oriented, with an average orientation parallel to the milling direction. However, triple line orientations from 3D-EBSD datasets, when examined in the sample reference frame, are typically biased; correction requires a sub-pixel rigid offset to the positions in each layer. The development of consensus around reconstruction practices, and their subsequent integration into widely available software packages, is essential to forward progress of the field.

Like the other characterization methods reviewed in this article, automated serial sectioning has yet to be tapped to its full quantitative potential. One can observe three-dimensional structure and extract grains from the structure to view their shapes, but quantitative analysis of morphological or crystallographic features is still not possible from commercially available software. Many research groups have built software to extract various structural measures, but to date there has been no coordinated effort to develop the necessary software tools that would enable complete interrogation of the data. A coordinated community effort would accelerate capability advancement as well as application of this technique.

Similarly, 3D-EBSD provides a wealth of quantitative data, and such information can provide deep physical insights to resolve standing scientific questions. For example, detailed examination of the crystallography and energy of various grain boundaries has revealed an inverse relationship between the grain population and the grain boundary energy across many materials;<sup>258</sup> the data thus reveal an underlying principle of polycrystalline structures, namely, that the lowest energy grain boundaries are, on average, larger in area and occur with a great frequency than the higher energy grain boundaries. With more quantitative analysis of 3D-EBSD, we can expect many other such broadly-reaching scientific principles to be uncovered.

### **3. TECHNIQUE SYNERGY: THE STATE-OF-THE-ART IN CHARACTERIZATION**

One significant consensus among the group was that the most fruitful scientific uses of advanced characterization techniques have occurred not when a single technique was used, but when multiple techniques were synergized to provide complementary data. Similarly, the integration of modeling and simulation with characterization tools invariably increases the scientific value of any experimental dataset. During the discussions, the group deliberated upon and identified many examples of technique synergy that highlight this theme. A selection of these examples is

reviewed briefly in this section, beginning with studies of static microstructural features (nano-scale clusters, dislocations, and interfaces), and ending with some studies that explicitly focus on the study of damage evolution in microstructures.

### 3.1 Technique synergy for the study of microstructural features

#### 3.1.1 Nano-scale clustering

One area in which technique synergy has made significant scientific impact in materials science is in the identification of local chemical clusters in alloy systems. Whereas the control of nanoscale solute clustering has been at the heart of many alloy designs (e.g., in precipitation strengthened or internally oxidized alloys) for decades, the combined use of, e.g., APT, TEM-based methods, and scattering techniques have opened new vistas on such classical problems. At the same time, they have paved the way towards understanding the chemical order in complex amorphous alloys.

In the first example, a wide array of characterization and simulation techniques was brought to bear on a new class of oxide dispersion-strengthened ferritic steels, termed “nanostructured ferritic steels”. These materials exhibit remarkable tolerance to displacement damage as well as possess excellent creep properties at high temperature, making them candidate materials for advanced nuclear reactor designs.<sup>259-261</sup> The alloys in this family are fabricated by mechanical alloying of the metallic components (Fe, Cr, Ti and either W or Mo and Al) with  $Y_2O_3$  powder, and subsequent consolidation by hot extrusion; this process leads to supersaturation with Y and O during milling, and the formation of a high number density of 2-4 nm diameter Ti-, Y- and O-enriched nanoclusters upon hot consolidation.<sup>262</sup> Figure 25 shows APT data revealing the nanoclusters; these data were complemented by energy-filtered TEM and spectrum imaging. In-situ small-angle X-ray scattering revealed these nanoclusters are remarkably stable during high temperature isothermal annealing up to at least 1300-1400 °C. To understand this unusual resistance to coarsening, first principles calculations were used to identify a strong interaction between vacancies, oxygen, titanium and yttrium atoms;<sup>263</sup> the decoration of nanoclusters with excess vacancies and additional solutes is thus believed to insulate them against diffusional coarsening. Support for this theory is provided by positron annihilation spectroscopy data, which verifies that nanoclusters are accompanied by vacancy clusters in these alloys.<sup>264</sup>

The above example is just one of many in which APT has been used to study nano-scale atomic clustering and precipitation in the bulk of alloy systems. In many of these studies the technique is complemented by TEM-based methods to provide quantitative size and shape data on the precipitates. One example that particularly emphasizes the direction of the field in synergizing characterization techniques is provided by the work of Arslan *et al.*<sup>265</sup> Because APT samples are necessarily fine needles, they are well-suited geometrically to analysis by tomographic imaging methods. Arslan *et al.* used STEM tomography and APT on the very same volume of an Al–Ag alloy specimen, and used the comparison between the two datasets to study the artifacts of reconstruction in each of them.

The third example of technique synergy in characterizing nano-scale solute clustering deals with the devitrification of bulk metallic glass, and combines APT as well as small-angle X-ray and

neutron scattering. Yang *et al.* studied a cast glass of  $Zr_{52.5}Cu_{17.9}Ni_{14.6}Al_{10}Ti_5$ , which was partially crystallized to yield a high number density of 10-20 nm particles.<sup>266</sup> These particles gave rise to a characteristic small-angle neutron scattering profile with a strong interference peak at  $Q=0.2 - 0.3 \text{ \AA}^{-1}$ , and a similar though less pronounced peak in X-ray scattering experiments. The scattering data were found to be well described by assuming a core-shell structure for the nanoparticles, and a log-normal size distribution. The core-shell structure was confirmed by laser-pulsed APT analysis, as shown in Figure 26, with cores of  $Zr_2M$  ( $M= Ni$  or  $Cu$ ) and shells of a similar stoichiometry but different composition ( $M = Ni, Cu, \text{ or } Al$ ). X-ray diffraction confirmed the  $Zr_2M$  intermetallic structure of the precipitates. The rejection of Ti and preferential alloying contents in the core-shell structure also were rationalized based on the various heats of mixing of the components.

The study of second phase precipitation and nano-scale clustering is of course not limited to the bulk of alloys, but also poses significant challenges to surface scientists interested in, e.g., film growth, surface phases, and catalysis. For example, Kulkarni *et al.* used both extended X-ray absorption fine structure (EXAFS) and high-angle annular dark-field STEM to study monosized carbonyl clusters of a heavy metal, Os, on a porous support of MgO.<sup>267</sup> Due to the processing history of the specimen, some of the clusters were decarbonylated and others were not. The radii of the undecarbonylated clusters were found by microscopy to be  $3.11 \pm 0.09 \text{ \AA}$ , which agreed well with EXAFS data at  $2.94 \pm 0.07 \text{ \AA}$ . By analyzing partially decarbonylated clusters and again finding agreement between EXAFS and STEM, Kulkarni *et al.* were able to conclude that decarbonylation did not significantly affect the dimensions of the metal frame in the clusters.

### 3.1.2 Dislocations

The mechanical properties of crystalline materials depend upon the dislocation density and its variation with position and history. What is more, dislocation densities are a primary experimental measurable against which, e.g., crystal plasticity models can be calibrated and tested. With the ever-increasing speed and resolution of EBSD measurements, large field-of-view dislocation density tensor measurements have become routine in 2D (which allows access only to dislocation structures that cause lattice rotations in the plane). As the examples below show, the development of 3D-EBSD and its synergy with and validation against X-ray microdiffraction measurements now puts the field on the brink of having routine access to the full dislocation density tensor in 3D.

As an example, consider the determination of excess dislocation content made on a deformed Cu single crystal by using 2D-EBSD and X-ray micro-diffraction techniques.<sup>268</sup> The same volume of material was probed by both methods. Both techniques yielded a similar distribution of misorientation and even dislocation density. However, the structure as seen by X-ray micro-diffraction showed sharp dislocation walls whereas EBSD showed a loose tangle of dislocations and diffuse dislocation walls. The difference can be seen by comparing the images presented in Figure 27. The angular resolution of the X-ray technique is superior to that of the EBSD measurements by more than an order of magnitude ( $0.01^\circ$  compared with  $0.5^\circ$ ), but the spatial resolution of EBSD is sufficiently superior ( $\sim 10 \text{ nm}$  compared with  $\sim 2 \text{ \mu m}$ ) that it yields a more detailed view of the dense dislocation structures. The impact on the measurement of the

dislocation density of extending the EBSD analysis from 2D to 3D was considered by Field *et al.*<sup>268</sup> In this work, 1050 aluminum was studied after hot rolling, and a direct comparison of the two- and three-dimensional dislocation density measurements based on 2D- and 3D-EBSD, respectively, is shown in Figure 28. Figure 28a shows an orientation image of a deformed crystallite, while Figures 28b and 28c contain gray scale images of the same region showing the spatial variation of excess dislocations as gray scale maps. The average excess dislocation density is on the order of  $9 \times 10^{13} \text{ m}^{-2}$  as determined from the two-dimensional measurements, and somewhat higher at  $2 \times 10^{14} \text{ m}^{-2}$  when using the full three-dimensional information. Although the grey-scale images do not show the full detail of the dislocation density tensor, there were key differences in the details of the tensor components between the 2D and 3D measurements.

The evolution of 4D characterization by X-ray diffraction during mechanical loading has provided new insight to strain evolution and dislocation patterning in a single grain in the interior of the sample. An example of a surprising finding on this topic is the fluctuation in the strain map with increasing strain, which suggested the dislocation structures were not static but evolve dynamically, forming and annihilating until becoming stable at some level of strain.<sup>269,270</sup> This insight hints at collective processes of annihilation and construction, which is contrary to the traditional concepts of continuous formation of structures. Digital image correlation as well as thermal dissipation measurements on deformed Zr showed that the deformation microstructure was continually evolving during loading.<sup>271</sup> Similarly, time-resolved deformation experiments in the TEM along with computer simulations have shed new light on how dislocations interact with precipitates<sup>272-275</sup> and other defects.<sup>276-279</sup> These studies suggest that the simple dislocation-particle interactions described in textbooks for strengthening mechanisms and creep frequently capture only one of many possible interactions, and that the interaction process can evolve with strain.

The combination of methods outlined in this section is providing new insights and questioning conventional concepts as to how dislocations interact with other defects and how they determine the mechanical properties of materials. These in combination with the advances in computational tools are providing unprecedented opportunities to model and predict mechanical properties of materials.

### 3.1.3. Interfaces

Many of the most significant problems in materials science pertain to interface composition and structure, and no corner of the field stands to benefit more from the synergy of advanced characterization techniques than does interface science. As the following examples show, technique synergy will have profound impact both on the study of individual interfaces, and on the full network of interfaces in polycrystalline solids.

The first example shows a detailed multi-capability study of individual grain boundaries by Taheri *et al.*, who aimed to connect boundary character, mobility, and composition.<sup>280</sup> Their work combined EBSD (2D) and APT of select individual boundaries. The alloy studied was an aluminum alloy with principal alloying elements of Cu and Zr. In-situ annealing during EBSD

permitted direct observation of recrystallization and the identification of specific boundary types with different mobilities. For example,  $\Sigma 7$  type coincidence boundaries were highly mobile, as shown in Figure 29. To better appreciate why mobility varied between different boundary types, Taheri *et al.* used FIB machining to prepare site-specific atom probe specimens centered upon individual grain boundaries (Figure 29b), which lay parallel to the needle axis. From their APT work, Taheri *et al.* observed the presence of both copper and zirconium at general high-angle grain boundaries, but only Zr at coincidence site lattice boundaries. This result presents a large step toward correlating various aspects of interfaces, namely, grain boundary mobility, solute segregation, and character.

An example in which TEM, APT, and computer simulations were all necessary to probe the composition of the grain boundary network is provided by the work of Detor *et al.*<sup>173,281,282</sup> They studied nanocrystalline solid solution Ni-W alloys prepared by electrodeposition; in this system, W is known to promote grain refinement, presumably due to W segregation at grain boundaries. Detor *et al.* specifically explored grain sizes of about 20, 10 and 3 nm, which correspond to non-dilute levels of W ranging from about 10 to 20 at%. At these small grain sizes, a single set of APT data comprises many grains and grain boundaries, and the grain boundaries cannot be clearly observed in the APT data. At the same time, TEM can give a sense of the average grain size, but it is difficult to study chemical segregation with TEM-based methods at these very fine scales, with samples that necessarily contain many grains through their thickness, and with non-dilute solute levels that exhibit low segregation contrast. Accordingly, Detor *et al.* used Monte Carlo simulations with multi-body metallic potentials, to prepare an atomistic model of fcc Ni-W with a 3 nm grain size, and with W in an equilibrated segregated state at the grain boundaries. With this simulated sample they verified that statistical analysis of the W distribution could accurately reveal the state of segregation; for example as shown in Fig. 30a, the autocorrelation function of W atoms reveals a principle peak at the known grain size of the simulated sample. When the same statistical analysis was subsequently applied to experimental APT data (Fig. 30b), the authors were able to establish that W is indeed subtly segregated to grain boundaries even at grain sizes as fine as 3 nm. In subsequent work, Detor *et al.* considered annealed specimens at larger grain sizes, and verified through more direct analysis that W is segregated to grain boundaries in such alloys, as shown in Fig. 30c.<sup>173</sup>

## 3.2 Technique synergy for the study of damage evolution in materials

### 3.2.1. Radiation damage

Radiation damage is a classical science and engineering problem that can expect major advances in understanding due to the suite of new characterization tools that are available. An example of state-of-the-art experimental work in this area is provided by the work of Was *et al.* [unpublished data], who combined the use of TEM, STEM, and APT to study a commercial purity 304 stainless steel alloy and a controlled purity 304 alloy with increased Si content. These materials were irradiated to 5 dpa at 360°C using 2 MeV protons. With TEM and STEM, a number of interesting observations were made. For example, dark-field diffraction contrast imaging in the TEM permitted quantitative analysis of faulted (Frank) loops generated during irradiation, and revealed second phase particles caused by irradiation, and believed to be rich in Ni and Si.

STEM analysis revealed significant depletion of Cr, Fe and Mn at grain boundaries and enrichment of Ni and Si there.

Each of these observations provides some information about the effects of radiation on structure. However, the complementary use of APT to analyze irradiated material provided a wealth of additional quantitative information about these features. For example, the dislocation loops were decorated by segregated Si, or Ni and Si-rich clusters. As a result, dislocation loops could be observed in the APT data; their size (6 nm) matched the quantitative measurement obtained from loop size measurements made on electron micrographs (5.7 nm). Figure 31 shows the APT data for an irradiated sample with excess Si content, revealing the distribution of Ni- and Si- rich clusters. As evidenced by the lack of overlap between the contours of 45 wt% Ni (a) and 15 wt% Si (b), the Ni and Si content vary from one particle to another, Figure 32. Figure 32c shows a high magnification image of a Ni- and Si- rich cluster along with a composition profile showing that whereas the enrichment of Ni and Si extends to ~10 nm, only the ~2 nm core of the cluster approaches the stoichiometric composition of the expected intermetallic (Ni<sub>3</sub>Si). Compared with this specimen, a stainless steel of lower Si concentration contained even fewer clusters that reached the composition of Ni<sub>3</sub>Si.

It is well known that irradiation causes compositional modifications at grain boundaries. STEM analysis of grain boundary composition, while quantitative, is not sufficiently sensitive to all elements. APT was used to characterize the composition of grain boundaries in the irradiated condition, yielding data such as shown in Figure 33. Both APT and STEM revealed grain boundary segregation of Ni and Si, and showed excellent agreement in the magnitude and profiles of Ni, Cr, Mn and Si. However, APT revealed B and P segregation that could not be resolved in STEM. The concentration of P at the grain boundary was about 15 times higher than in the bulk and B was more than 200 times higher after irradiation. This compositional modification has important implications for understanding the degradation in the mechanical properties of irradiated materials

In analyzing damage evolution in materials, time-resolved measurements are obviously highly desirable. The attachment of an ion-accelerator to an electron microscope has enhanced understanding of long-term damage evolution from displacement cascades as well as the evolution of the damage microstructure under prolonged irradiation in metals and semiconductors.<sup>283-285</sup> When direct experimental data on the structure evolution is lacking, there is an important opportunity for simulation to provide fundamental insights. An example of this is provided by the work of Misra and co-workers,<sup>286-288</sup> who studied radiation damage in nanolayered composites with structural wavelengths on the order of a few nanometers. Figure 34a shows an under-focused bright-field TEM image of a helium ion irradiated (150 keV,  $1 \times 10^{17} \text{ cm}^{-2}$ ) nanolayered composite of Cu and Nb, with a 5 nm structural repeat length. At this dose, no helium bubbles, stacking-fault tetrahedra or interstitial loops were detected, and the compositional sharpness of the Cu/Nb interfaces was retained. At higher helium doses (35 keV,  $1.5 \times 10^{17} \text{ cm}^{-2}$ ), ~1 – 2 nm diameter helium bubbles were resolved in defocused bright-field TEM images (Figure 34b). At higher temperatures, these bubbles can grow in-situ during irradiation; at 500°C, diameters of 20-50 nm were observed in a very coarse multilayer. However, in the 5 nm multilayer the bubble size in the Cu layer is on the order of layer thickness, indicating that the bubble growth is arrested by the interfaces.

