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1 **Key Factors for Determining Groundwater Impacts Due to**
2 **Leakage from Geologic Carbon Sequestration Reservoirs**

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52 **ABSTRACT**

53 The National Risk Assessment Partnership (NRAP) is developing a science-based toolset for the
54 analysis of potential impacts to groundwater chemistry from CO₂ injection
55 (www.netldoe.gov/nrap). The toolset adopts a stochastic approach in which predictions address
56 uncertainties in shallow groundwater and leakage scenarios. It is derived from detailed physics
57 and chemistry simulation results that are used to train more computationally efficient models,
58 referred to here as reduced-order models (ROMs), for each component system. In particular,
59 these tools can be used to help regulators and operators understand the expected sizes and
60 longevity of plumes in pH, TDS, and dissolved metals that could result from a leakage of brine
61 and/or CO₂ from a storage reservoir into aquifers. This information can inform, for example,
62 decisions on monitoring strategies that are both effective and efficient. We have used this
63 approach to develop predictive reduced-order models for two common types of reservoirs, but
64 the approach could be used to develop a model for a specific aquifer or other common types of
65 aquifers.

66 In this paper we describe potential impacts to groundwater quality due to CO₂ and brine leakage,
67 discuss an approach to calculate thresholds under which “no impact” to groundwater occurs,
68 describe the time scale for impact on groundwater, and discuss the probability of detecting a
69 groundwater plume should leakage occur. To facilitate this, multi-phase flow and reactive
70 transport simulations and emulations were developed for two classes of aquifers, considering
71 uncertainty in leakage source terms and aquifer hydrogeology. We targeted an unconfined
72 fractured carbonate aquifer based on the Edwards aquifer in Texas and a confined alluvium
73 aquifer based on the High Plains Aquifer in Kansas, which share characteristics typical of many
74 drinking water aquifers in the United States. The hypothetical leakage scenarios centered on the
75 notion that wellbores are the most likely conduits for brine and CO₂ leaks. Leakage uncertainty
76 was based on hypothetical injection of CO₂ for 50 years at a rate of 5 million tons per year into a
77 depleted oil/gas reservoir with high permeability and, one or more wells provided leakage
78 pathways from the storage reservoir to the overlying aquifer. This scenario corresponds to a
79 storage site with historical oil/gas production and some poorly completed legacy wells that went
80 undetected through site evaluation, operations, and post-closure.

81 For the aquifer systems and leakage scenarios studied here, CO₂ and brine leakage are *likely* to
82 drive pH below and increase total dissolved solids (TDS) above the “no-impact thresholds;” and
83 the subsequent plumes, although small, are likely to persist for long periods of time in the
84 absence of remediation. In these scenarios, however, risk to human health may not be significant
85 for two reasons. First, our simulated plume volumes are much smaller than the average inter-well
86 spacing for these representative aquifers, so the impacted groundwater would be unlikely to be
87 pumped for drinking water. Second, even within the impacted plume volumes little water
88 exceeds the primary maximum contamination levels. These observations point to:

- 89 • The potential utility of the NRAP toolset to evaluate the risk of leakage and inform
90 monitoring and corrective action plans of a potential site for long-term CO₂ storage by
91 capturing storage reservoir, leakage pathway, and aquifer heterogeneity.
- 92 • The importance of establishing baseline groundwater chemistry that captures the pre-
93 injection variability of underground sources of drinking water (USDW) above the

- 94 reservoir because the EPA has adopted a “no net degradation” policy towards the
95 protection of groundwater resources.
- 96 • The need to test and develop spatially diverse monitoring techniques capable of detecting
97 leakage early to employ effective mitigation strategies, and more importantly to add
98 confidence to assessments used to evaluate the length of the post-injection site care. In
99 our study, the probability of detecting plumes using existing wells to sample the
100 groundwater chemistry was very low, because the plumes were relatively small in both
101 aquifers.
 - 102 • The need to develop methodologies that prevent and/or directly detect leakage prior to
103 reaching USDWs, because our simulations predict that even small amounts of CO₂ and
104 brine, when left unmitigated, can change USDW pH and TDS concentrations for long
105 periods of time.

106 **1. INTRODUCTION**

107 Deep underground storage of CO₂ from stationary sources, such as power plants and industrial
108 processes, is a promising strategy to limit the amount of CO₂ emitted into the atmosphere and to
109 mitigate the effects of global climate change (IPCC, 2005; NETL, 2012). Long-term storage of
110 CO₂ in deep underground reservoirs requires careful assessment of the reservoir integrity, well
111 and fault susceptibility for potential leakage pathways, and consideration of the impact of leaks
112 into the atmosphere or on shallow groundwater sources (Bachu, 2008; Herzog et al., 2003).

113 Potential impacts to groundwater quality are a focus for both state and federal regulatory
114 agencies, because leakage of brine and/or CO₂ into groundwater resources and subsequent
115 geochemical transformations may impact water quality. In the United States, the Class VI Rule
116 sets minimum federal technical criteria that injection of supercritical CO₂ in geologic reservoirs
117 are protective of underground sources of drinking water (USDW) that have less than 10,000 mg
118 L⁻¹ dissolved solids. The Class VI Rule and related documents are available at
119 http://water.epa.gov/type/groundwater/uic/wells_sequestration.cfm.

120 The Class VI injection well permitting process requires baseline geochemical information on
121 subsurface formations in the area of review (AoR) and the assessment of risk to water quality for
122 all USDWs within the AoR prior to injection. Additionally, testing and monitoring for signs of
123 leakage is required during the injection and post-injection phases above the confining zone and
124 within overlying USDWs. The default period for post-injection site care (PISC) is currently set
125 for 50 years, during which time operators are required to use periodic indirect (geophysical) and
126 direct (well water) data to assess if USDWs have been or are likely to be compromised. Current
127 guidelines on the duration of the PISC period are flexible and may be reduced if the operators
128 can illustrate that CO₂ and brine are contained and USDWs are protected.

129 The National Risk Assessment Partnership (NRAP) is developing a science-based toolset for the
130 analysis of potential impacts to groundwater chemistry from CO₂ injection
131 (www.netldoe.gov/nrap). The toolset adopts a stochastic approach in which predictions address
132 uncertainties in shallow groundwater and leakage scenarios. It is derived from detailed physics
133 and chemistry simulation results that are used to train more computationally efficient models,
134 referred to here as reduced-order models (ROMs), for each component of the system. In
135 particular, these tools can be used to help regulators and operators understand the expected sizes
136 and longevity of plumes in pH, TDS, and dissolved metals that could result from a leakage of
137 brine and/or CO₂ from a storage reservoir into aquifers. This information can inform, for
138 example, decisions on monitoring strategies that are both effective and efficient. We have used
139 this approach to develop predictive reduced order models for two common types of reservoirs,
140 but the approach could be used to develop a model for a specific aquifer or other common types
141 of aquifers.

142 The objectives of this publication are four fold:

- 143 • Present summary findings that describe potential impacts to groundwater quality due to
144 CO₂ and brine leakage

- 145 • Discuss an approach to calculate
- 146 thresholds under which “no impact” to
- 147 groundwater occurs
- 148 • Describe the time scale for impact on
- 149 groundwater
- 150 • Discuss the probability of detecting a
- 151 groundwater plume should leakage
- 152 occur

153 To facilitate this, we compared the impact of
 154 CO₂ and brine leakage on groundwater quality
 155 within two distinct classes of shallow aquifer
 156 systems given the same CO₂ storage reservoir
 157 and leakage pathways. We focused on shallow
 158 USDWs because they are resources that are
 159 currently in use. We targeted two classes of
 160 aquifers, which share characteristics typical of
 161 many drinking water aquifers in the U.S.: an
 162 unconfined fractured carbonate aquifer and a
 163 confined alluvium aquifer (Figure 1).

164 Multi-phase flow and reactive transport
 165 simulations and emulations were developed for
 166 these two classes of aquifers, considering
 167 uncertainty in leakage source terms and aquifer hydrogeology. The uncertain source term
 168 variables considered were: location and number of leaky wells, time-dependent brine/CO₂
 169 leakage rates at each well, and total dissolved solids (TDS) and trace metal concentrations of the
 170 leaking brine. Two well-studied aquifers, the Edwards aquifer in Texas and the High Plains
 171 Aquifer in Kansas, were used to represent hydrogeologic characteristics of carbonate and
 172 alluvium aquifers, respectively. Uncertainty in hydrogeologic properties was considered, as well.

173 Each simulation provided a spatially explicit, temporal evolution of a shallow groundwater
 174 plume. Due to dissolution of CO₂ in groundwater and advective transport of brine, the plumes
 175 are lower in pH, and higher in TDS and trace metal concentrations relative to background
 176 conditions. Changes in trace metal concentration due to reactions, such as decreases due to
 177 adsorption or increases due to pH-related desorption or dissolution were ignored for the purpose
 178 of simplification. Two types of metrics were considered: the volume of the plume as defined by
 179 concentrations that exceed 1) drinking water standards or 2) “background” thresholds. The latter
 180 metric requires statistical analysis of ample background water chemistry sampling at the site.

181 We created hypothetical leakage scenarios centered on the premise that abandoned wellbores are
 182 the most likely conduits for brine and CO₂ leaks. Leakage uncertainty was based on hypothetical
 183 injection of CO₂ for 50 years at a rate of 5 million tons per year into a depleted oil/gas reservoir
 184 with high permeability, and one or more wells provided leakage pathways from the storage
 185 reservoir to the overlying aquifer. The simulations capture variability within the storage
 186 reservoir, leakage pathway, and aquifer heterogeneity.

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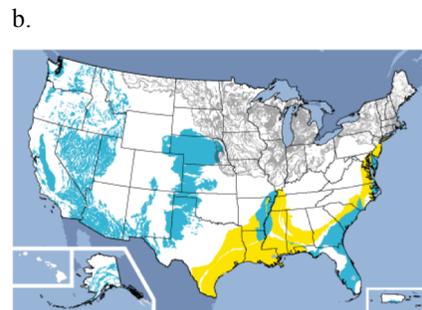
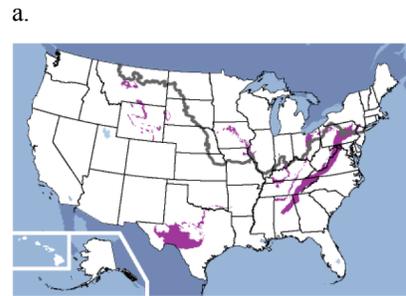


Figure 1. Locations of sand/carbonate (a, violet) and sand/gravel (b, cyan and yellow) shallow drinking waters mapped by the US Geological Survey. (<http://water.usgs.gov/ogw/aquiferbasics/>).

188 **2. METHODS**

189 Ultimately, the NRAP toolset will allow a complete stochastic assessment of carbon storage sites
190 using integrated assessment models (IAMs) that couple individual sub-models for potential
191 storage reservoirs, leakage pathways (such as wellbores or fractures), and groundwater aquifers.
192 Each of the underpinning stochastic models can be used separately to gain insights into the
193 behavior of specific components in the storage-site system. Although the IAMs are still under
194 development, we anticipate that a user will be able to develop and substitute site-specific sub-
195 models as desired to assess various components of the storage-site system to plan the injection
196 and post-injection site care activities. In the current work, we focus on the behavior of USDW
197 aquifers, using leakage-scenario inputs developed separately from specific reservoir and
198 wellbore sub-models.

199 Our analysis uses the results of between 500 and 700 high-fidelity reactive-transport simulations
200 of the physical and chemical processes that are likely to change groundwater quality if CO₂
201 and/or brine were to leak from storage reservoirs to USDW aquifers, as well as statistical
202 approximations generated by reduced-order models (ROMs) trained by detailed simulations. The
203 simulated concentrations were used to quantify the size and location of the plumes relative to
204 leakage sources (deep wellbores) and shallow groundwater receptors (drinking, agricultural, and
205 industrial wells) to base discussions on monitoring and corrective action plans that are needed for
206 the permitting of Class VI injection wells. The ROMs are needed to capture variability within the
207 storage, leakage, and USDW aquifer systems through more thorough sampling of the parameter
208 space and significantly faster simulation times to calculate the probability of a change in
209 groundwater chemistry. We used an uncertainty quantification code called PSUADE (Tong,
210 2005, 2010) to establish sampling points for the reactive-transport simulations, to conduct
211 parameter sensitivity analysis, and to train ROMs.

212 **2.1 STORAGE RESERVOIR**

213 The reservoir ROM is a look up table for the spatial and temporal distribution of CO₂ saturation
214 and pressure as function of variable permeability, porosity, pore compressibility, and van
215 Genuchten α and m for geologic layers in the storage and caprock formations (Table 1). It was
216 derived from 200 simulations of CO₂ injection using a geologic model developed for a potential
217 industrial-scale storage project in the Southern San Joaquin Basin near Kimberlina, California
218 (Zhou and Birkholzer, 2011; Wainwright et al., 2012). The geological structure and
219 hydrogeological parameters of various subsurface layers were determined from field data. The
220 storage formation, based on field data from the Vedder sandstone, was divided into six sand and
221 shale layers. The parallel version of TOUGH2 (Zhang et al., 2008) was used to simulate
222 CO₂/brine migration and pressure buildup within the CO₂ storage formation and
223 overlying/underlying formations. The simulation time includes an injection period of 50 years
224 with an injection rate of 5 Mt per year, and a post-injection period of 150 years.

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227**Table 1: Reference parameter values: horizontal permeability k_h , (± 10) anisotropy ratio k_v/k_h , porosity Φ ($\pm 30\%$), pore compressibility β_p (± 5), van Genuchten α (± 5) and m ($\pm 30\%$).**

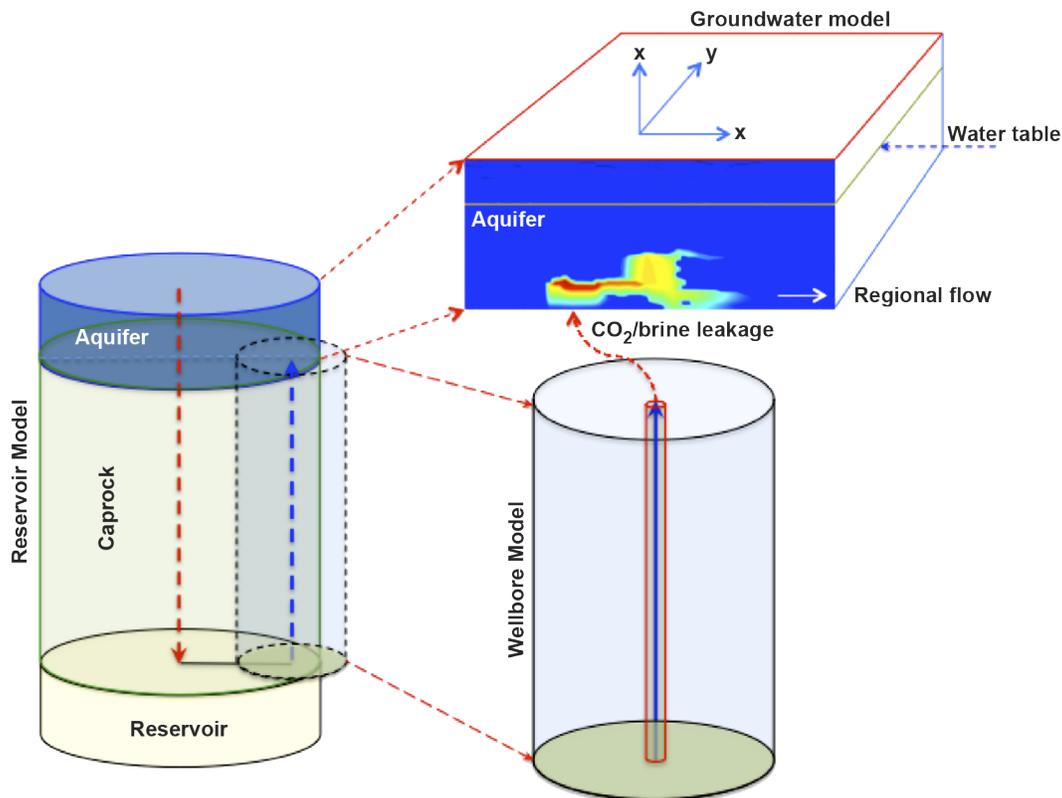
	Caprock	Vedder Sand	Vedder Shale
K_h, mD	0.002	Depth Dependent	0.1
K_v/k_h	0.5	0.2	0.5
Φ	0.338	Depth Dependent	0.32
$\beta_p, 10^{-10} Pa^{-1}$	14.5	4.9	14.5
$\alpha, 10^{-5} Pa^{-1}$	0.42	13	0.42
m	0.457	0.457	0.457

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229 **2.2 WELLBORE LEAKAGE**

230 In all the scenarios considered in this study, abandoned legacy wells were presumed to be the
 231 most likely source of leakage. This scenario would be consistent with a storage site with legacy
 232 wells from previous oil and gas operations that were not identified or remediated during site
 233 characterization or through monitoring at the site. The intent was to allow leakage in order to
 234 understand how the aquifers would respond should a failure occur, recognizing that these
 235 assumptions would not be expected for sites using best practices and operated under current class
 236 VI regulations. We did select “plausible” leakage scenarios under these conditions, such that the
 237 volumes of leaked brines and CO₂ were physically realistic.