These experimental data show that interphase interfaces act as sinks for radiation-induced point defects and solute atoms such as helium. In order to better appreciate the specific mechanisms underlying this effect, complementary atomistic simulations were employed. Specifically, they showed that in the copper-niobium system, the interface structures exhibit multiple atomic arrangements with nearly degenerate energies. Thus, when a vacancy or interstitial is absorbed in the interface, locally the atomic arrangement can change from one structure to another, providing a means of temporarily storing defects until they can recombine (restoring the interface to its first configuration). Furthermore, the defect formation energy at an interface is significantly lower than in the bulk, and a defect core gets delocalized at the interface thereby increasing the distance over which a vacancy and an interstitial can recombine spontaneously. Taken together, the experimental characterization of irradiated samples and the atomistic modeling provide a sound physical picture for the damage evolution process in Cu-Nb multilayers, and point to design principles for radiation-resistant materials.

### 3.2.2 Stress-Corrosion Cracking

The characterization approach to the classic problem of stress corrosion cracking (SCC) has been revolutionized in recent years. Indirect methods, in which large-scale measurements are used to infer local details of corrosion behavior or crack propagation, are now being augmented by direct measurements that reveal the true details of the microstructure and local composition over multiple scales. The science of SCC thus stands to undergo dramatic expansion and revision in the coming years, entirely as a consequence of using a synergetic combination of characterization techniques.

An example of this strategy is provided by the work of Lozano-Perez *et al.* to understand the effects of cold work in SCC of 304 stainless steel; cold working is known to increase the crack growth rate in pressurized water nuclear reactors under primary water conditions, but the mechanisms that control crack propagation are unclear.<sup>289-293</sup> Cold-worked samples are harder, have measurably different corrosion properties, and exhibit a complex microstructure with high density of so-called “twin deformation bands,” which have a complex internal structure where sub-cells and dislocation bundles are frequently observed. The density of bands is dependent on the level of cold work. However, localized regions with high dislocation densities appear from the very early stages of deformation. These bands, as revealed by 3D microstructural analysis by FIB-based serial sectioning, oxidize to depths of about 1.5  $\mu\text{m}$  from the nearest free surface, see Figure 35. APT analysis of the surface oxide showed it to be composed of two layers, the inner layer was a Cr-rich spinel and the outer layer was a Fe-rich spinel (not included in the APT reconstruction shown in Figure 36).<sup>294</sup> The inner Cr-rich surface oxide is clearly visible at the top of the sample, Figure 36a, and a volume containing the interface with the metal shown in detail in Figure 36c together with a concentration profile, Figure 36d. The most interesting findings, however, are a series of Cr-rich oxide intrusions below the oxide/metal interface which can be seen in Figure 36a and from the top (with the Cr-rich spinel cap removed) in Figure 36c. These oxide pockets, with sub-stoichiometric compositions, are associated with the defects caused by the prior cold working (e.g. dislocations). Furthermore, Li, an additive to the cooling water in the nuclear reactor, was revealed for the first time to be incorporated in the growing Cr-rich oxide.

High-resolution STEM imaging of the crack tip region confirmed that the deformation twins, and its associated high-defect densities, are preferential sites for oxidation. Oxidation rates were found higher than on the free surface, suggesting that they were stress assisted.<sup>289</sup> Finally, electron tomography was used to reconstruct a 3D volume containing a crack tip and all the relevant microstructural features around it. As can be seen in Figure 37, the crack was arrested between regions with high deformation twin band density, and opened considerably through plastic deformation of the top grain. Only the presence of diffraction contrast enabled the representation of the deformation twin as planes in 3D in the reconstruction (see Figure 37b). Such microstructural details are of particular interest in order to fully understand the stresses in the sample and the interaction of the crack tip with these microstructural features.

## **4. GRAND CHALLENGES FOR MATERIALS CHARACTERIZATION IN 4D**

In the course of their deliberations and discussions, the group identified major research directions that warrant focused attention in the coming decade. These “grand challenge” problems span instrumentation advances, to general materials science questions of broad generality, to specific engineering problems that demand extreme characterization of materials. In this section we provide a short summary of these problems, with the hope that these ideas will inspire new efforts.

### **4.1 Grand Challenges in Instrumentation**

Although the specific development trajectories of each family of characterization methods were presented in Section 2, a few broader challenges to the instrumentation community were identified. Several notable gaps in the characterization landscape are in need of focused attention in the coming years and these are outlined in this section.

- **Direct observation of atomic processes.** There are presently no techniques with simultaneous sub-Ångström spatial resolution and picosecond temporal resolution, and yet these are the scales associated with atomistic mechanisms that underlie the most pressing problems in materials science. For example, diffusion mechanisms are presently only accessible indirectly through inference or simulation, whereas direct atomic-scale observation of such processes would propel the field forward in a giant leap. Apart from atomic dynamics on crystal lattices, major problems in interface diffusion and mobility, dislocation dynamics, glass rheology and diffusion in amorphous materials all stand to be resolved if such a technique could be developed. Problems in damage evolution often involve the production, migration, and interaction of point defects on these scales, and also await a method of direct observation. One can envision the development of, for example, next-generation DTEM with simultaneous spatial and temporal resolution that will make it possible to study point defect dynamics. Due to the accessibility of these scales with atomistic simulation methods, it is also possible to envision closely coupled experiments and models (in a manner similar to reverse Monte Carlo interpretation of experimental signals) that together provide insight on such processes.

- Mapping of crystallographic information at the nanoscale. The tremendous impact of EBSD directly demonstrates the value of mapped crystallographic information, which provides insight on processing history, structure-property relationships, interfaces and interfacial networks, local plastic strain distribution, and phase structure. The transition of EBSD into a 3D technique, and the emergence of complementary methods like 3D-XRD provide a clear evolutionary trajectory for the coming years. However, the limited spatial resolution of these methods leaves a substantial gap in the characterization toolbox, and there is now a pressing need for the ability to map crystallographic orientation information with nanometer or even sub-nanometer resolution. Crystallographic information about individual nanocrystals, dislocation networks, and other complex features with nanometer-level detail are presently not accessible except through traditional electron diffraction analysis conducted manually. Recent years have seen significant development of automated crystallographic mapping routines in the TEM,<sup>295</sup> the perfection and wide dissemination of these techniques is a significant challenge that can fill an important niche of broad applicability across MSE.

- Mapping of hydrogen. Many problems of technological and scientific importance rely upon knowledge of the distribution of hydrogen in materials; metal embrittlement, corrosion, stress corrosion cracking, hydrogen storage, catalytic surface processes, local impurity doping in semiconductors, and organic materials analysis represent a few specific examples. And yet today there is no established technique capable of mapping hydrogen at the nanometer length scales, especially in three dimensions. This short-coming is attributable to detecting hydrogen levels above background levels in analytical instruments and to the high mobility of the species. Some efforts have been made to explore hydrogen mapping with APT<sup>296-298</sup> or with EELS,<sup>299</sup> but these are not widely accepted and in the current form are not applicable to all materials of interest. The development of a more robust and generally-applicable capability would enable studies of hydrogen in a number of key technologically important areas.

- Mapping of free volume. Excess volume, or free volume, is a nebulous feature of many microstructural elements, most notably dislocations, grain boundaries, and triple junctions. Their free volume content can be critical to their ability to act as vacancy sources or sinks, to their mobility, and to the pressure-dependence of properties that depend upon them. What is more, free volume is appreciated as perhaps the most important state variable controlling the properties of amorphous materials<sup>300</sup> and is of increasing interest in understanding the state and properties of grain boundaries in nanostructured materials.<sup>282,301</sup> Unfortunately, a systematic tool to measure local excess volume around such features is lacking. Advances in high resolution TEM and so-called fluctuation microscopy<sup>302</sup> provide some steps towards resolving this complex problem, but have not yet reached the level of sophistication needed to address the free volume distribution as a key factor in structure-property connections, or to study its evolution in real time. It is therefore a grand challenge to the field to develop a robust tool that can measure atomic density with nanometer-scale resolution in general atomic environments.

- Automation and Software. Across all of the varied and diverse characterization methods discussed here, there is an overarching need for standardization of experimental methods and data analysis. For many of the techniques, the scientist remains actively involved in the data acquisition process; while this leads to improved quality with present instruments, it also

introduces numerous uncontrolled variables and inevitably leads to variability across research groups and instruments. The trend towards automation of these techniques can address this issue; automated experimentation necessarily leads to standardization of accepted regimens. Similarly, better software tools are needed for handling the invariably large volumes of data that are associated with new characterization tools. This need includes software for archiving data in a readily-accessible format, including the raw data for future re-processing in the likely event that better post-processing data software is later developed. The introduction of automation and standard software packages can also lead to discussions of data sharing protocols; the most efficient global materials research enterprise may be one in which such data sets are broadly available to the entire community.

## 4.2 Grand Challenges in the Characterization of Interfaces

Among the many microstructural features that can be characterized by the techniques in this paper, interfaces offer the largest set of scientific questions that remain unanswered. With the improved resolution and field-of-view of today's characterization toolbox, interface and surface science stands on the cusp of a major revolution. A number of grand challenges around interfaces were identified and these ranged from the level of individual interfaces to complex collections of interfaces in solid materials.

- Perfect-fidelity reconstruction of a general interface. Virtually every technique reviewed in this paper has been used to characterize interfaces, although in every case there are limitations to the characterization. For example, APT provides a view of interface chemistry, but with known artifacts and without providing knowledge of the interface crystallography. Similarly, TEM-based methods are usually restricted to a single view on boundaries with special symmetries. A near-term grand challenge for the field is to link all of the available techniques together to completely characterize the nature of a single, general interface of no specific symmetry; to chemically identify each and every atom and its true spatial location (in 3D) at a general interface. Such analysis would provide complete detail about the sites for solute segregation, excess free volume, as well as extrinsic and intrinsic dislocation content. Several of the instruments discussed in this paper, when properly combined, are at the edge of being able to provide this level of quantification. For example, if APT detection efficiency could be improved to 100%, interface reconstructions could be validated and precisely corrected through quantitative comparison with atomic-resolution TEM tomography, using site-specific samples prepared by FIB.
- High throughput mapping of interface properties. A second grand challenge for interface science is to move from high-fidelity characterization of individual interfaces, to characterization and mapping of structure and properties across the full multi-dimensional spectrum of possible interfaces in a given system. For grain boundaries in single-phase materials, this corresponds to the characterization of five macroscopic degrees of freedom comprising the misorientation as well as the orientation of the boundary plane normal. For multi-phase materials or materials without centrosymmetric point symmetries, the dimensionality of the space is even larger. The challenge of mapping these multidimensional interfacial character spaces is thus one of scope,

requiring systematic sampling over many interfaces, the vast majority of which are not of special symmetry. Whereas crystallographic stereology<sup>303-305</sup> and 3D-EBSD<sup>9</sup> have permitted characterization of the spectrum of boundary types that exist within polycrystals, direct measurements of local boundary properties across this space are extremely rare as yet. The problem is therefore more complex and involved than simply preparing and systematically examining interfaces over this large continuum; rather, local property measurements are required at each point in the multidimensional space. Interfacial mobility, segregation and diffusion coefficients, resistance to cracking, slip transfer, sliding, conduction, and corrosion, are all properties that are poorly mapped as a function of the interface character. Perhaps the simplest boundary properties to access are those amenable to straightforward analysis via geometric or surface analysis techniques. For example, local corrosion potentials at grain boundaries could be mapped periodically on select 2-D slices during a serial sectioning procedure using electrochemical microscopy or atomic force microscopy after chemical etching. A far greater challenge is posed by properties that depend in detail on the atomic structure at local boundaries. For example, mapping the local segregation potential for solutes as a function of interface character does not seem tractable merely by combining the characterization tools available at present as the scales are mismatched significantly. Whereas boundary character of a large collection of interfaces may be attained by 3D-EBSD or 3D-XRD methods, the problem of simultaneously acquiring atomic-level segregation information at each boundary (via atom probe tomography or electron microscopy-based spectroscopy techniques) appears essentially impossible. In this regard, improved overlap in the field-of-view of these techniques presents a significant roadblock to progress. Perhaps a more likely solution would be the emergence of a meso-scale method for orientation mapping in the TEM, which, when combined with a chemical mapping method in the TEM, could address simultaneously both key elements of the problem.

- Interface networks. Another significant challenge is posed by interfacial properties that are influenced strongly by the ensemble behavior of the interface network. For example, grain boundary sliding is decidedly a local property of each individual boundary, but is influenced by mechanical constraint from the surrounding grains, boundaries and triple junctions. Similarly, interfacial cracks have stress fields that feel microstructure at some distance. Grain boundary mobility is a local property, but boundary motion in an ensemble of grain boundaries is much more complicated than a simple superposition of individual boundary mobilities. The effects of near-neighborhood<sup>306,307</sup> as well as long-range network properties<sup>308-311</sup> are appreciated in a qualitative sense, but quantitative assessment of ensemble properties and their connection to local interfacial properties remains a largely open topic of great importance for many fundamental materials evolution problems. With advanced characterization techniques such as 3D-XRD it is now possible to study non-destructively the motion of interface ensembles, and correlate, e.g., local mobility to neighborhood. Modeling studies have pointed out the importance of long-range connectivity among boundaries of different character in several contexts, including diffusion,<sup>312</sup> creep,<sup>313</sup> and cracking,<sup>314</sup> but such studies provide, for the most part, early qualitative indications of such effects. A grand challenge for interface science is thus to successfully bridge characterization tools to understand complex collective effects among an ensemble of interfaces of varied character.

### 4.3 Grand Challenges for the Engineering Science of Materials Degradation

In the realm of materials damage, characterization tools must provide details not only on specific microstructural features, but on their evolution with time and exposure to a stimulus. Many materials damage problems involve mechanisms that span orders of magnitude in spatial and temporal scales. What is more, these damage processes are often at the heart of technological problems with large economic and societal costs. The engineering science implications of materials characterization in 4D as it pertains to some of these problems as well as the challenges that should be addressed were considered.

- Radiation damage. Despite decades of research, radiation damage in complex materials is still a topic comprising many unanswered questions. These are of vital interest not only for lifetime prediction and materials selection in contemporary engineering situations, but for future materials needs in, for example, next-generation fission reactor cores or fusion reactor first walls. Additionally, radiation is now perceived as an interesting potential tool to restructure matter, both in the bulk and during deposition, to create non-equilibrium microstructures with enhanced properties. The key challenge in this space is to understand the defect clustering process in materials subjected to irradiation, as these clusters ultimately drive the damage. These advances will only be achieved by elucidating the atomic- and molecular-level mechanisms of defect production and damage evolution triggered by single and multiple energetic particles and photons interacting with solids and to follow the temporal evolution of the damage state over many orders of magnitude. A range of extreme photon and particle fluxes, including advanced photon and particle-beam sources, must therefore be coupled with the modern characterization toolbox. In particular, time-resolved characterization techniques are critical to the understanding of the kinetics and time evolution of defect aggregation, annihilation and absorption. Accordingly, the improving spatial resolution and pulsed-time functionality of intense light and neutron sources create opportunities to image the formation of atomic and nanoscale defects as they are created. High resolution TEM coupled to an ion accelerator can also provide real-time tracking of defect cluster initiation and growth. Development of an *in situ* ion irradiation capability in a TEM with spatial resolution of sub-Å and temporal resolution in the nanosecond range could elucidate experimentally the physics of interaction of radiation-induced defects at interfaces. Mechanisms involving emission of interstitials from grain boundaries to annihilate vacancies near boundaries may occur at nanosecond time scales as revealed by recent MD simulations,<sup>315</sup> but cannot be verified currently due to lack of spatial and temporal resolution in *in situ* ion irradiation experiments in TEM. Radiation also induces local compositional changes that can be detected with APT, although synergy of APT with other in-situ methods will be required to develop a full time-resolved view of the process.

- Corrosion. The degradation of material surfaces in chemically active environments is another topic where time-resolved characterization is viewed as critical to understanding existing materials performance and designing improved materials as well. Corrosion problems occur in environments that render the material thermodynamically unstable, where stability is frequently governed by protective surfaces, such as oxides, sulfides, etc. The stability and permeation resistance of these films are a function of the temperature, pressure, type, and concentration of chemical species in the environment, as well as material composition (phases), microstructure, deformation, imposed electrical potential or radiation, and other factors. A significant concern

with ex-situ characterization, therefore, is that upon removal of a sample from the stimulus environment, the character of the surface film may evolve. Thus, fundamental knowledge of reaction dynamics and film stability in such environments is still lacking, and ex-situ characterization is considered unlikely to yield a deep understanding. In-situ techniques based on time-resolved TEM, spectroscopy and X-ray diffraction, etc., can provide complementary information on the oxidation process over different length scales. The present challenge for the field is to develop the capability to conduct such synergetic characterizations in realistic environments (involving high temperature, pressure, corrosive medium, and radiation).

- Nucleation of life-limiting defects in structural materials. Although the basic mechanisms that underlie most mechanical failures (e.g., ductile rupture in overload conditions, or fatigue cracking in cyclic loading conditions) are known, these failure mechanisms are often responsive not to the average microstructural state, but to extreme states at specific locations within a material. Nucleation of the life-limiting flaw is poorly understood, although nucleation may comprise a significant fraction of the component's lifetime. The nucleation event in this context may pertain to dislocation source activation that marks the onset of plastic flow, crack initiation from a microstructural feature, or nucleation of the first void that precedes rupture. It is a grand challenge in understanding mechanical damage to study such nucleation events, and learn to identify *a priori* regions within a microstructure that are susceptible to them. In the case of plasticity, the heterogeneous nucleation of dislocations at interfaces, surfaces, grain boundaries, and on existing dislocation networks, is beginning to attract focused study, most of which is simulation-based; complementary 4D characterization tools must be plied to characterize dislocation networks in three dimensions as they evolve under loading. In the case of fatigue cracks, the literature establishes empirically that orientation, microstructure, and chemical environment all influence crack nucleation; 4D characterization methods may reveal how decohesion, delamination, or perhaps the accumulation of excess volume produces a crack that will limit the lifetime of a material. The microvoid coalescence mechanism of ductile rupture, while reasonably understood at low strain rates, remains mysterious under extreme conditions such as shock loading; 4D characterization of this process requires ultrafast analysis methods commensurate with the timescales of the shock itself.

- Degradation at high temperatures. When elevated temperatures are involved, materials damage is often related to structural evolution, phase changes, coarsening, and their effects on properties. The challenge of characterizing damage evolution (corrosion, cracking, creep) in an evolving structure at high temperatures is certainly a grand one, but many critical energy technologies are limited by such considerations, especially those involving turbines. The materials used in such environments display microstructural complexity spanning multiple length scales. At the nanometer scale, there are problems of coarsening and rafting of nano-scale precipitates, complex solute segregation patterns at grain boundaries, phase interfaces, and dislocations, and nucleation and growth of topologically close packed phases. At the microscale there are casting and processing defects such as freckles, or interfacial problems associated with engineering coatings at the surfaces. At even larger (super-millimeter) scales, there are additional issues of macro-chemical segregation due to the solidification process, and complex residual stress patterns at the component level. The level of understanding of all these issues is sufficient for engineering design in many cases, but future advances in materials design require a more holistic and scientific understanding of these complex issues and how they interact. To this

end, the full suite of current analytical methods must be applied synergistically, with considerable emphasis on in-situ measurements in hostile and complex environments.

## **5. CONCLUSIONS: THE GRANDEST CHALLENGE**

It is an exciting time to be a materials scientist; the many parallel advances in characterization that have been made in the past decade have opened new vistas on material structure, its origins in processing, its evolution with time, and its effect on properties. These characterization advances foreshadow a period of rapid growth in the discipline, in the depth of our scientific understanding, in our engineering capacity to mitigate materials damage, and in our ability to design, control and manipulate the structure of a material to evoke unique properties. The grand challenges posed in Section 4 reflect this optimistic expectation; we propose that nothing less than complete three dimensional understanding of complex microstructures and their spatial and temporal evolution should be the aim of the field in the coming decade.

An overarching conclusion is that materials characterization is a complex landscape of complementary capabilities, and all of these are essential to resolving the multifarious time and length scales associated with materials structure. The most exciting advances in characterization to date have occurred when more than one technique was applied to provide complementary sets of data on a single feature or phenomenon. Nonetheless, the synergy among techniques presented in Section 3 is still only at the proof-of-concept level at this point. In light of this, it was proposed that now is the time for “big thinking” in technique synergy; as each characterization technique continues to advance, significant effort should be focused on integrating them together.

The question of how to achieve “extreme technique synergy” is one that is best addressed by the field, through the creative activity of individuals and their interaction through collaboration and scientific exchanges. Some possible directions for extreme technique synergy were discussed. For example, the development of dedicated integrative characterization facilities that combine today’s capabilities in new ways. Specific examples might include:

- in-situ electron microscopes comprising multiple columns suited to different techniques, permitting time resolution from the picosecond to super-millisecond scales,
- an APT apparatus incorporating rapid, high-resolution TEM tomography, to perfectly reconstruct the position of every atom in the evaporation sequence,
- dramatically accelerated time-resolved radiation tomography (electron, neutron and X-ray), using two or more crossed-axis beams working in unison to provide complementary views of the same specimen.

Many other examples of a similar flavor were discussed at the workshop, and many more can be envisioned.

Another clear direction for extreme technique synergy that is needed across the full spectrum of material science is the concept of “multiscale characterization”. Just as computational materials

scientists developed the multiscale modeling paradigm when faced with multiple techniques at disparate scales, characterization scientists must now proceed to develop coordinated research programs that seek to address characterization as a multiscale problem. One opportunity would be to identify and focus on “hot spots” or “weak links” in a complex structure through the coordinated passing of characterization information from one scale to the next: neutrons at the component level, 3D XRD at the microstructural level, FIB-based local specimen preparation for in-situ TEM and APT analysis at the nanoscale. At each scale, the characterization data are used to focus on a smaller volume for analysis by the next method in the sequence. Many other multiscale paradigms can be envisioned, but it seems clear that many problems in MSE span orders of magnitude in length and time scales, and coordination across both scales is a necessary next step for the field.

Although these proposals in “extreme technique synergy” are recognized as monumental, they represent a challenge more to the political and organizational mettle of the field than to our engineering abilities. In this light, it is hoped that the present report will inspire thought, organization, and activity towards the vision of perfect-fidelity materials characterization in 4D.

## 6. ACKNOWLEDGEMENTS

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### FIGURE CAPTIONS

Figure 1. Bright-field TEM micrograph of the dislocation structure around a crack tip in Si. The diffraction vector was  $g = 220$  and the foil orientation  $[001]$ . From Ref.,<sup>34</sup> Copyright Elsevier, reproduced with permission.

Figure 2. (a) illustration of the non-uniform sampling of Fourier space brought about by the acquisition of a tilt series. If a simple back-projection is performed then the relatively large number of data points at low frequencies results in a blurred reconstruction; (b) two-dimensional test object; (c) illustration of the effect on reconstructed image of increasing the tilt range (shown in the lower left corner of each image); (d) illustration of the effect of increasing the number of images (number given in the lower right corner of each image). From Ref.,<sup>69</sup> permission pending.

Figure 3. HAADF STEM tomographic imaging of Pt and PtCr catalyst particles supported on carbon black. (a) single HAADF image of Pt features, (b) reconstructed volume, (c) 3-D

perspective view of a full structure showing PtCr catalyst particles on carbon black. (d) Close examination of an individual Pt particle showing individual crystallographic facets.

For a,b)  $-70^\circ$  to  $+66^\circ$ , in  $1^\circ$  increments From Ref.,<sup>78</sup>. Copyright John Wiley and Sons, reproduced with permission.

Figure 4. (a) Composite image of a W-to-Si contact showing the volumetric elemental distribution maps for Ti, N and Co, and (b) Three-dimensional chemical state map extracted from the shape of the core-loss edge of the Si  $L_{23}$  peak. From Ref.,<sup>79</sup>. Copyright Elsevier, reproduced with permission.

Figure 5. Electron tomographic reconstruction from a series of plasmon loss images of silicon nanoparticles embedded in silicon oxide. The nanoparticles are revealed by isosurface rendering with the reconstructed Plasmon loss image shown as the background fog. From Ref.,<sup>80</sup>. Copyright AIP, reproduced with permission.

Figure 6. 3-D view of dislocations near a crack tip in silicon: (a) Snapshots of the reconstructed volume; the image is the negative of that shown in Figure 1. (b) Snapshots about orthogonal axes of dislocations in reconstructed volume. Images courtesy of G.Liu, after work in Ref.<sup>34</sup>

Figure 7. A3-D reconstruction of the magnetic field of NiFe(27 nm)/Cr(3 nm). (a) Through-focus series showing a series of an elliptical particle. (b) 3D Magnetic vector potential along the x-z plane of the element displayed as a vector field plot. The colors describe the z component of the vector potential. From Ref.<sup>87</sup>, permission pending.

Figure 8. Examples of TEM sample supports/holders that permit stimulation of samples, including (a) conventional schematic and actual stages that permit tensile loading, heating, or combinations thereof, for disk-shaped specimens, (b) micro-electromechanical systems with integrated samples, and (c) stages for studies of indentation and compression. Based on Ref.<sup>46</sup>

Figure 9. In-situ TEM observations of copper electrodeposited on a gold substrate. (a) Shows the current transient during the early stages of growth, with four arrows denoting the times when the images in (b) were recorded. From Ref.,<sup>133</sup>. Copyright ACS, reproduced with permission.

Figure 10. Series of in-situ TEM images of dislocations in iron, under a constant applied load. In this series, frames (a-d) show that the dislocations move when hydrogen is introduced to the sample cell, frames (e and f) capture the cessation of motion when it is removed, and (g-l) the motion when gas is reintroduced. From Ref.<sup>145</sup>, permission pending.

Figure 11. Snapshot capturing the rapid exothermic reaction between Ni-rich and Al-rich layers in a multilayer foil of Ni-Al-V in a DTEM. a). Location where the reaction was triggered. b). Reaction front captured during its travel. From Ref.<sup>151</sup> Copyright Elsevier, reproduced with permission.

Figure 12. Ultrafast TEM data showing the change in the energy landscape of graphene during a laser pulse. (a) shows the time-energy difference landscape for times before and after the pulse

(at  $t = 0$ ). (b) reveals that compression as well as expansion along the c-axis of the sample are observed as time progresses. From Ref.<sup>152</sup> Copyright Elsevier, reproduced with permission.

Figure 13. Example of a large set of APT data, segmented to reveal the  $\gamma/\gamma'$  structure of a nickel-based alloy. 106 million atoms were collected in this sample. The white surfaces are contours at 10 at% Al concentration. From Ref.<sup>222</sup> Copyright Elsevier, reproduced with permission.

Figure 14. (a). 3D APT elemental map of source/drain region of a n-MOSFET and (b). cross-sectional TEM image. From Ref.<sup>165</sup> Copyright Elsevier, reproduced with permission.

Figure 15. Field evaporation histogram of events hitting the detector for an aluminum atom probe tomography specimen. This figure illustrates homophase aberrations that occur in some materials, and which are very pronounced in aluminum. The darker blue regions receive fewer ions and indicate regions on the tip apex that have facets. Image courtesy of T.F. Kelly.

Figure 16. (a) Schematic illustration of the origins of heterophase aberrations caused by different evaporation fields, E. (b) Example of a heterophase aberration in a DRAM device. The electric field required to evaporate  $\text{SiO}_2$  is higher than Si and this leads to changes in local magnification changes in the APT image. The image on the left is from an SEM during specimen preparation and shows that the polysilicon region vertically through the middle of the image has smooth sides. The APT image shows the effect of the heterophase aberration as a narrower depiction of the polysilicon width when the  $\text{SiO}_2$  is present on the tip apex. From Ref.<sup>174</sup>, permission pending.

Figure 17. Series of field-ion micrographs showing one region on a Ni-Zr intermetallic atom probe tip. Between each successive image in the sequence, a single atom was field-evaporated from the tip. After Ref.<sup>174</sup>, permission pending.

Figure 18. Example of output data from computed XRT using a benchtop instrument. This image is a 3D reconstruction of firn (snow ice with porosity), taken from the Antarctic. The sample volume is 8 mm on each side. From Ref.<sup>97</sup>, permission pending.

Figure 19. Example of XRT data collected at a synchrotron source, showing the structure of directionally freeze-cast titanium foams, showing pores as solid and metal as empty; the gradient direction is along the z axis. The inset shows an optical micrograph taken along the z-axis. From Ref.,<sup>187</sup>. Copyright Elsevier, reproduced with permission.

Figure 20. (a). SEM image of nanoporous gold annealed at 500°C for 30 min. to coarsen pore size; (b). A tomographic reconstruction of nanoporous gold annealed at 400°C for 30 min (imaging with Transmission X-ray Microscopy at the Advanced Photon Source, beamline 32-ID-C); (c). A small volume taken from b, showing the detailed interconnected structure and (d) highlighting the zero mean curvature region, which forms a continuous network among the surface. From Ref.<sup>197</sup> Copyright Elsevier, reproduced with permission.

Figure 21. The 3D-XRD set-up. A monochromatic high energy X-ray beam is incident on the sample. Two sets of detectors are used: Near field detectors at position L1 and L2 which are typically 2-10mm from the sample for full mapping of microstructures, and a far field detector typically 40cm from the sample for fast characterizations of sizes, orientations and strains. From Ref. <sup>14</sup>, permission pending.

Figure 22. Time series of images showing the growth of a nucleus during recrystallization of deformed aluminum. These images show the non-uniform growth rate of the grain (C), and the development and advancement of protrusions from different parts of the grain at different times (E and H). From Ref. <sup>201</sup>, permission pending.

Figure 23. Lattice strain distributions in 316 stainless steel obtained with 111, 200 and 220 reflections as a function of tilt angle relative to the loading direction at different number of fatigue cycles. From Ref. <sup>225</sup>. Copyright Nature Publishing Group. Reproduced with permission.

Figure 24. Characteristic portion of the 3D rendered microstructure showing the  $\gamma'$  precipitates. Dimensions are 7.5 x 7.5 x 3.6 ( $10^{-6}$  m). From Ref. <sup>1</sup>, permission pending.

Figure 25. Atom maps of 2-4 nm Ti-, Y-, O-enriched nanoclusters and Cr, W and C segregation to grain boundaries in 14YWT. Each dot in these atom maps are a single atom. From Ref., <sup>262</sup>. Copyright Elsevier, reproduced with permission.

Figure 26. Devitrification of bulk metallic glass, of  $Zr_{52.5}Cu_{17.9}Ni_{14.6}Al_{10}Ti_5$ . (a). Isoconcentration surfaces revealing the lenticular Zr-enriched precipitates and the internal Al-enriched core. Zr – red, Al – yellow. (b). Linear composition profiles through the center of the lenticular precipitate showing the rejection of Al from the Cr while Ti atoms are rejected from both the core and the shell. From Ref. <sup>266</sup>. Copyright Wiley-VCH Verlag GmbH & Co. KGaA. Reproduced with permission.