238 Leaky wells were assumed to fully penetrate the caprock and connect the storage reservoir and
 239 the shallow aquifer. To generate a range of plausible wellbore leakage scenarios, simplified
 240 ROMs for the sequestration reservoir and leaky wellbore were linked. The predicted leak rates
 241 were then applied as a CO₂/brine source at the base of the aquifer, as shown in Figure 2. The
 242 wellbore leakage ROM considered uncertainty in wellbore permeability and depth (Jordan et al.,
 243 2013). This ROM used input from the storage reservoir ROM to link reservoir pressure and
 244 CO₂/brine saturation to the leakage rates. In all cases, CO₂ injection was assumed to cease after
 245 the first 50 years.



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Figure 2: Schematic showing the links between reservoir, well leakage, and aquifer models using the High Plains Aquifer case study. Links between reservoir, well leakage, and the Edwards Aquifer case study are identical.

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Assumptions about the location and number of leaky wells differed between the two simulation studies, but both studies considered a similar range of leakage rates and brine chemistry assuming wellbore permeability between 10^{-14} to 10^{-10} m² (Table 2). Typical CO₂ leakage rates were between 0.1 and 1 g s⁻¹ and cumulatively, represent less than 0.4% of the total mass of CO₂ injected in the reservoir. The rates are similar to those measured at Mammoth Mountain and Crystal Geyser (Lewicki et al., 2007; Wilson et al., 2007). A typical time-varying leakage scenario is shown in Figure 3.

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For the High Plains Aquifer study, the location of possible leaky wells was pre-determined using a database of 165 well locations. For each realization, between 1 and 5 leaky well location were selected randomly from those 48 wells located within a 5,000-meter radius of the injector. This percentage of wells (2–10%) spans the percentage (5%) of wells observed to have sustained casing pressure in the Canadian oil fields which was reported by Watson and Bachu (2009), who offered this as an expected rate should legacy wells in an oil/gas region be left unchecked.

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For the Edwards aquifer study, only one leaky well was considered, with a fixed location. This assumption allowed very fine grid resolution at the location of the leak. And like the High Plains study, consideration of variation in reservoir and leaky wellbore properties allowed a large number of leakage rates to be considered.

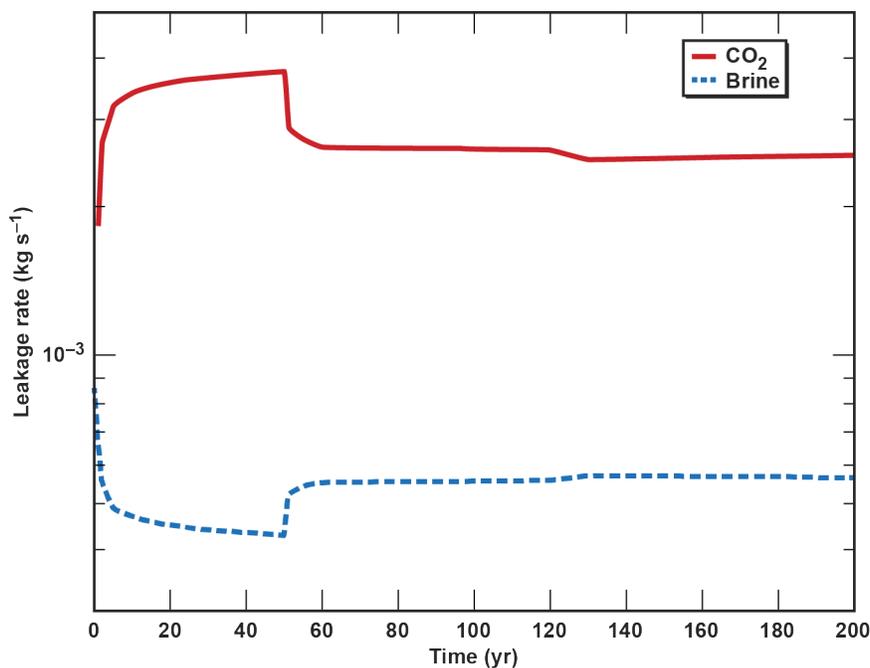
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We also considered uncertainty in brine chemistry. A brine chemistry database (www.natcarbviewer.org) was used to evaluate the possible range of sodium and chloride

269 concentrations in the brine, and an experimental study was used to evaluate the possible range of
 270 three trace metals (As, Pb, and Cd) (Karamalidis et al., 2013).

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Figure 3: Example CO₂ and brine leakage rates as functions of time.

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Table 2: Variable parameters and ranges sampled in the High Plains and Edwards studies

Parameter	High Plains		Edwards		Unit
	Minimum	Maximum	Minimum	Maximum	
CO ₂ leakage rate ¹	0.0	168	.00001	311	[g s ⁻¹]
Cumulative CO ₂ mass ¹	0.0	995	.00124	1840	kton
Brine leakage rate ¹	0.0	56	.0018	36	[g s ⁻¹]
Brine mass ¹	0.0	324	.0112	291	kton
NaCl	0.001	6.7	0.1	6.7	[mol L ⁻¹]
Arsenic ²	10 ^{-7.98}	10 ^{-5.87}	10 ^{-7.76}	10 ^{-5.94}	[mol L ⁻¹]
Cadmium ²	10 ^{-8.87}	10 ^{-6.43}	10 ^{-8.76}	10 ^{-6.94}	[mol L ⁻¹]
Lead ²	10 ^{-8.12}	10 ^{-4.74}	10 ^{-8.02}	10 ^{-6.19}	[mol L ⁻¹]

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¹Time dependence of CO₂ and brine leakage rates and masses were calculated from variations in wellbore permeability (10⁻¹⁴ to 10⁻¹⁰ m²).

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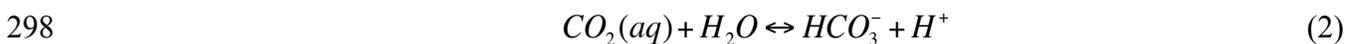
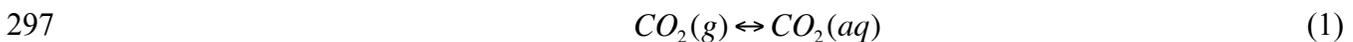
²Trace metal concentrations were sampled independently from NaCl concentrations for the High Plains study. For the Edwards study trace metals were varied as a constant ratio of Cl (molar concentrations).

2.3 MULTI-PHASE REACTIVE TRANSPORT OF CO₂ AND BRINE IN AQUIFERS

The two classes of aquifers studied were an unconfined fractured carbonate aquifer based on the Edwards Aquifer, Texas, and a confined aquifer with variable lenses of high permeable sands and low permeable silts based on the High Plains Aquifer, Kansas. We simulated the response of representative portions of these aquifers to CO₂ and brine leakage through wells from a CO₂ storage reservoir using multi-phase and multi-component reactive transport codes and calculated changes in pH, TDS, As, Cd, and Pb concentrations under a wide range of hydrogeologic conditions.

Computer codes NUFT (Nitao, 1998; Hao et al., 2012) and FEHM (Zyvoloski et al., 2011) were used for the confined alluvium aquifer and the unconfined carbonate aquifer, respectively. Both codes are highly flexible for modeling non-isothermal, multi-phase flow and reactive transport and have been extensively verified and used for a variety of subsurface flow and transport problems, including nuclear waste disposal, groundwater remediation, CO₂ sequestration and hydrocarbon production.