Figure 27 Orientation images showing the angular deviation from the nominal [2 9 20] orientation of the Cu crystallite before deformation, (a) image obtained from X-ray microdiffraction, and (b) image obtained from EBSD. Figure courtesy of D. P. Field

Figure 28. Deformed commercial purity aluminum showing the (a) orientation image and dislocation density maps obtained from (b) two-dimensional analysis, and (c) three-dimensional information. The scale shown is for dislocation density for both the 2D and 3D analyses. Figure courtesy of D. P. Field

Figure 29. EBSD map showing partially recrystallized grain located away from the nucleation site. Larger, darker grains are of grain boundaries with  $\Sigma 7$  tilt character at their mobile leading edge. Secondary electron image of the specific area containing the  $\Sigma 7$  grain boundary. Top right image: EBSDIPF image of exact area designated in the box in the center image. Bottom right image: schematic diagram showing the  $\Sigma 7$  grain boundary (plan view) and

the location of the Pt ‘cap’ to be applied before milling. From Ref., <sup>280</sup>. Copyright Elsevier, reproduced with permission.

Figure 30. Three-dimensional auto-correlation functions for W atoms in nanocrystalline Ni-W with a grain size of  $\sim 3$  nm, taken from (a) experimental APT data and (b) atomistic simulations (simulated structure shown in the inset). Through statistical analysis of the APT data and comparison with the simulated structure, it was shown that the average W distribution over all of the grain boundaries could be determined. (c) APT data from an annealed sample of somewhat larger grain size (20 nm), where an APT reconstruction artifact highlights the locations of grain boundaries, permitting a more direct measurement of the local segregation at individual boundaries. From Refs. <sup>173,282</sup>, permission pending.

Figure 31. Distribution of Ni and Si in HP-304, HP-304+Si and CP-304 irradiated to 5 dpa at 360°C. Ni- and Si-rich clusters are indicated by arrows in HP-304-Si and CP-304. Possible denuded zones are indicated by dashed lines. Ni is shown in green and Si in grey. Figure courtesy of G. Was.

Figure 32. Isosurface of (a) Ni at 45 wt% and (b) Si at 15 wt% in alloy HP-304+Si irradiated to 5 dpa at 360°C. The box is 40 nm  $\times$  40 nm  $\times$  70 nm. (c) Magnified image of a Ni- and Si-rich cluster in alloy HP-304 irradiated to 5 dpa at 360°C. Figure courtesy of G. Was.

Figure 33. Composition profiles of minor elements (B, P, C and S) across a grain boundary in alloy CP-304 irradiated to 5 dpa at 360°C as characterized by APT. Figure courtesy of G. Was.

Figure 34. Comparison of the damage structure produced in Cu-Nb multilayers following implantation with (a) 150 keV He ions to a dose of  $1 \times 10^{17}$  cm<sup>-2</sup> at room temperature and (b) 33 keV He ions to a dose of  $1.5 \times 10^{17}$  ions cm<sup>-2</sup>. From Ref. <sup>286</sup>, permission pending.

Figure 35. Reconstructed volume showing the open crack (dark) and the oxidized TDBs (light), together with one of the original slices. Sample: 304SS with 20%CW. From Ref <sup>290</sup>, permission pending.

Figure 36. (a) APT reconstruction showing the presence of lithium atoms within the cap and sub-interface oxides. (b) Top-view of the sub-interface region showing the distribution of oxides (cap oxide removed; only oxide species shown). The oxide regions beneath the cap are interconnected. (c) Sub-volume taken from the cap-oxide-to-metal interface showing selected species. (d) Concentration profile across the oxide-metal interface generated from the region in (c). The presence of lithium is represented by an atom-count because its concentration is very low. From Ref., <sup>294</sup>. Copyright Elsevier, reproduced with permission.

Figure 37. (a) STEM HAADF image showing the crack tip used for the tomographic reconstruction. The high dislocation density and the location of several TDBs in the bottom grain are clearly visible; (b) 3D reconstructed volume representing all relevant features. Sample 304SS with 5%CW. From Ref. <sup>316</sup>, permission pending.

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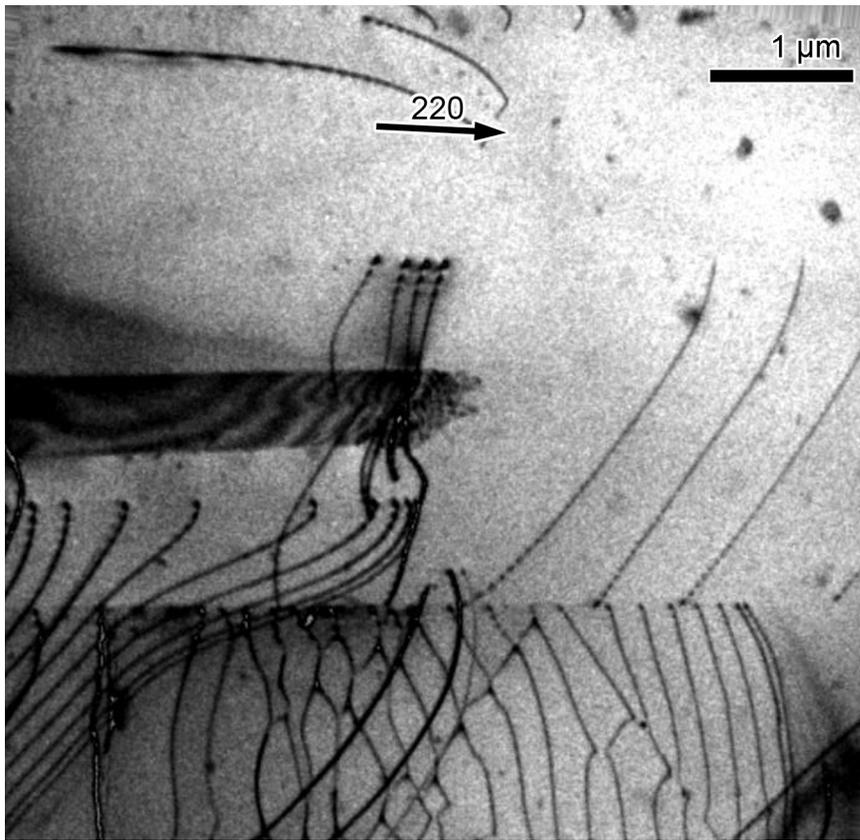


Figure 1

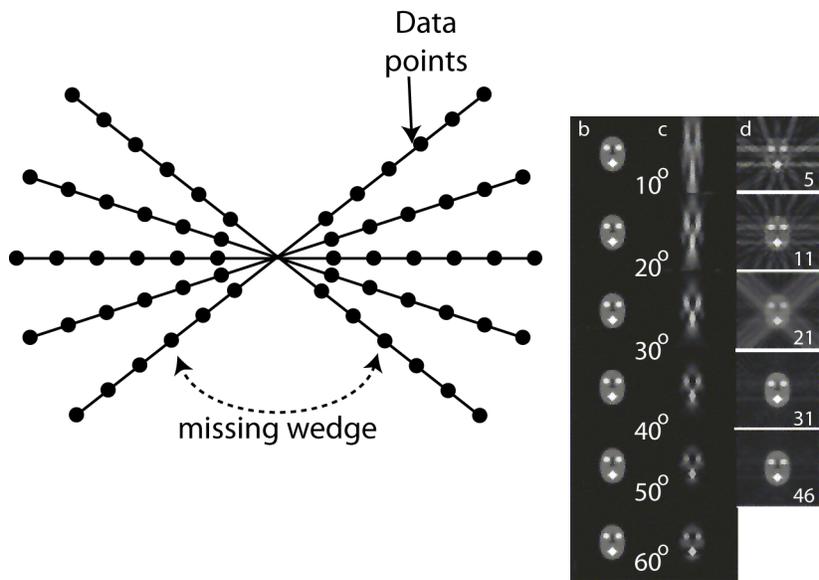


Figure 2

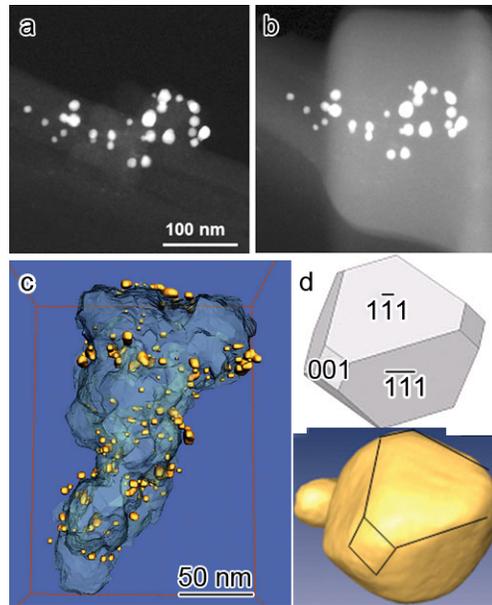


Figure 3

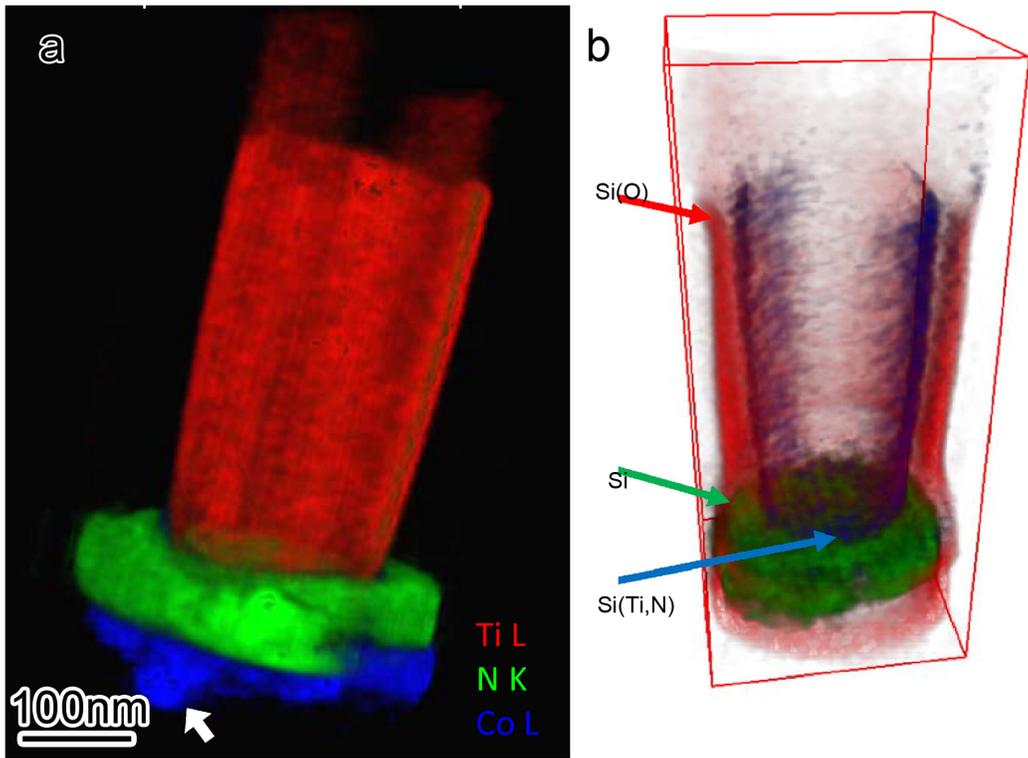


Figure 4

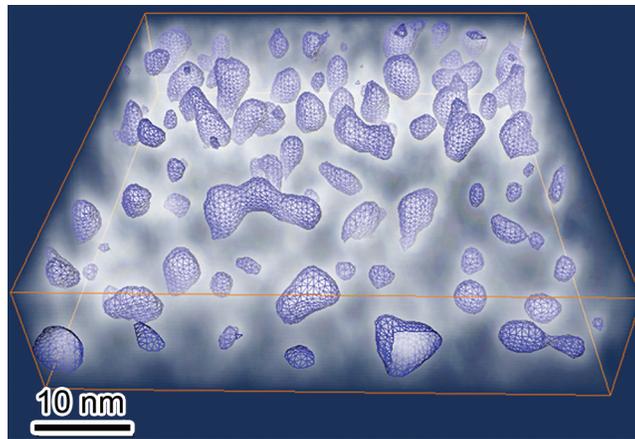


Figure 5

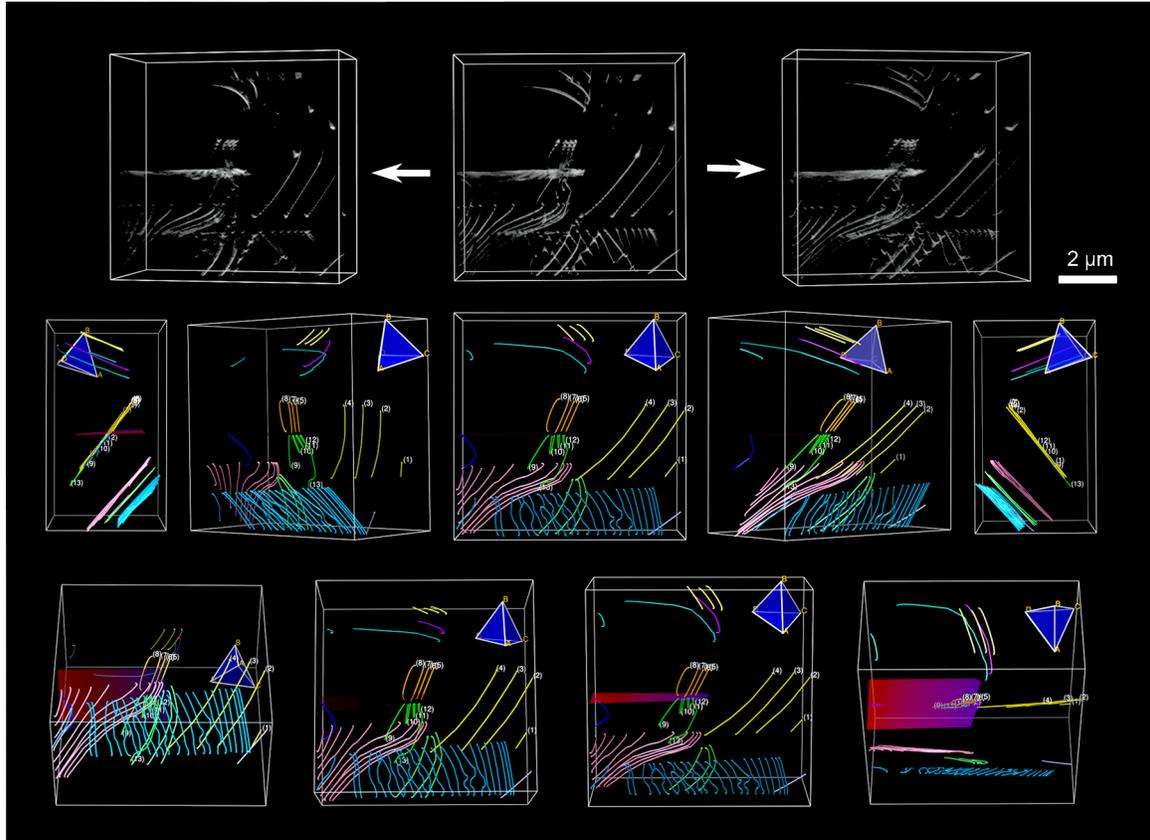


Figure 6

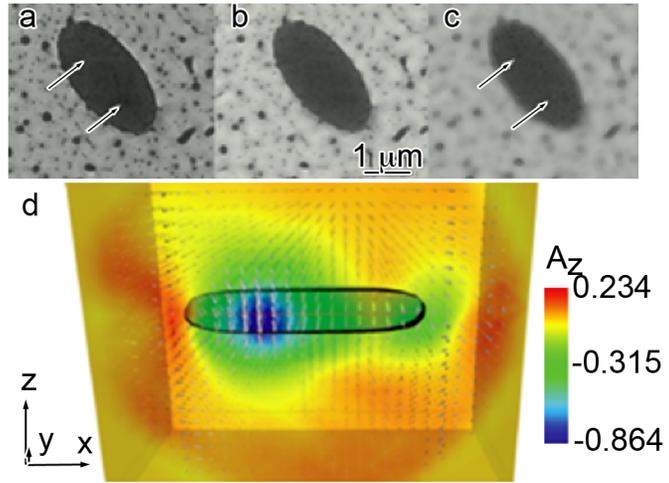


Figure 7

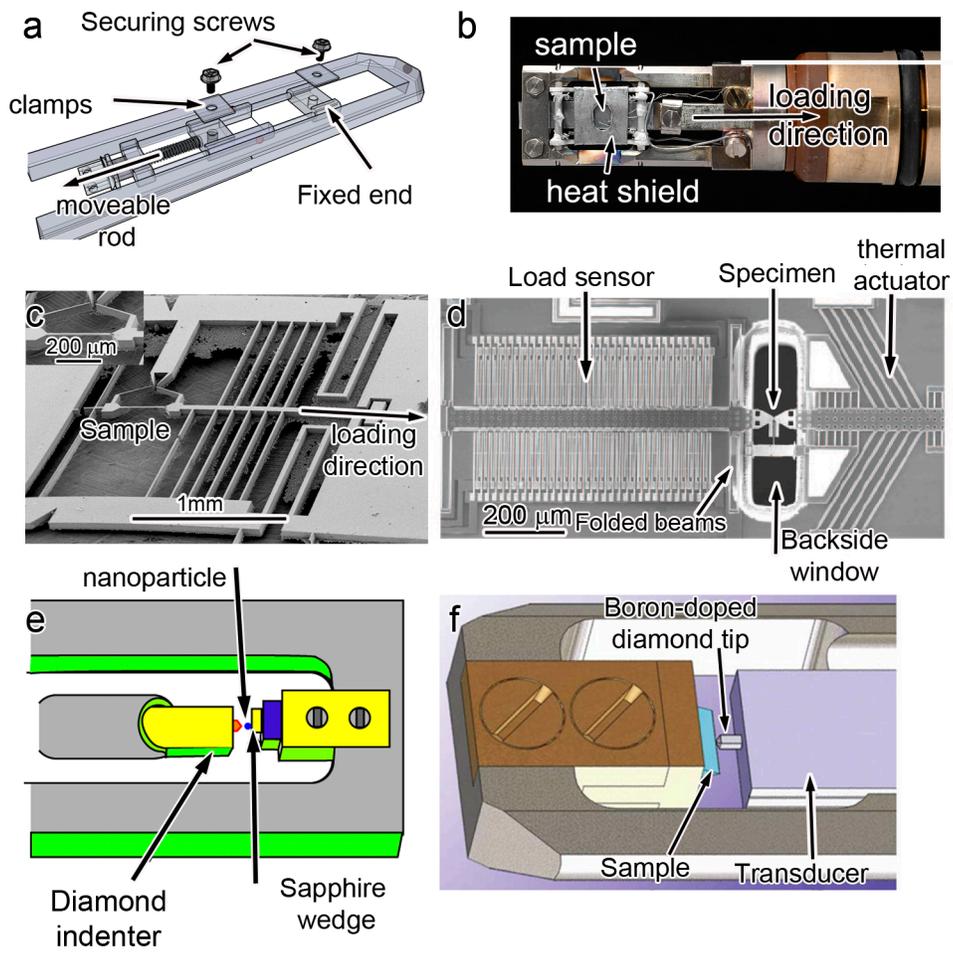


Figure 8

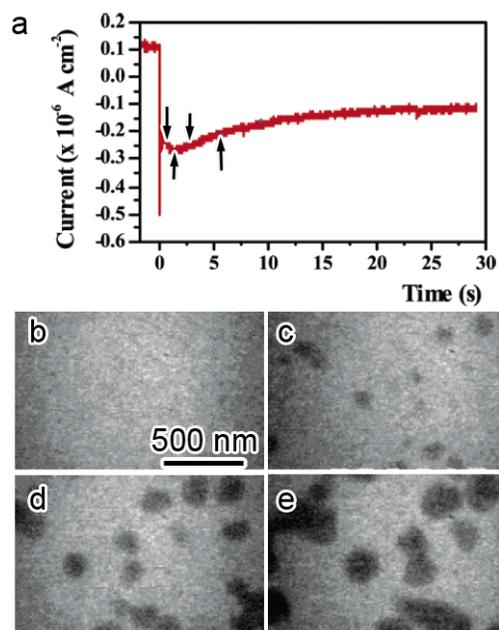