The reactive transport simulations include a limited amount of chemistry to account for changes in groundwater pH due to CO₂ dissolution, as well as dissolved sodium and chloride as indicators of TDS. The dissolution of CO₂ in groundwater promotes the following sets of reactions:



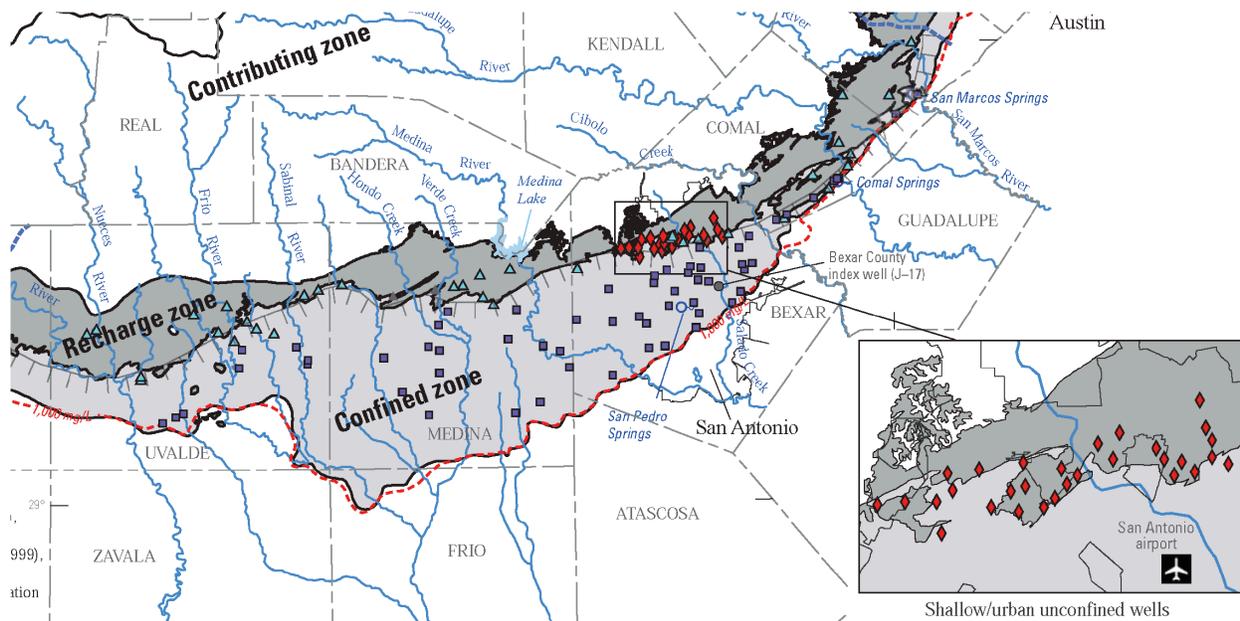
These reactions promote the acidification of the system, which is then buffered by calcite dissolution. We did not include reactions that might affect trace metal concentrations in the aquifer, such as decreases due to adsorption or increases due to pH-related desorption were ignored for the purpose of simplification. The trace metal plumes described below, therefore, only describe the fate of trace metals originating in the brine.

2.3.1 Unconfined Carbonate Aquifer

We studied the impact of possible leakage from sequestration reservoirs on water quality in carbonate aquifers, because a large percentage of the U.S. drinking water supply is derived from carbonate aquifers. To guide our numerical model construction, we selected a particularly well-characterized example: the carbonate Edwards Aquifer located in south-central Texas (Figure 4). This aquifer covers an area of more than 10⁵ km² (Painter et al., 2007). We focused on an unconfined portion of the aquifer near San Antonio. The San Antonio segment is one of the most productive karst aquifers in the world, and is the sole source water supply for more than 2 million people (Musgrove et al., 2010). Water levels and groundwater chemistry data from USGS reports for the San Antonio area were used to establish the local hydrologic gradient and background chemistry (Lindgren et al., 2004; Musgrove et al., 2010). The aquifer is composed of carbonate rocks of the Georgetown Formation and the Edwards Group (or their stratigraphic equivalents), which range in thickness from 121–152 m.

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321 **Figure 4: Location of unconfined portion of the Edwards Aquifer in south-central Texas.**

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323 The numerical model consisted of hydrostatic lateral boundaries, a water table equal to the local
324 hydrologic gradient of 7.5 Pa m^{-1} and a thickness of 150 m, which matched the upper end of the
325 aquifer's observed thickness. The lateral extent of the model, $8,000 \text{ km} \times 5,000 \text{ km}$, was
326 selected pragmatically to be as small as possible (to allow very small grid blocks), yet much
327 larger than any simulated groundwater plume. The computational mesh had variable grid spacing
328 consisting of small cells near the well ($\Delta x = \Delta y = 9 \text{ m}$, $\Delta z = 6 \text{ m}$), gradually increasing to larger
329 cells in the far field ($\Delta x = 200 \text{ m}$, $\Delta y = 300 \text{ m}$, $\Delta z = 20 \text{ m}$) to capture CO_2 buoyancy physics.
330 Using the range of model parameters described in Table 1, plumes never approached the lateral
331 boundaries of the model.

332 Aquifer heterogeneity in the Edwards is controlled by large and unpredictable variations in karst
333 features (Lindgren, 2006). We assumed random Gaussian variations in permeability, using mean,
334 variance, and correlation lengths determined for this aquifer by Painter et al. (2007) and
335 Lindgren (2006). Stochastic fields of heterogeneous permeability were generated using the pilot
336 point method and random Gaussian interpolation (Deutsch and Journel, 1992; Dai et al., 2007;
337 Harp et al., 2008). All nodes were assumed to have anisotropic intrinsic permeability. Models
338 allowed porosity to vary spatially along with permeability:

$$339 \quad k = a\phi^b \quad (4)$$

340 where, $k [\text{m}^2]$ is permeability, ϕ is porosity, and a and b are coefficients ($a=4.84 \times 10^{-10}$ and
341 $b=3$) (Bernabe et al., 2003; Deng et al., 2012). Ranges for uncertain rock parameters listed in
342 Table 3 represent our current understanding of system variability and could be redefined over an
343 alternate range to better describe characterization data from another site.

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Table 3: Uncertain parameters and their ranges for unconfined carbonate aquifer simulation

	Parameter		Minimum	Maximum	Unit
1	Permeability	Variance	0.017	0.79	[km ²]
2		Correlation length	1	3.95	[km]
3		Anisotropy	1.1	49.1	[-]
4		Mean	-13.5	-10.6	Log ₁₀ [m ²]
5	Mean porosity		0.05	0.34	[-]

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348 **2.3.2 Confined Alluvium Aquifer**

349 The High Plains Aquifer is representative of a sedimentary aquifer that might overlay a CO₂
350 storage reservoir. The aquifer, also known as the Ogallala aquifer, is one of the largest aquifers
351 in the world covering about 450,000 km² and spanning eight states in the Great Plains (Figure 5).
352 The aquifer accounts for approximately 27% of all irrigated land in the United States and about
353 30% of all groundwater used for irrigation (USGS, 2011). It is comprised mainly of
354 unconsolidated or partly consolidated silt, sand, gravel and clay rock debris deposited in the late
355 Miocene to early Pliocene period when the Rocky Mountains were tectonically active (Gutentag
356 et al., 1984).

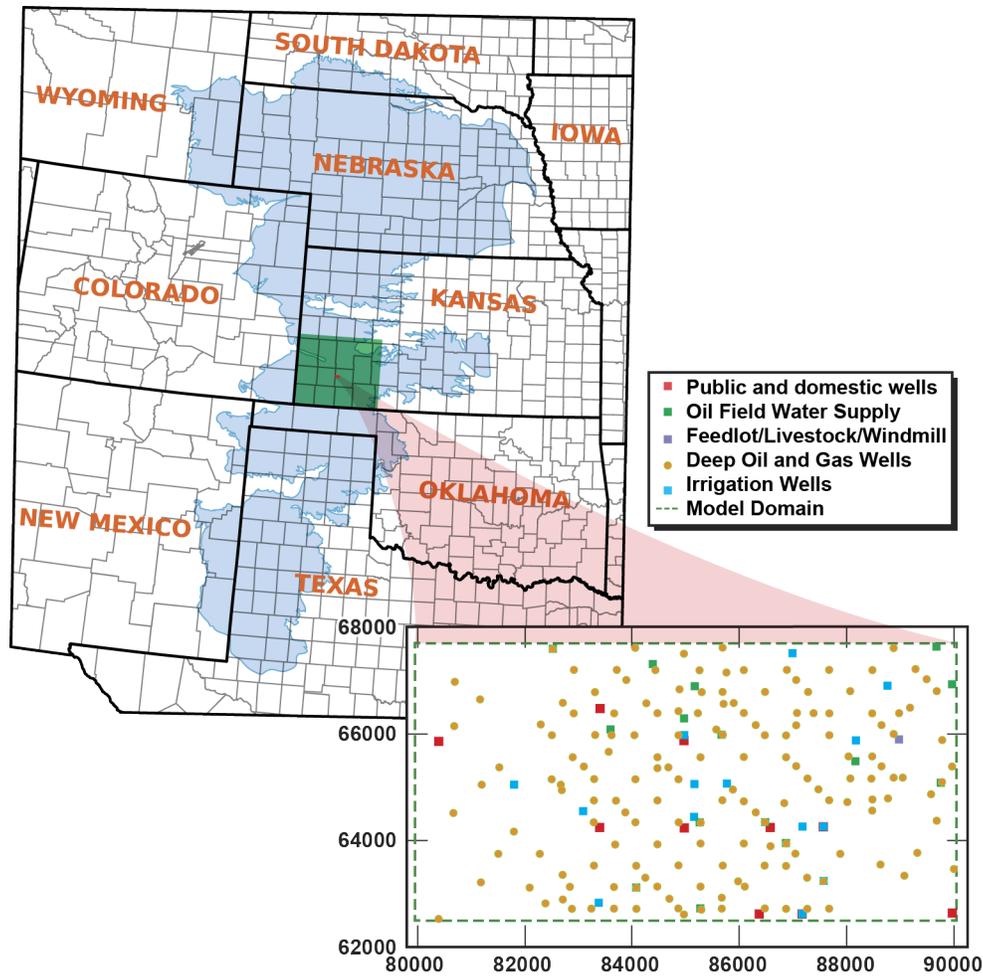


Figure 5: Location of alluvial High Plains Aquifer in Kansas.