Figure 9

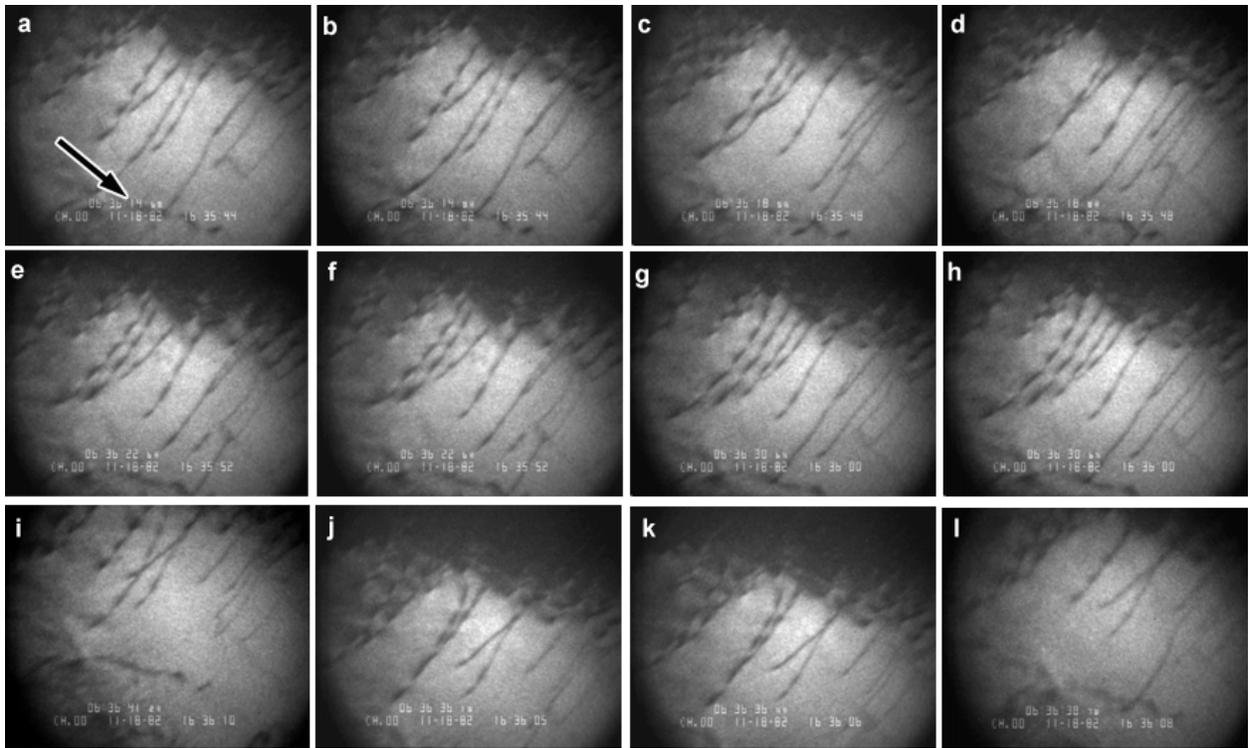


Figure 10

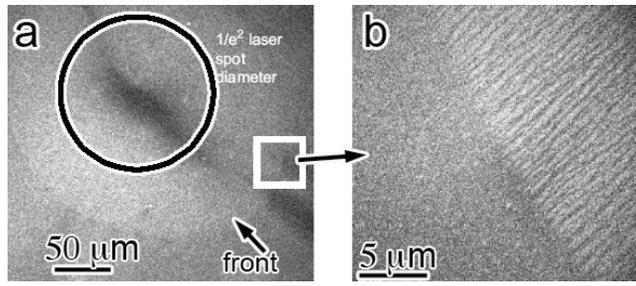


Figure 11

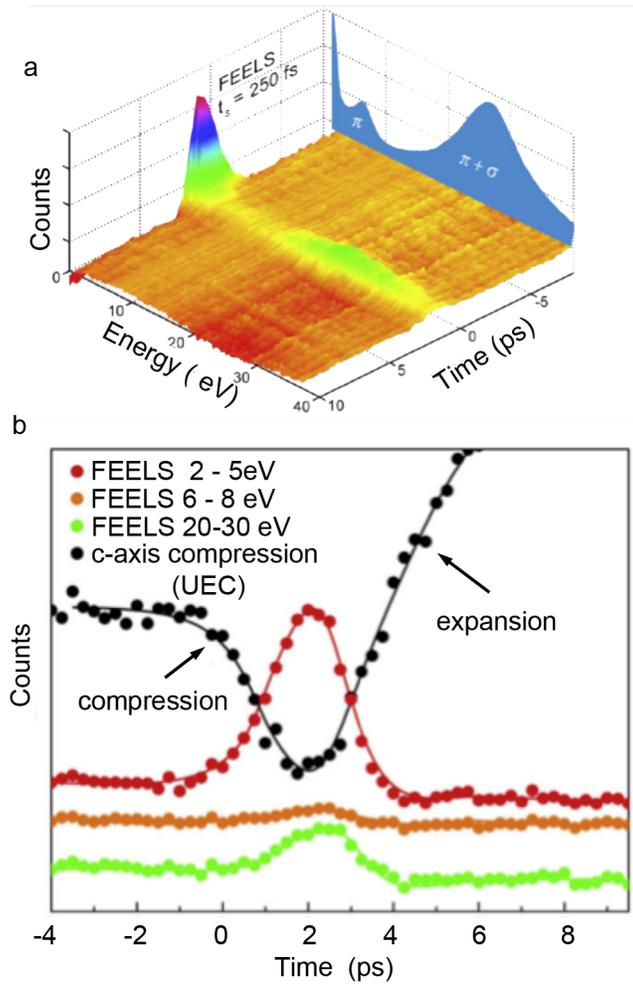


Figure 12

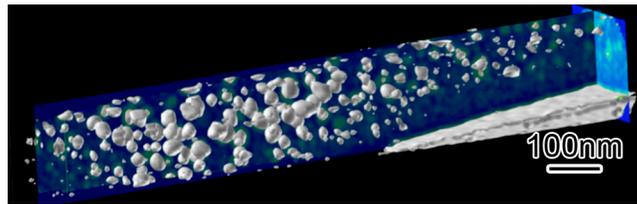


Figure 13

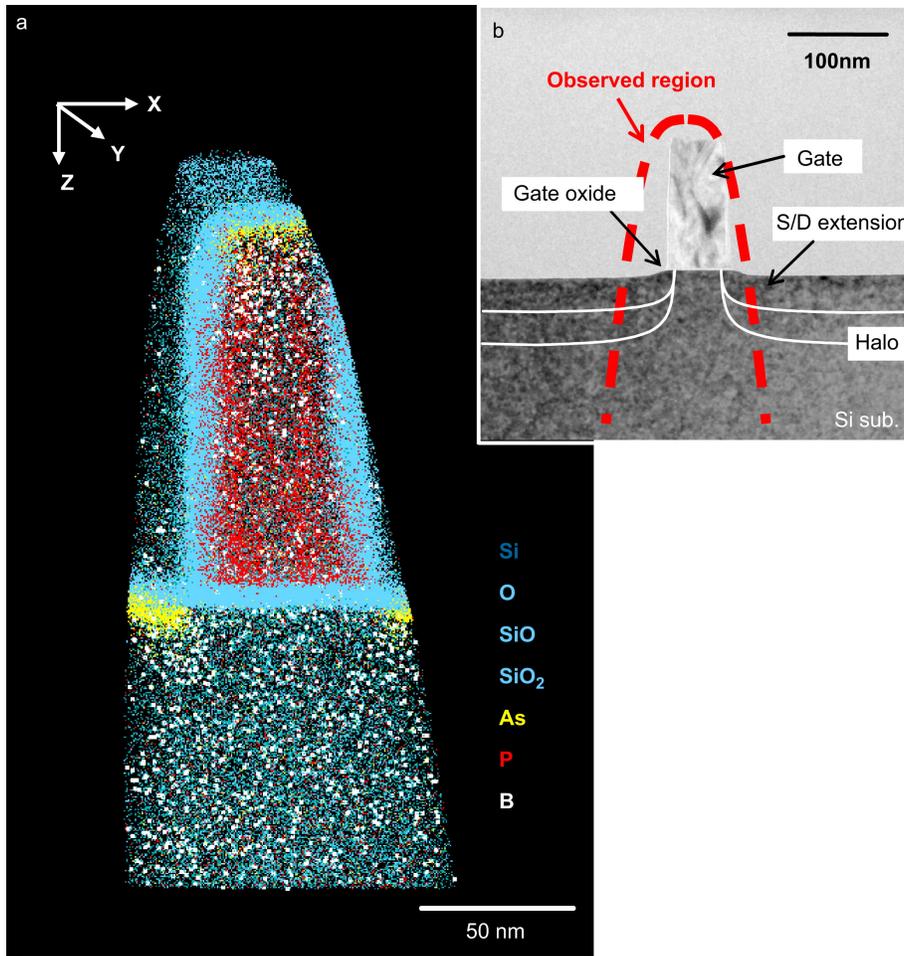


Figure 14

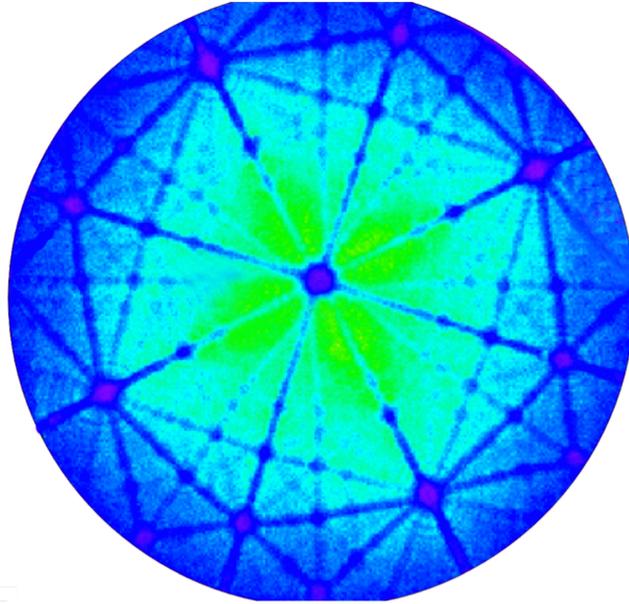


Figure 15

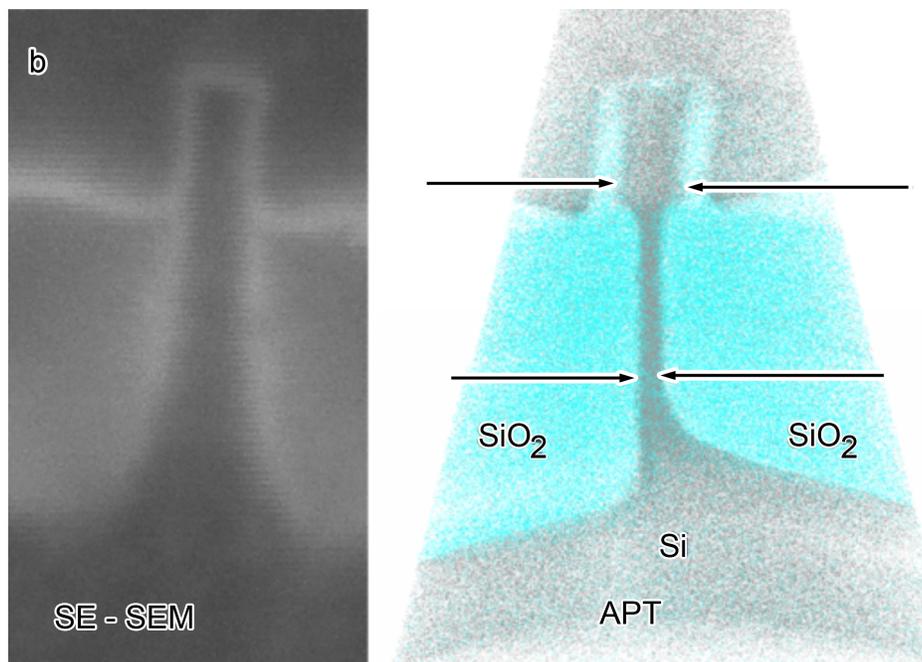
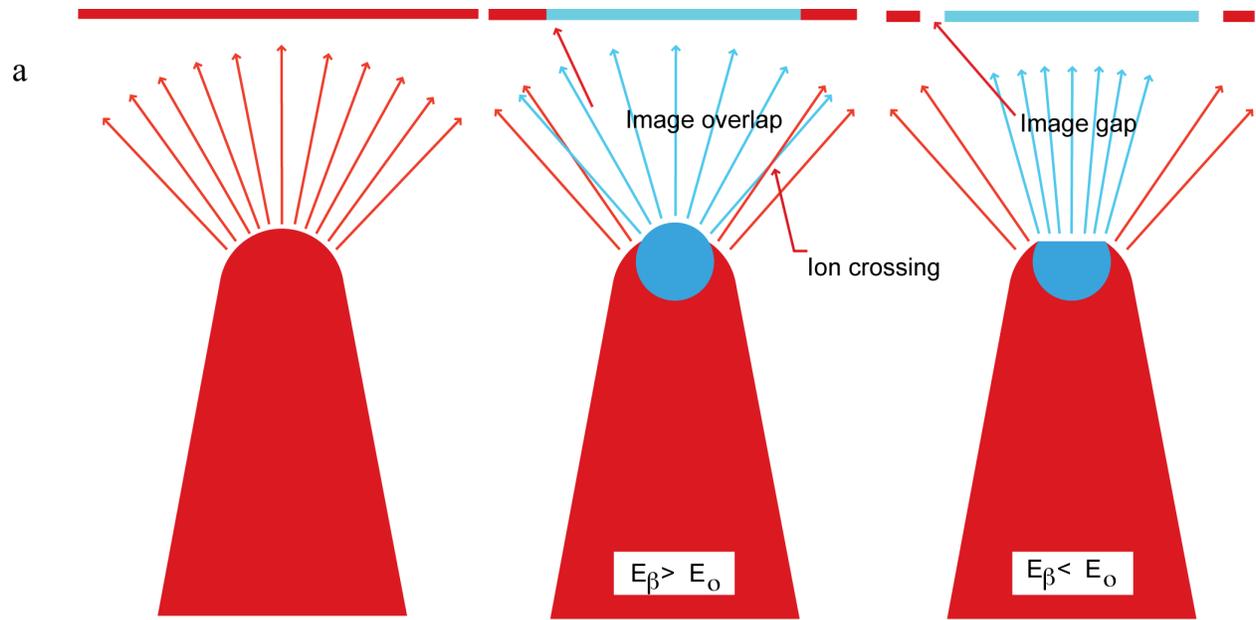