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360 The top 300 m of the aquifer was used to develop a lithological model for the geological
 361 realizations of the numerical model. Lithologic descriptions were obtained from the Kansas
 362 Geological Survey’s Water Well Completion Record (WWC5) database (KGS, 2011). All
 363 simulations were based on a 10 km × 5 km domain that lies primarily in Haskell County and was
 364 selected due to the relatively higher density of lithological picks needed to represent the model
 365 depth of 240 m. A total of 468 lithological picks from 48 domestic, feedlot, irrigation, public
 366 water supply and oil field water supply wells were used to develop the geostatistically derived
 367 indicator models using the TPROGS software (Carle, 1999). Correlation lengths were derived
 368 from the transition probability approach. The correlation lengths in the x- and y-direction varied
 369 uniformly from 200–2500 m and the correlation length in z-direction varied uniformly from
 370 0.50–25.0 m. A total of 1,000 conditional geostatistical realizations were developed based on
 371 randomly selected material-volume fraction and correlation lengths using the PSUADE
 372 uncertainty quantification software package (Tong, 2005, 2010).

373 The 3-D numerical model domain captured the unsaturated and saturated zones of the
 374 heterogeneous High Plains Aquifer. The model domain extended to 10,000 m × 5,000 m × 240 m
 375 with 1 to 5 leakage sources placed at 198 m depth at known well locations. The orthogonal

numerical grid contained fixed cell widths in the x ($\Delta x = 100.0$ m), y ($\Delta y = 100.0$ m), and z ($\Delta z = 4.8$ m) directions. The grid dimensions were 100, 50, and 50 nodes in the x , y , and z directions, respectively, for a total of 250,000 nodes. Isothermal conditions were assumed with a generic temperature of 17°C in the entire domain. The uppermost portion of the model was set as atmospheric allowing for both saturated and unsaturated conditions. The east (minimum x) and west (maximum x) model boundaries were fixed in time. Hydrostatic-pressure gradients were achieved by changing the gravity vector. No-flow boundaries were assumed at the southern (minimum y), northern (maximum y) and bottom (minimum z) boundaries. A constant-pressure boundary condition was set on ground surface and at the aquifer bottom to maintain a hydrostatic initial condition with saturated and unsaturated zones. Regional groundwater flow was maintained by a 0.3% hydraulic gradient. Since the regional groundwater flow of the Great Plains Aquifer in southwestern Kansas flows eastward, the mesh is structured to accommodate flow in the predominant x -direction. The leakage source term was estimated from reservoir and wellbore ROMs (Jordan et al., 2013; Wainwright et al., 2012). Each simulation was executed for ~20–60 hours using the high performance computing facility at Lawrence Livermore National Laboratory (LNNL).

Ranges for uncertain rock parameters are listed in Table 4. Physical parameters, including porosity, density, permeability and van Genuchten unsaturated parameters, were taken from the USDA Rosetta database (Schaap et al., 2001).

Table 4: Uncertain parameters and their ranges for alluvium aquifer simulation.

	¹ Parameter	Minimum	Maximum	Unit
1	Sand volume fraction of aquifer	0.35	0.65	[-]
2	Correlation length of aquifer in x	200.0	2500.0	[m]
3	Correlation length of aquifer in z	0.50	25.0	[m]
4	Sand permeability of aquifer	-13	-10	$\text{Log}_{10}[\text{m}^2]$
5	Clay permeability of aquifer	-18	-15	$\text{Log}_{10}[\text{m}^2]$

¹Mean values for correlation length in $y = 1350$ m, sand and shale porosity of 0.38 and 0.47, sand and shale van Genuchten m of 0.66 and 0.19, sand and clay van Genuchten $\alpha = 5.6234 \times 10^{-5}$ and $1.5136 \times 10^{-5} \text{ Pa}^{-1}$, and CO_2 diffusivity $10^{-9} \text{ m}^2 \text{ s}^{-1}$

2.4 IMPACT THRESHOLDS

The simulations were used to calculate the volume of groundwater within the shallow aquifers that exceeds certain water quality thresholds. We considered two thresholds in this study, as defined in Table 5 and developed by Last et al. (2013): “no impact” and maximum contaminant level (MCL) thresholds. The no-impact thresholds represent the lowest detectable concentrations above the background water chemistry that could be used to quantify a change in groundwater chemistry due to CO_2 or brine leakage, and were calculated as the 95%-confidence, 95%-coverage tolerance limit from data sets specific to each aquifer type. A key feature of the data presented in Table 5 is that the no-impact thresholds are much closer to the initial water chemistry in the carbonate aquifer case than the sands aquifer case. This may reflect differences in site-specific data used to define the initial model chemistry and the data used to estimate the no-impact thresholds. For the carbonate aquifer, the background thresholds were based on temporal data within or immediately adjacent to the model domain for the unconfined portion of

412 the Edwards Aquifer (Musgrove et al., 2010). For the confined alluvium aquifer, the background
 413 thresholds were based on a 2010 USGS groundwater survey of 30 wells within the High Plains
 414 Aquifer from an area outside of the lithologic model site. The high no-impact threshold for the
 415 High Plains Aquifer reflects spatial and temporal variability sampled by the survey. It was
 416 necessary to use these data because spatial and temporal data were not available from within the
 417 model domain.

418 The MCL threshold refers to concentrations that exceed primary or secondary maximum
 419 contaminant levels designated by the U.S. EPA (2009). Primary drinking water standards are for
 420 trace metals (such as As, Cd, Cr, Cu, and Pb, among others) and are legally enforced for the
 421 protection of public health by limiting the levels of contaminants in drinking water. Secondary
 422 drinking water standards (which include standards for Fe, Mn, and Zn) are non-enforceable
 423 guidelines regulating contaminants that may cause cosmetic or aesthetic effects in drinking
 424 water.

425 **Table 5: Initial aquifer concentrations used in the simulations, no-impact and MCL (EPA, 2009) thresholds**
 426 **reported in Last et al. (2013).**

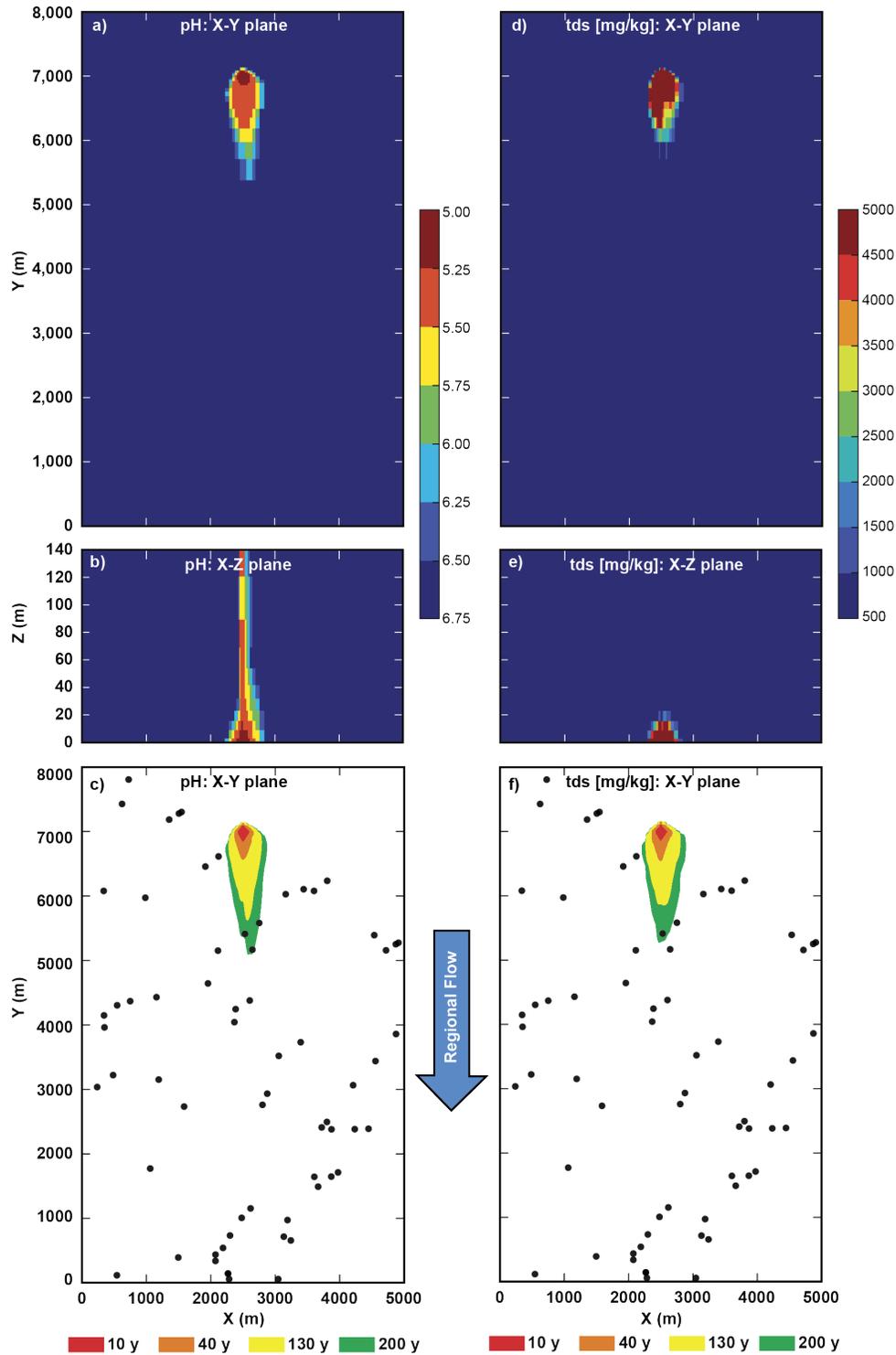
Analyte	Unconfined Carbonate Aquifer (Edwards Aquifer)		Confined Unconsolidated Sands Aquifer (High Plains Aquifer)		U.S. EPA Regulatory Standard
	Initial Model	No-Impact Threshold ^a	Initial Model	No-Impact Threshold ^a	MCL Threshold ^a
pH	6.9	6.6	7.6	6.625 ^f	6.5
Total Dissolved Solids	330	420 mg L ⁻¹	570 mg L ⁻¹	1300 mg L ^{-1b}	500 mg L ^{-1c}
Arsenic	0.31	0.55 µg L ⁻¹	1.5 µg L ⁻¹	9.3 µg L ⁻¹	10 µg L ⁻¹
Cadmium	0.00	0.04 µg L ⁻¹	0.059 µg L ⁻¹	0.25 µg L ⁻¹	5 µg L ⁻¹
Lead	0.06	0.15 µg L ⁻¹	.086 µg L ⁻¹	0.63 µg L ⁻¹	15 µg L ⁻¹

- 427 (a) 95%-confidence, 95%-coverage tolerance limit based on log values except for pH, which is already a log value.
 428 (b) Threshold value exceeds regulatory standard, however using the regulatory standard may result in widespread
 429 false positives under field conditions.
 430 (c) Value is about 0.5 pH units lower than no-impact threshold estimated by Last et al. (2013) because ROMs at
 431 higher threshold produced non-physical results.

432

433 **3. CHANGES TO GROUNDWATER QUALITY**

434 CO₂ and brine leakage into shallow aquifer resources can change the groundwater chemistry to
435 values above the no-impact and MCL thresholds. pH and TDS plume distributions over the 200-
436 year simulation period were, in large part, controlled by the distinct lithology of the respective
437 aquifers, as is illustrated for single realizations for the High Plains and Edwards aquifers in
438 Figures 6 and 7. This is especially true for the pH plume because it is tied to the transport of CO₂
439 gas in the aquifer systems through chemical solubility (Equations 1–3). The unconfined nature of
440 the carbonate Edwards Aquifer allows buoyant CO₂ gas to transport vertically from the leakage
441 source term to the atmosphere with some advection in the direction of groundwater flow. Once
442 the plume reaches the water table, the flux rate of CO₂ across the water table rapidly reaches
443 steady-state and matches the flux of CO₂ from the leaking wellbore (Figure 8). In contrast,
444 variable lenses of permeable sands and impermeable shale, characteristic of the High Plains
445 Aquifer, limit the vertical transport of CO₂ gas and yield plumes that are largely relegated to the
446 lower permeable sand units within the aquifer, where only a small fraction of the CO₂ leaked into
447 the aquifer is transported to the vadose zone above the water table (0.01 and 0.1%).



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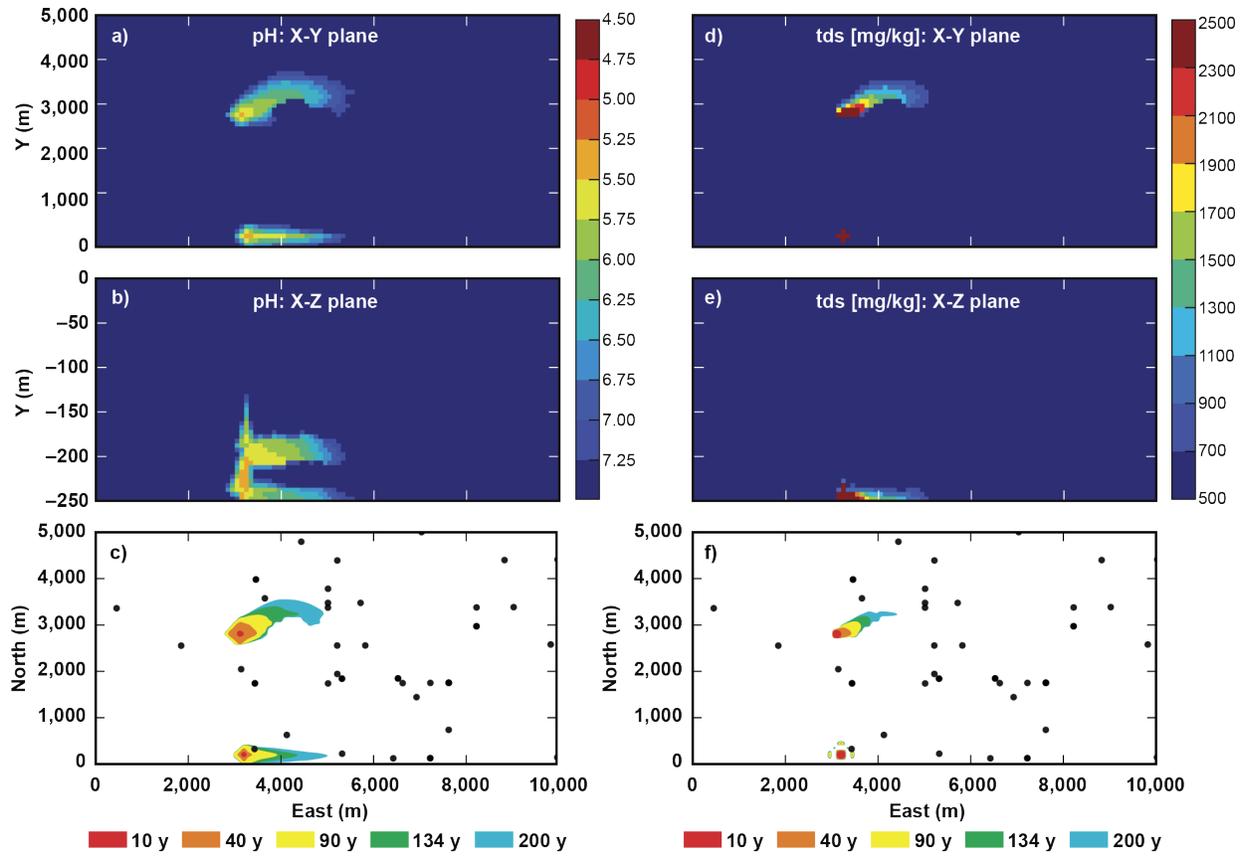
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Figure 6: Color contour plots of pH and TDS at 200 years in plan view (XY) and cross section (XZ) (a,b,d,e) and the no-impact thresholds projected against shallow well locations (black dots) for a single simulation of the Edwards Aquifer (c,f). Groundwater flow is in the Y direction (North to South).