Figure 16

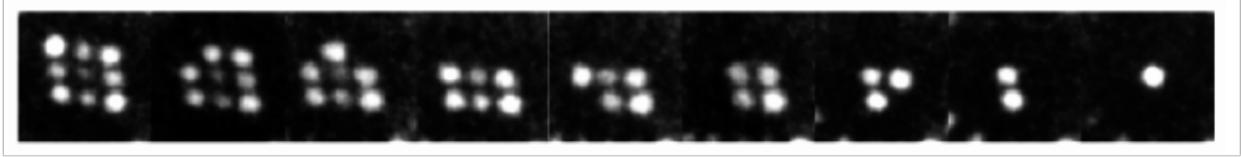


Figure 17

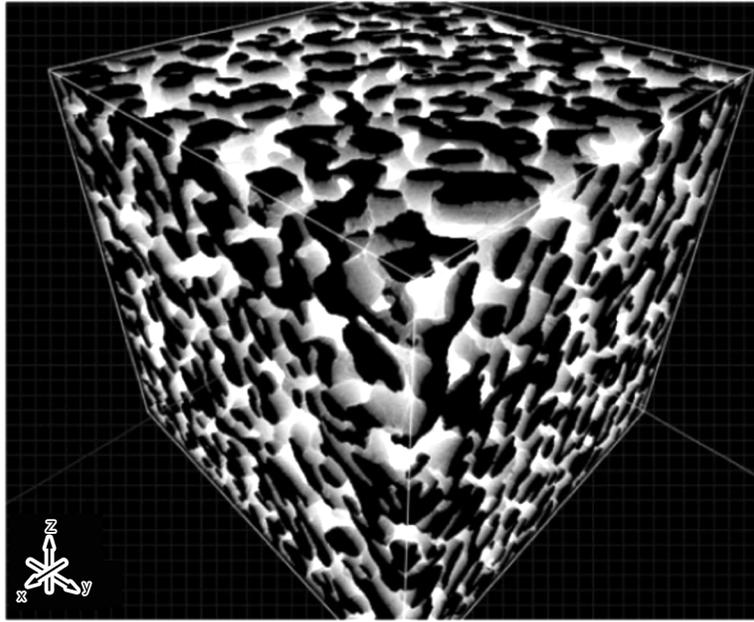


Figure 18

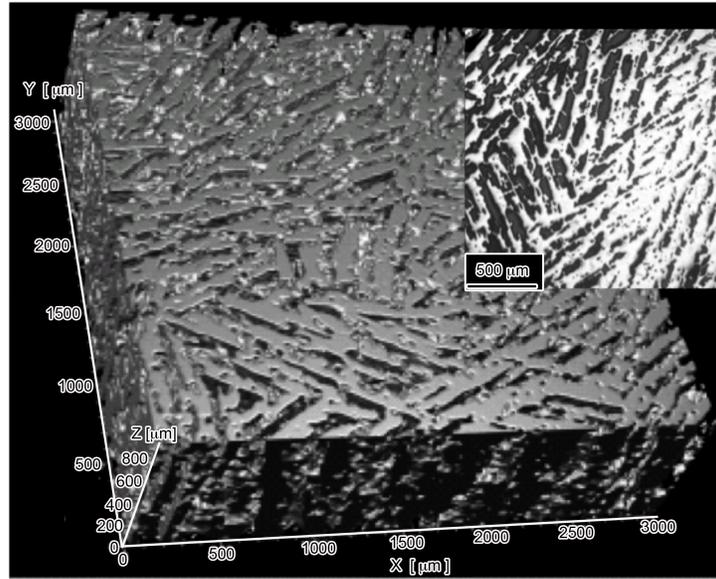


Figure 19

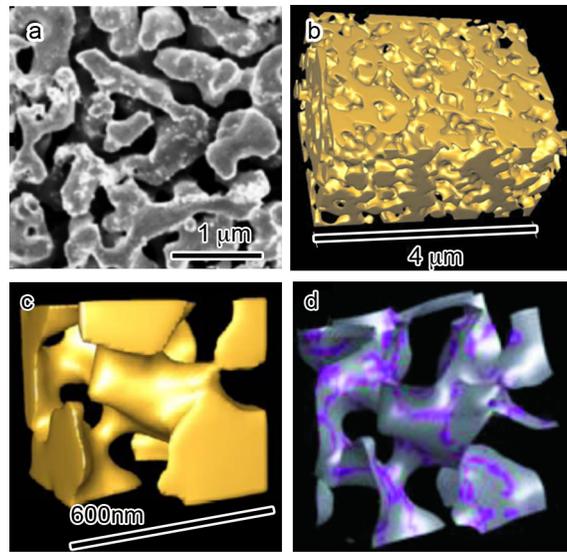


Figure 20

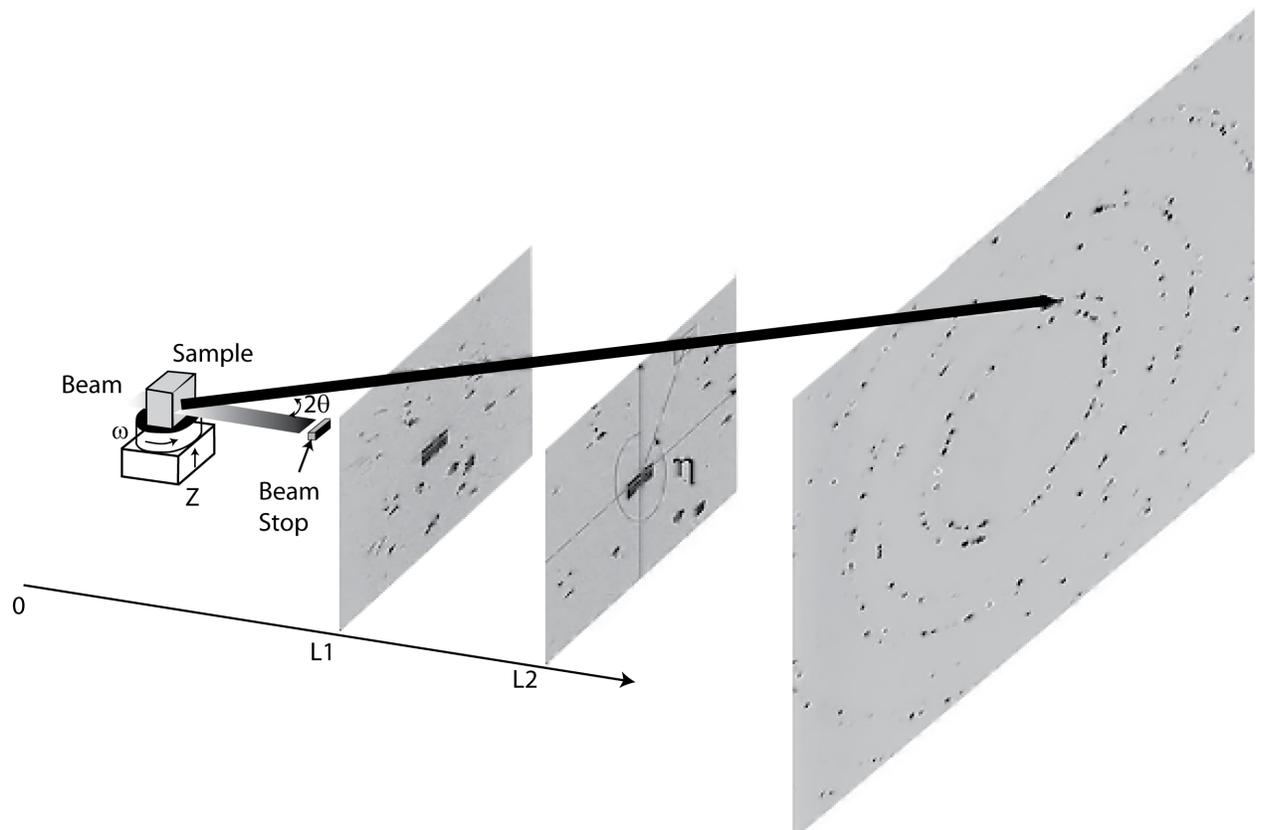


Figure 21

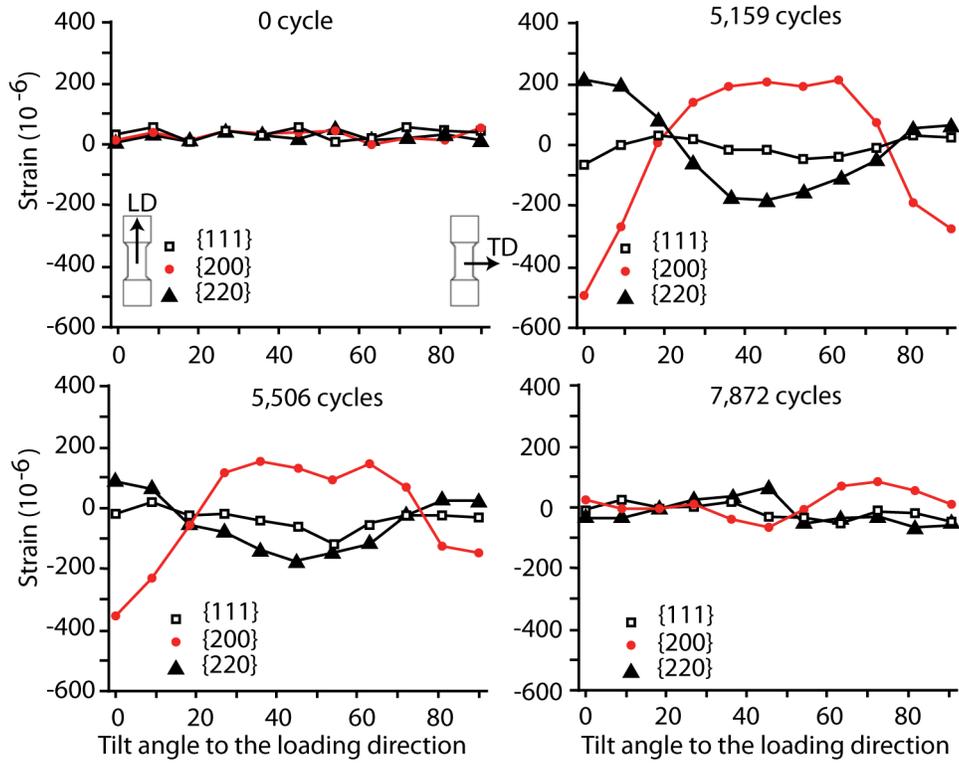


Figure 22

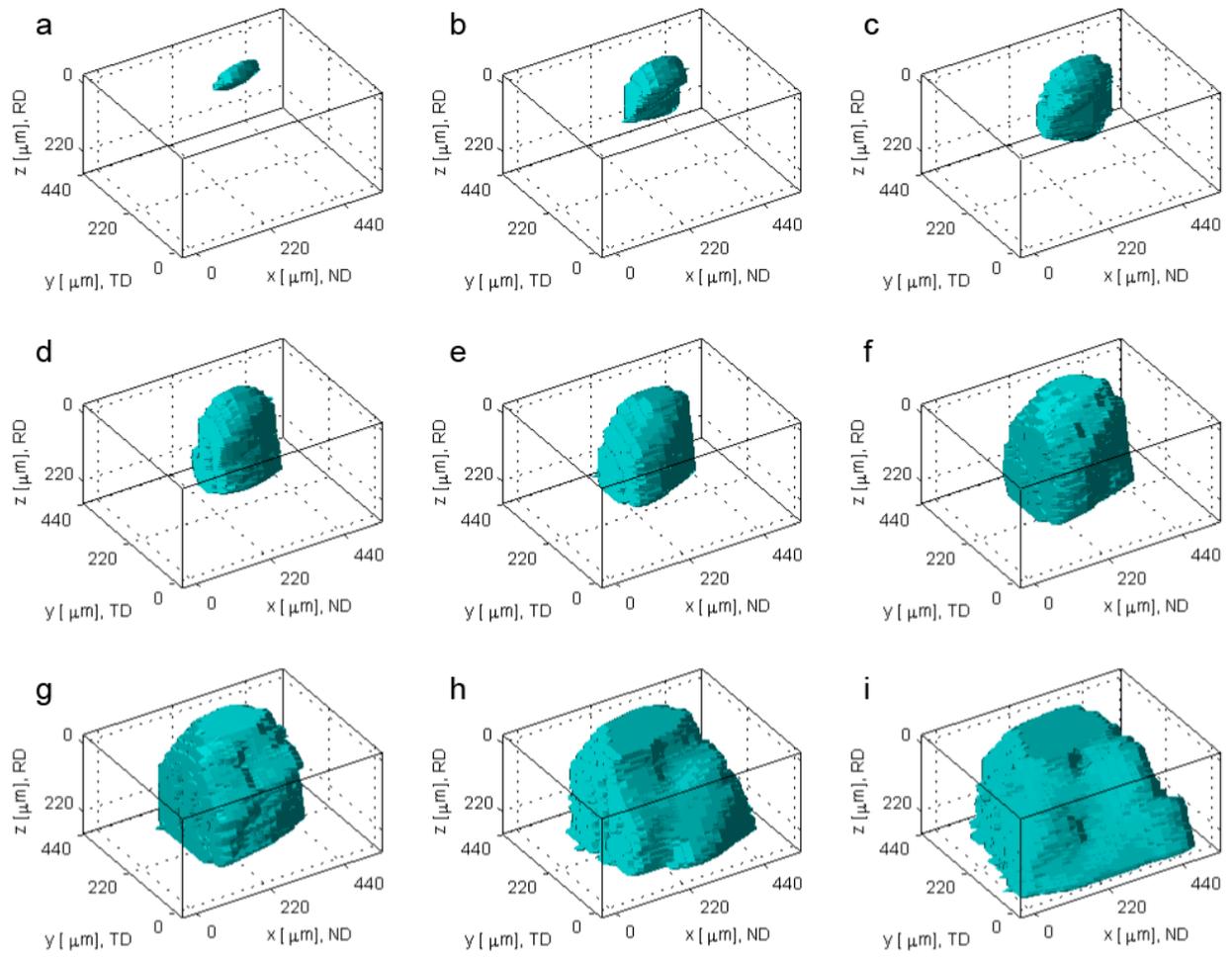


Figure 23

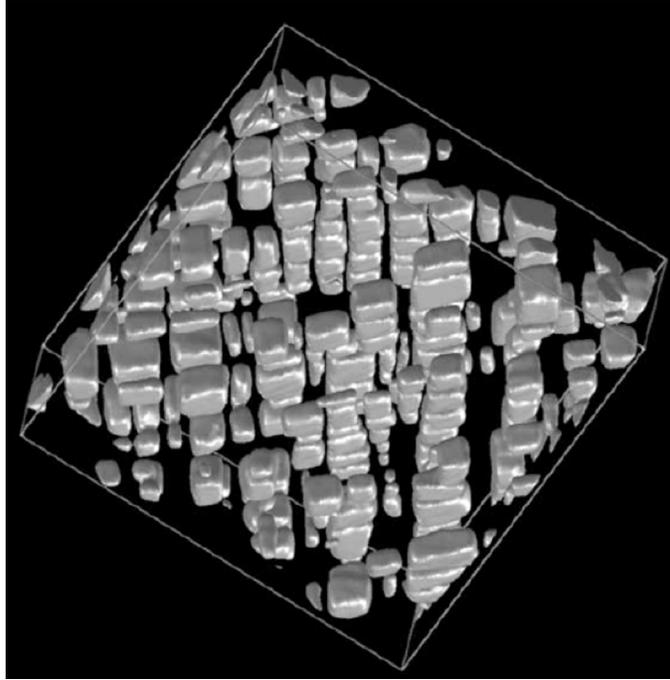


Figure 24

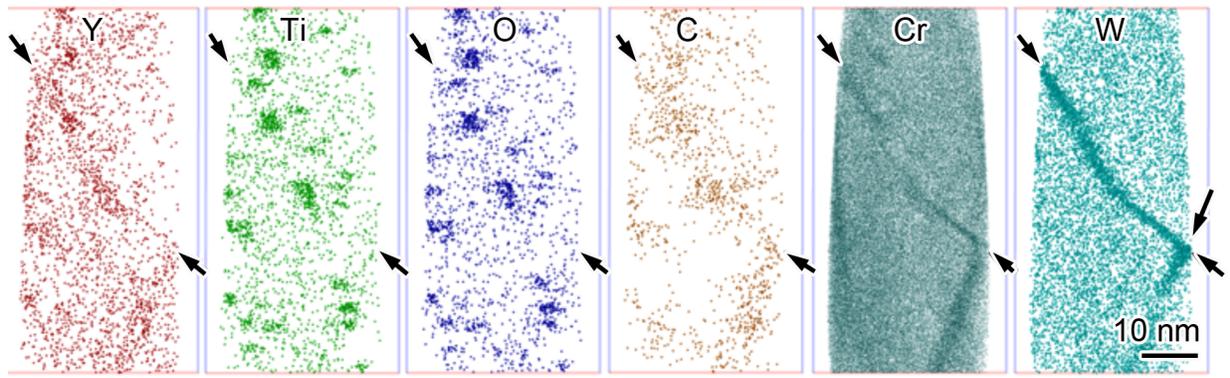


Figure 25

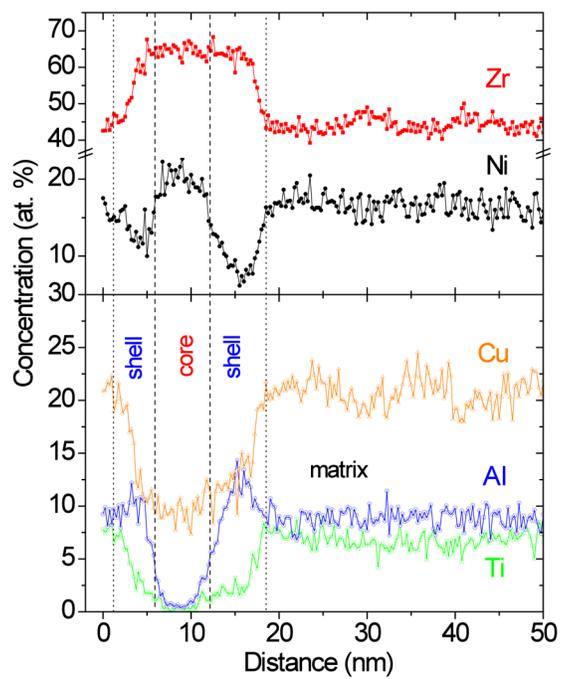
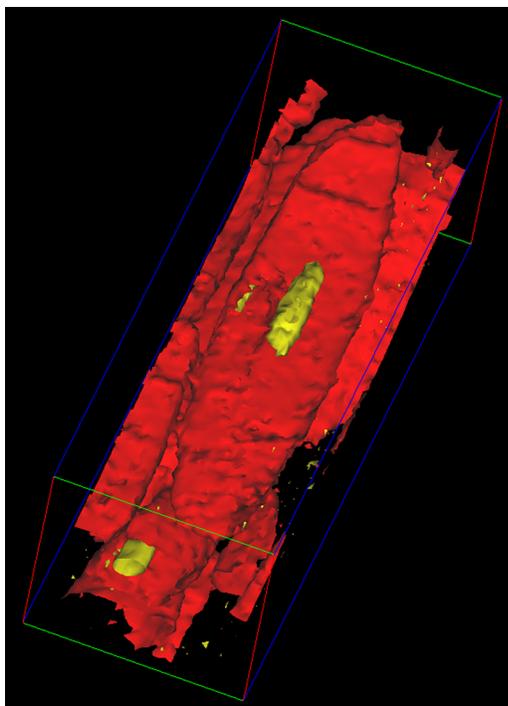