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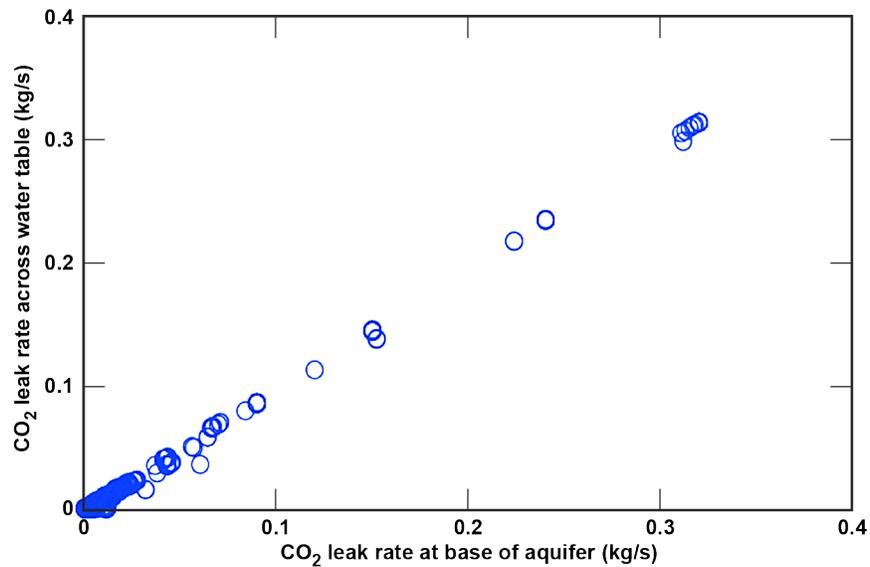
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Figure 7: Color contour plots of pH and TDS at 200 years in plan view (XY) and cross section (XZ) (a,b,d,e) and the no-impact thresholds projected against shallow wellbore locations (black dots) for a single simulation of the High Plains Aquifer (c,f). Groundwater flow is in the X direction (East).

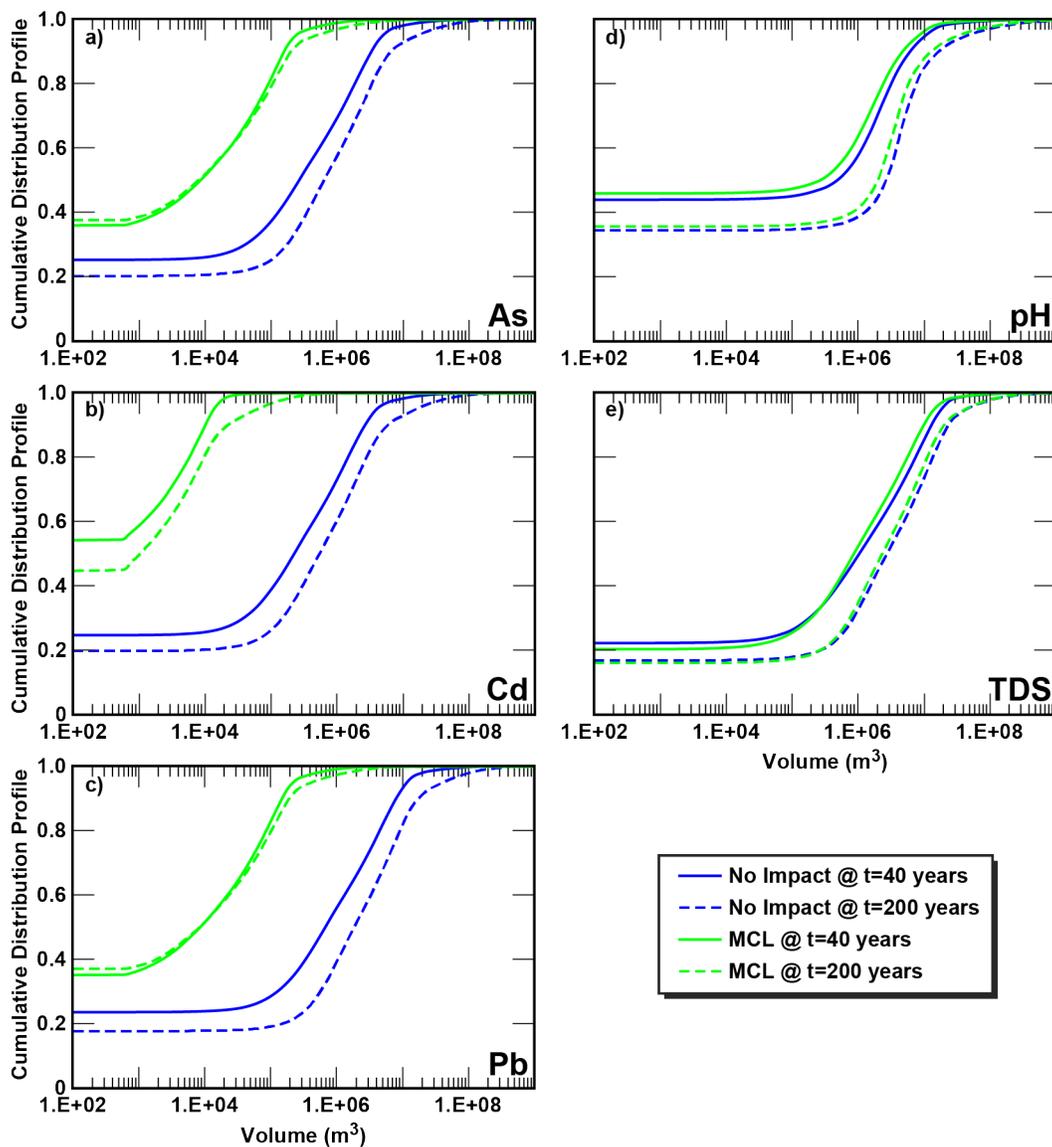


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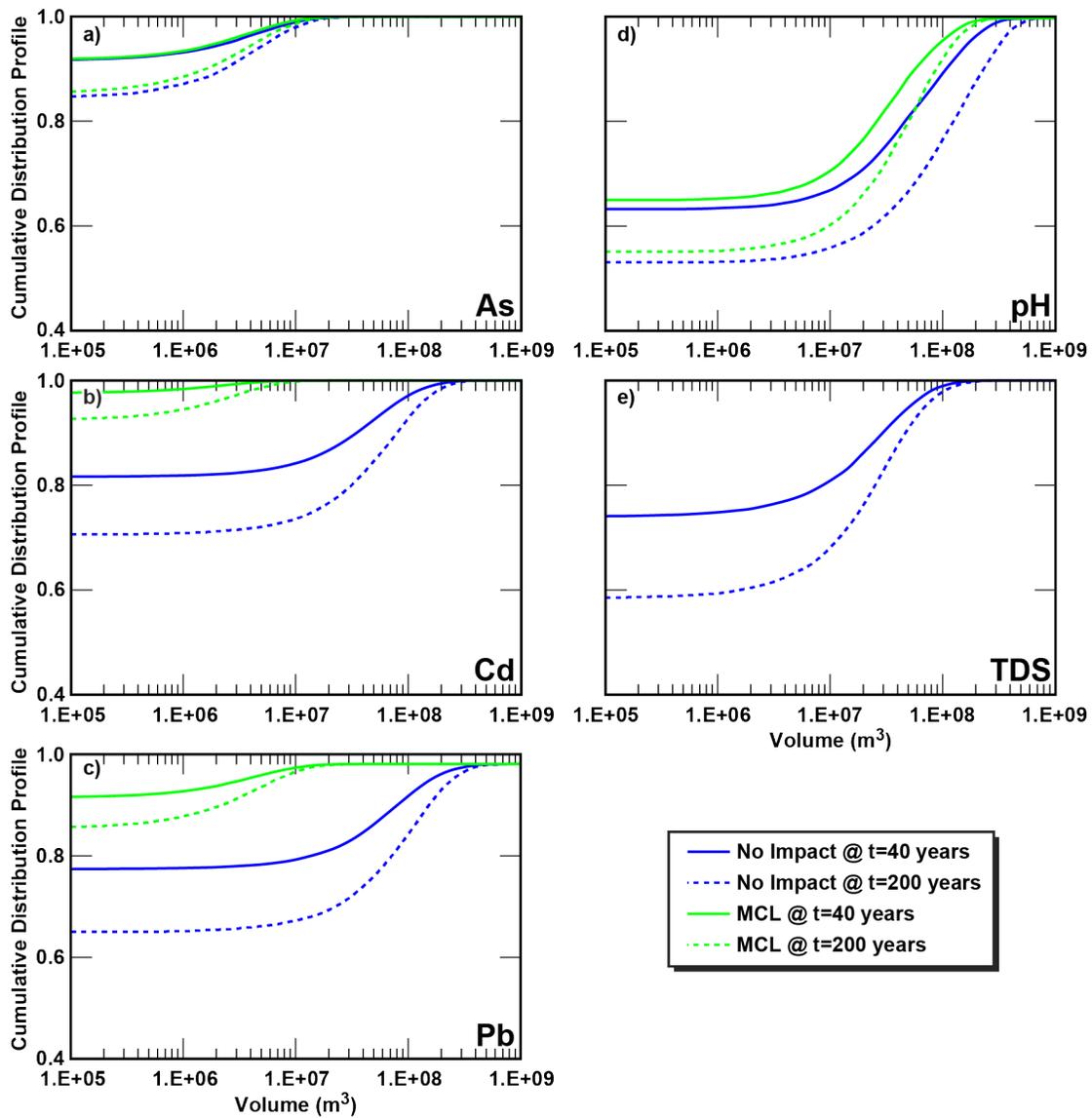
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Figure 8: Correlation of CO₂ leakage rate in and out of the water table for the Edwards Aquifer.