Figure 26

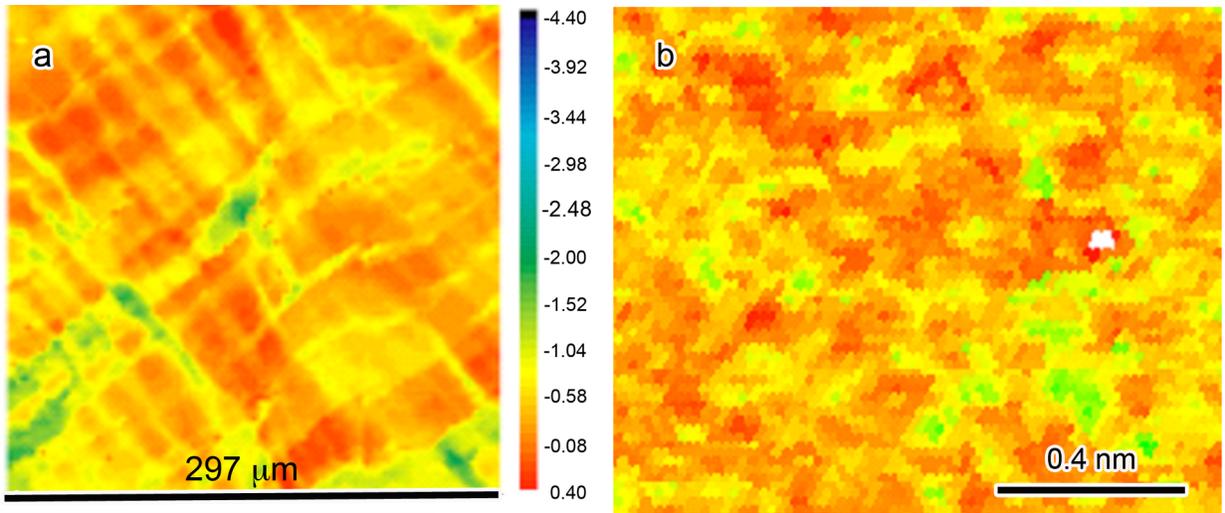


Figure 27

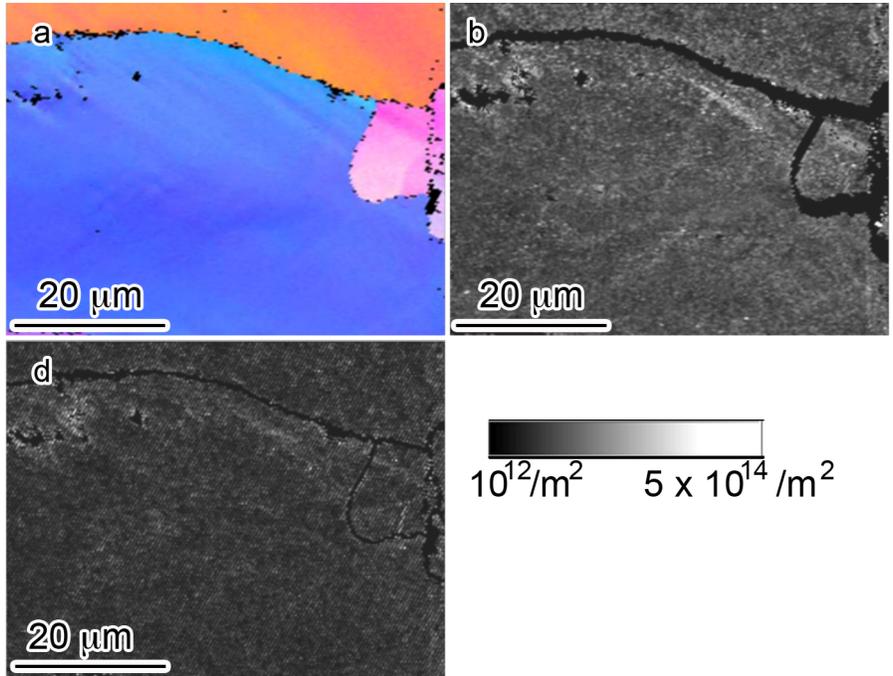


Figure 28

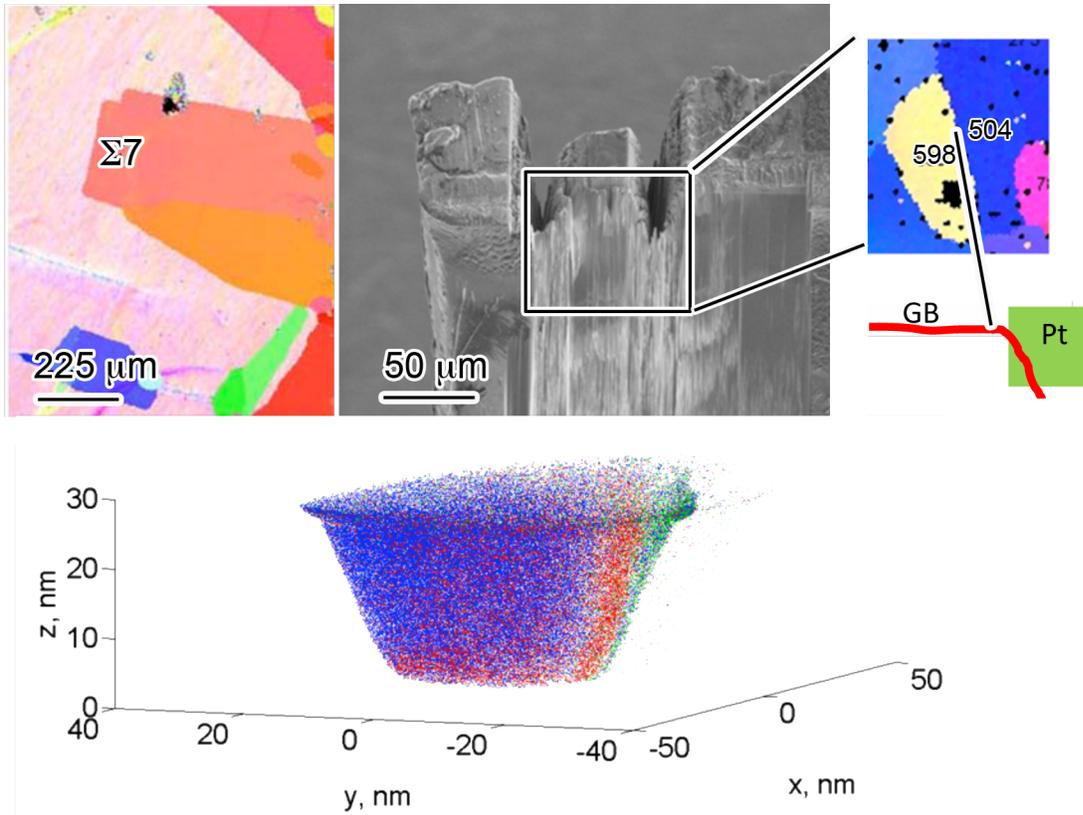


Figure 29

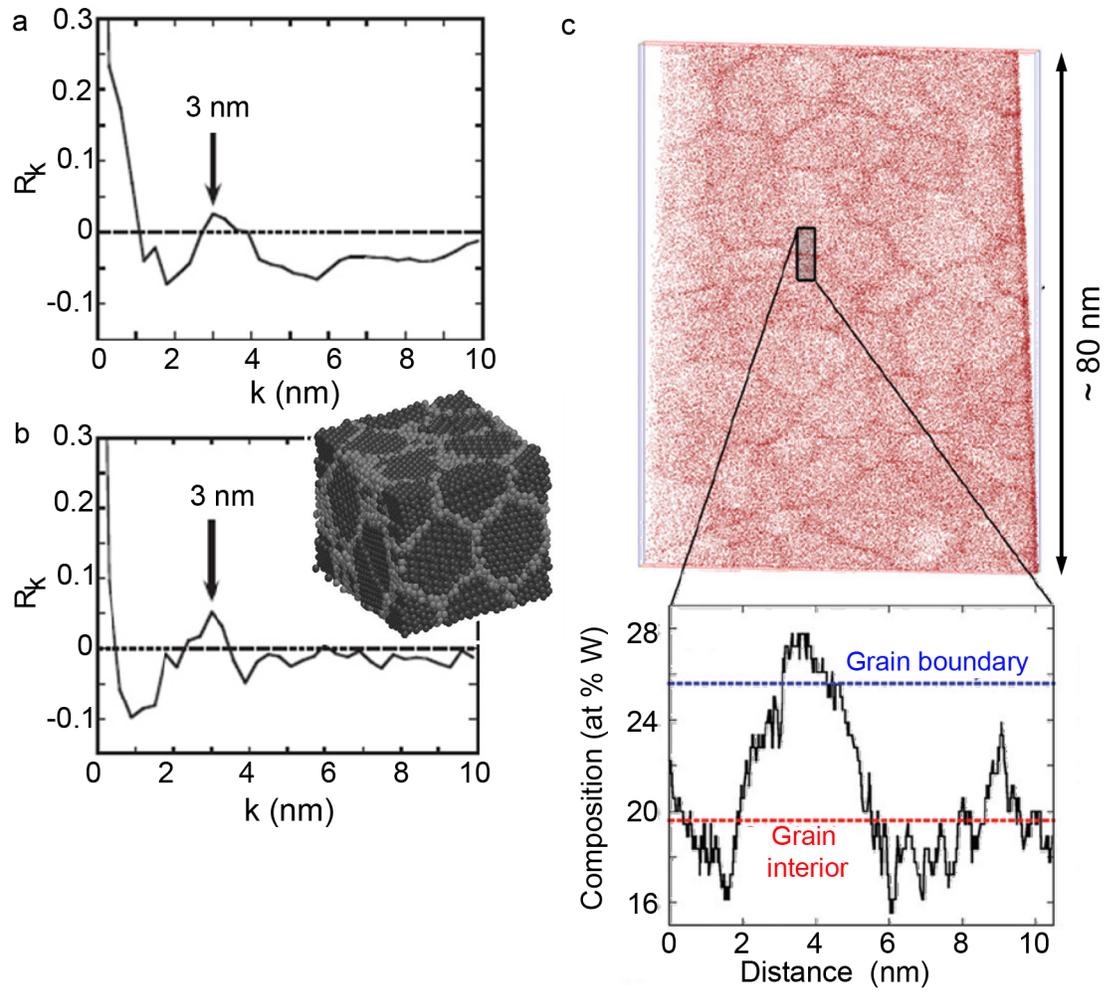


Figure 30

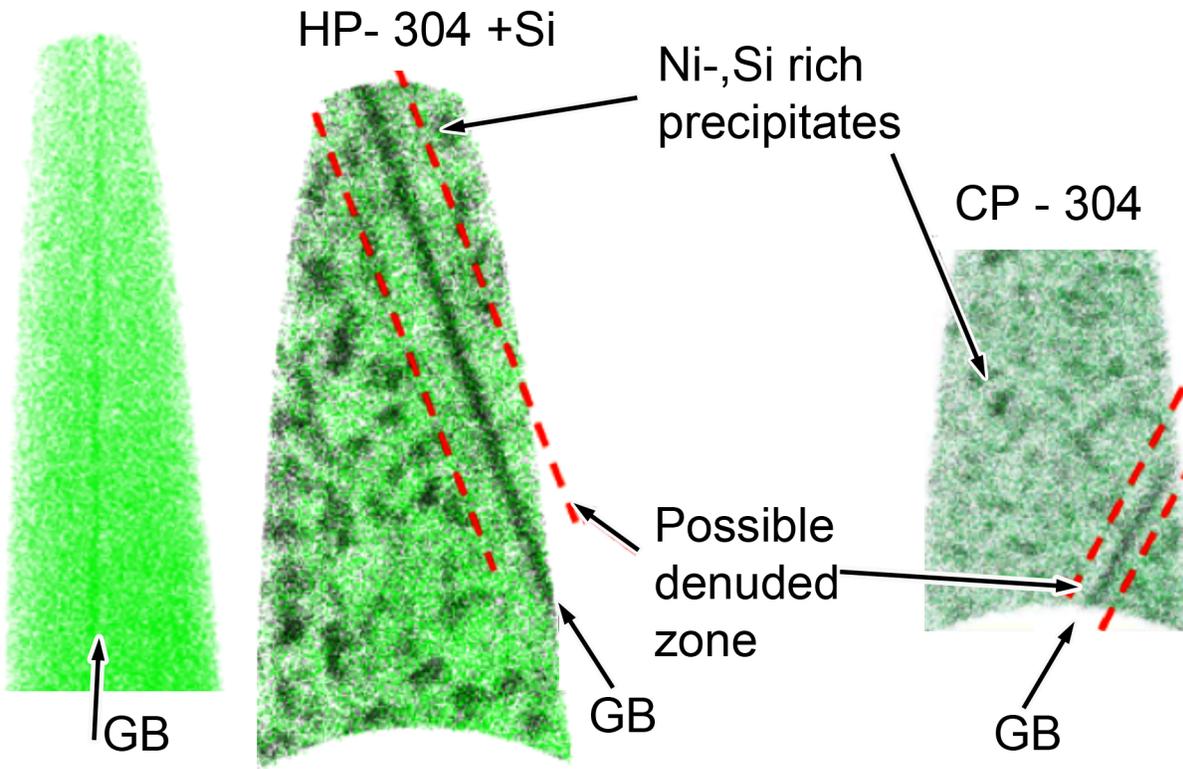


Figure 31

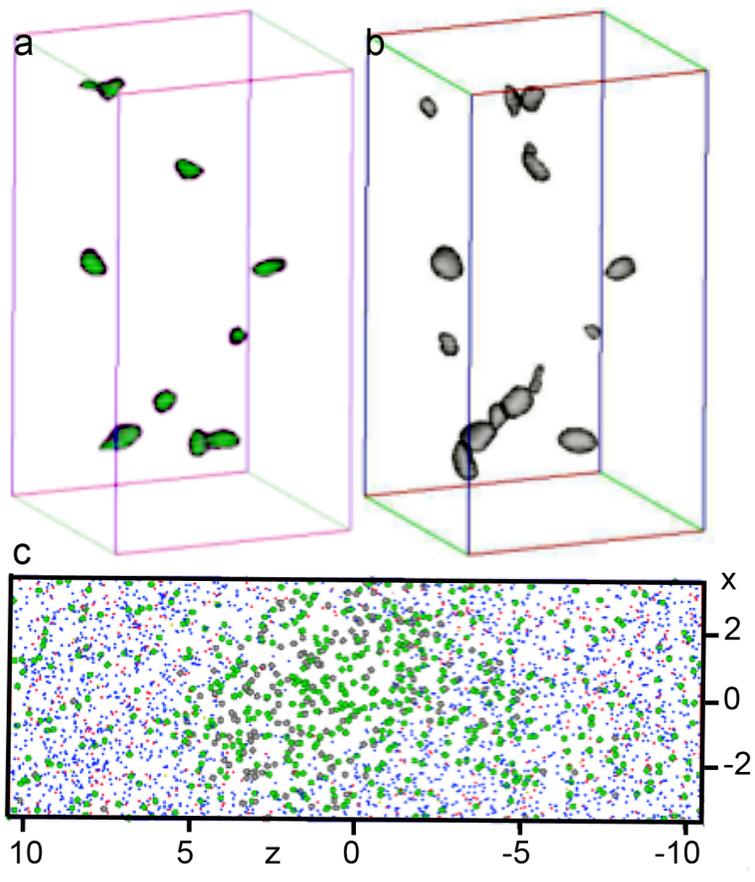


Figure 32

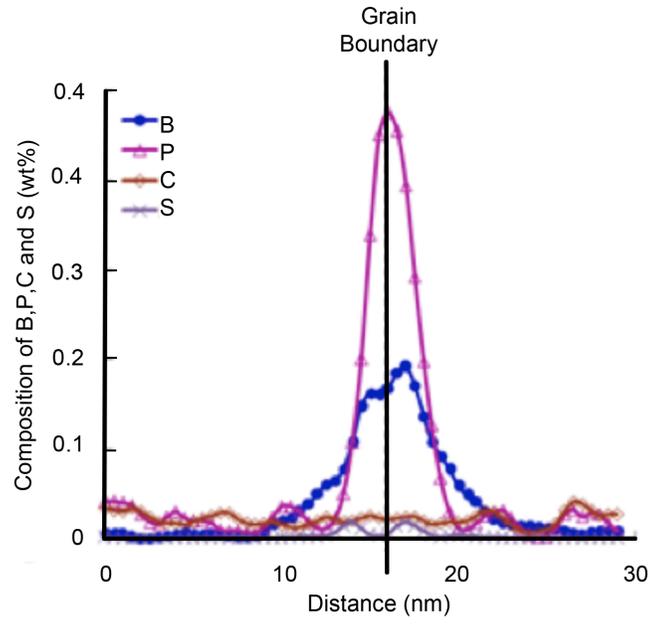


Figure 33

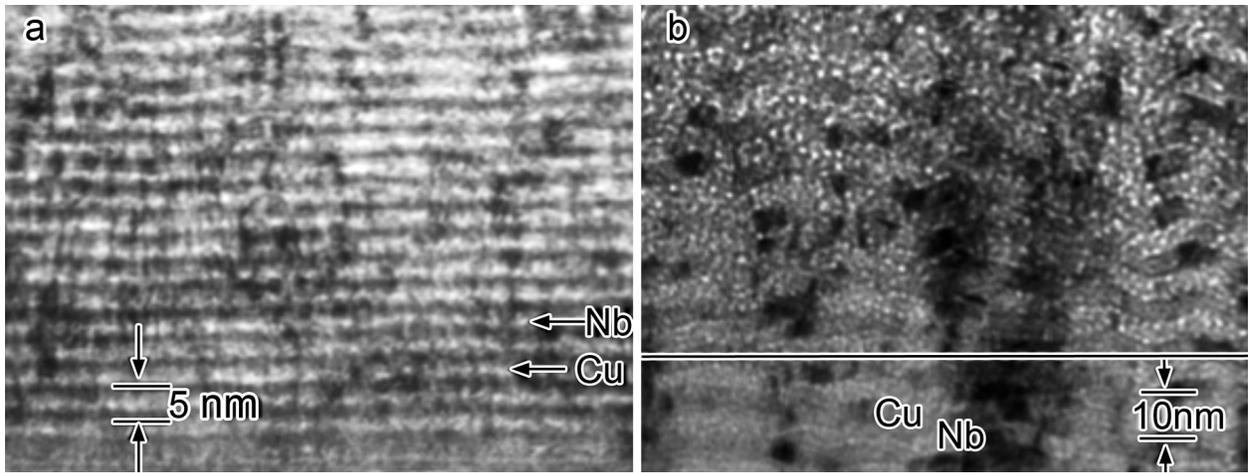


Figure 34

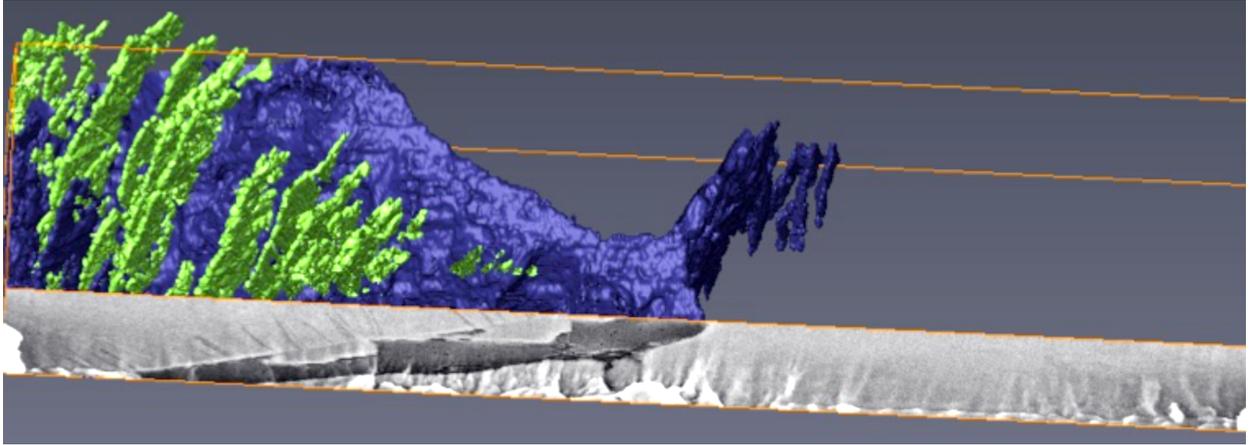


Figure 35

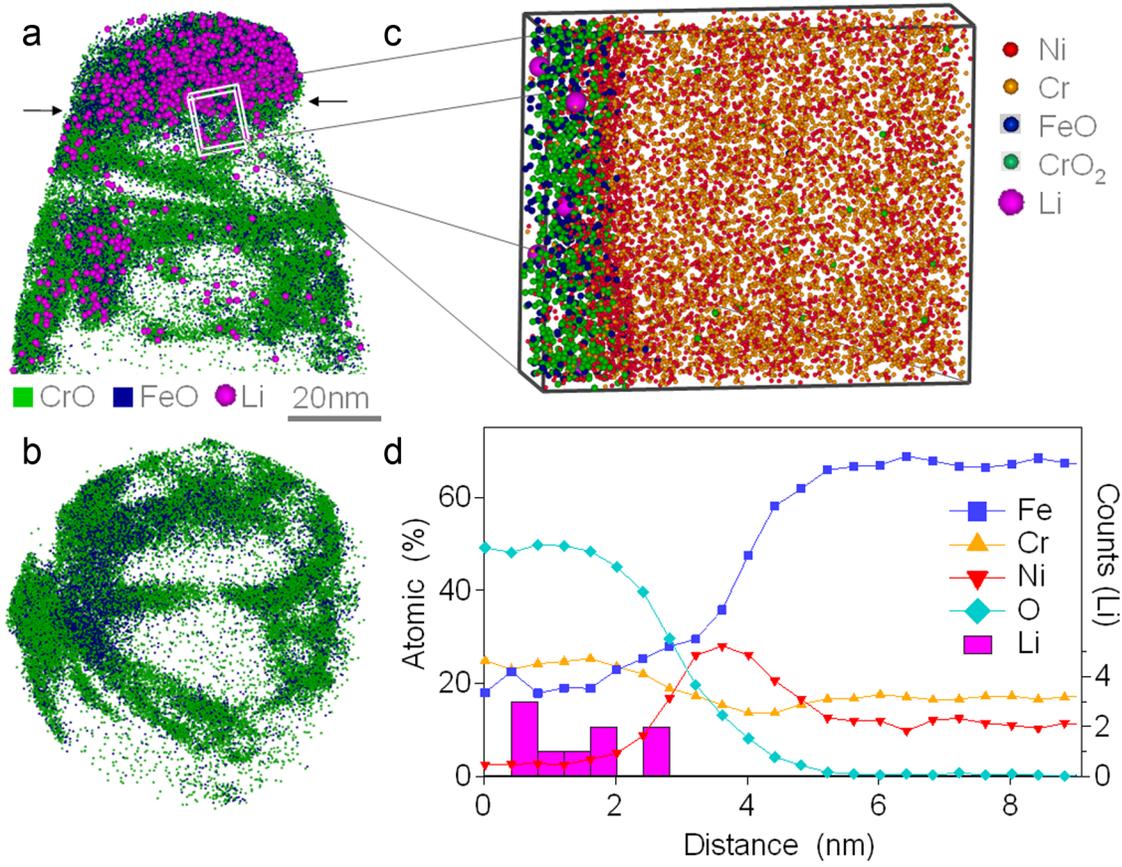


Figure 36

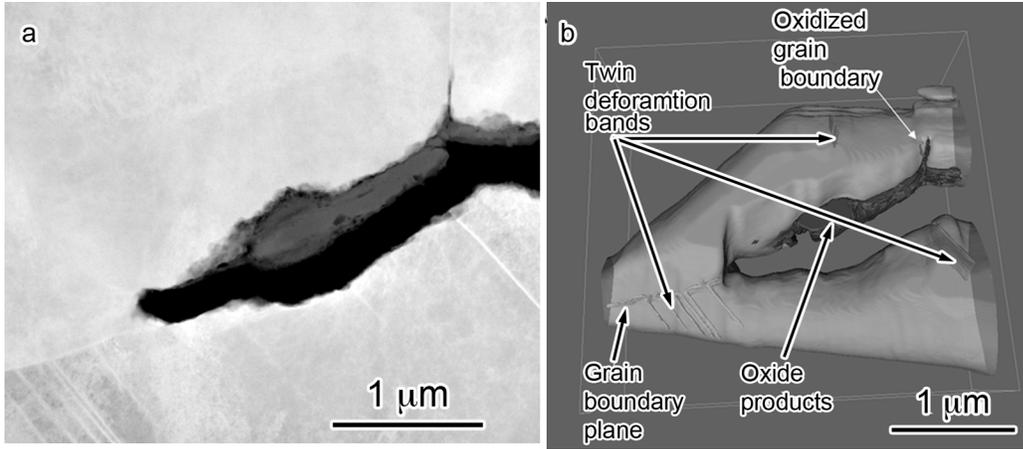


Figure 37