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Figure 9: Unconfined carbonate (Edwards) aquifer: Comparison of plume volumes after 200 years of wellbore leakage for the MCL and no-impact thresholds for (a) As, (b) Cd, (c) Pb, (d) pH, and (e) TDS.



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Figure 10: Confined alluvium (High Plains) aquifer: Comparison of plume volumes after 200 years of wellbore leakage for the MCL and no-impact thresholds for (a) As, (b) Cd, (c) Pb, (d) pH, and (e) TDS.

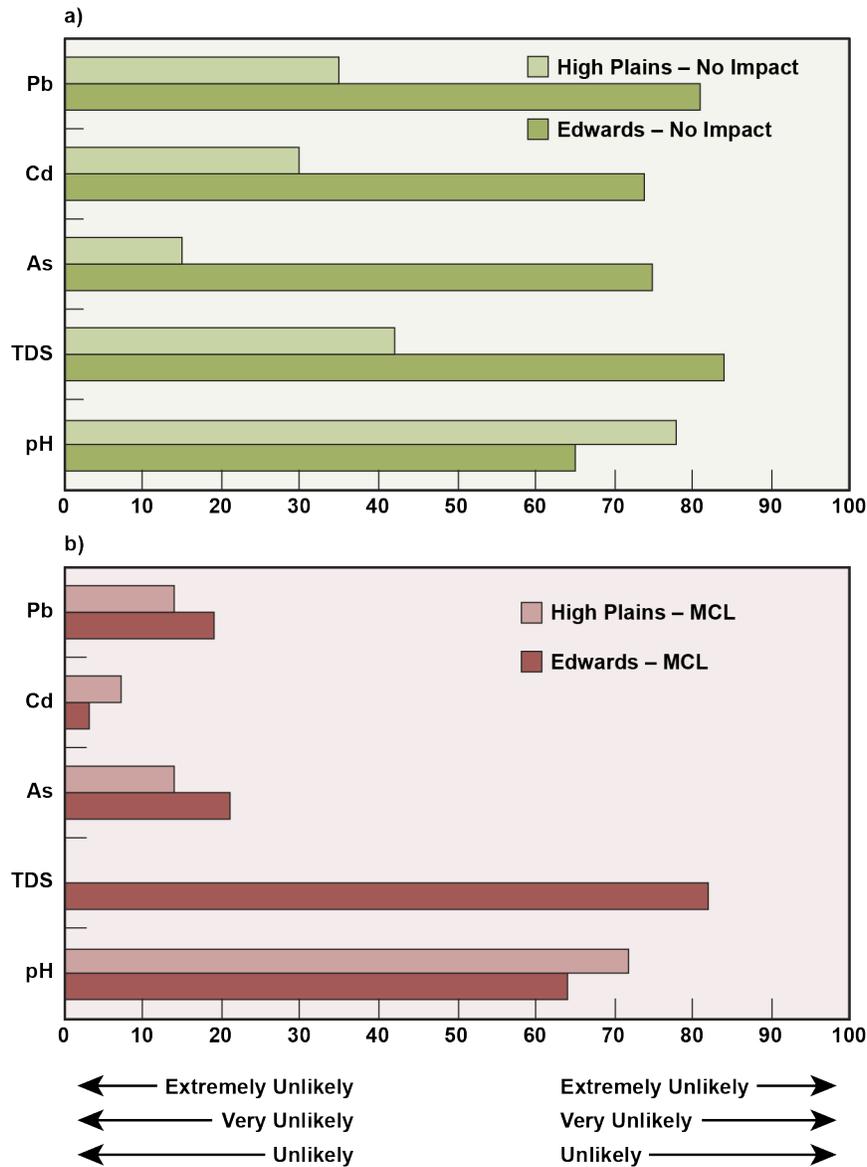
470 Images, such as those shown in Figures 6 and 7, highlight the role that aquifer characteristics
471 play on the spatial and temporal distribution of groundwater plumes, but only for a single
472 realization. In total, more than 500 and 700 detailed reactive transport simulations were
473 performed to fully capture knowledge gaps and natural system variability for the Edwards and
474 High Plains aquifers, respectively. The results measure our current understanding of the
475 contribution of CO₂ and brine leakage on groundwater quality given the inherent uncertainty in
476 the storage reservoir, leakage pathways, and dilute aquifer for these model systems. Figures 9
477 and 10 plot the cumulative distribution of emulated plume volumes at representative time
478 intervals for CO₂ injection (40 years) and post injection (200 years) for pH and TDS thresholds
479 for the unconfined carbonate (Edwards) and confined alluvium (High Plains) aquifers when
480 exposed to the same leakage scenarios (MCL TDS for the alluvium aquifer was below the
481 baseline value and is not plotted). The unconfined aquifer plot extends to smaller plume volumes
482 (10^2 m³) because of the smaller grid size and consequent ability to resolve smaller plumes.

483 We use the results and probability of occurrence ranges to forecast the likelihood that leakage
484 will impact groundwater quality over 200 years (Figure 11). We use 10^5 m³ as the lowest
485 volume threshold so that the results of the two models can be compared, regardless of grid size.
486 The probability of occurrence is shown against the no-impact and the MCL thresholds for each
487 aquifer. There is a higher probability of exceeding the no-impact threshold for the unconfined
488 carbonate aquifer than the alluvium aquifer because the thresholds in these examples are much
489 lower and closer to the initial model chemistry for the Edwards aquifer than for the High Plains
490 aquifer. Leakage is *likely* to result in a statistically significant change of the trace metal
491 concentrations pH and TDS for the Edwards example. Whereas, leakage is only *likely* to cause a
492 statistically significant change to groundwater pH for the High Plains example; changes in TDS
493 and Pb have an *even chance of occurring*, and changes in Cd and As concentrations are *unlikely*.
494 Forecasts of groundwater quality measured against no-impact thresholds are site specific and
495 cannot be transferred to similar aquifer sites, because the site threshold depends on spatial and
496 temporal variability as well as the absolute concentration.

497 Groundwater impacts to unconfined carbonate and confined alluvium aquifers are comparable
498 when measured against MCL thresholds, with leakage *likely* to change pH and TDS
499 concentrations above the thresholds. Of importance for this MCL-based metric is that probability
500 of occurrence for trace metal impacts is *unlikely* to *extremely unlikely* to occur. Furthermore,
501 detailed geochemical modeling of the aquifers that included inputs from both the reservoir and
502 USDW showed trace metal concentrations could be reduced by uptake onto the aquifer
503 sediments (Bianchi et al., 2013; Bacon, 2013).

504 The likelihood ranges are useful, because they forecast the gross performance of the storage
505 system, but they do not convey information on the size or the evolution of the plume with time.
506 We refer the reader back to the emulated volumes shown in Figures 9 and 10 to discuss the time
507 scale of groundwater impacts if leakage were to occur. Recall that all emulated groundwater
508 plumes result from the injection of 5 million tons of CO₂ per year for 50 years in which leakage
509 is allowed to occur in up to 10% of the wells with variable permeability (10^{-14} to 10^{-10} m²) with
510 no option to mitigate the leak if it were detected. The pH plumes continue to increase because of
511 buoyancy driven CO₂ transport and because smaller more acidic pH plumes are diluted through
512 natural recharge and dispersion towards the more neutral thresholds, as are the TDS plumes. The
513 emulations show a 10-fold increase in plume volume between the injection and post injection
514 periods, on average, from 40 to 200 years. Because impacts to shallow groundwater chemistry,

515 as measured changes in pH and TDS above pre-injection values, can be sustained for long
 516 periods of time, it is important to detect and mitigate leakage sources as early as possible.



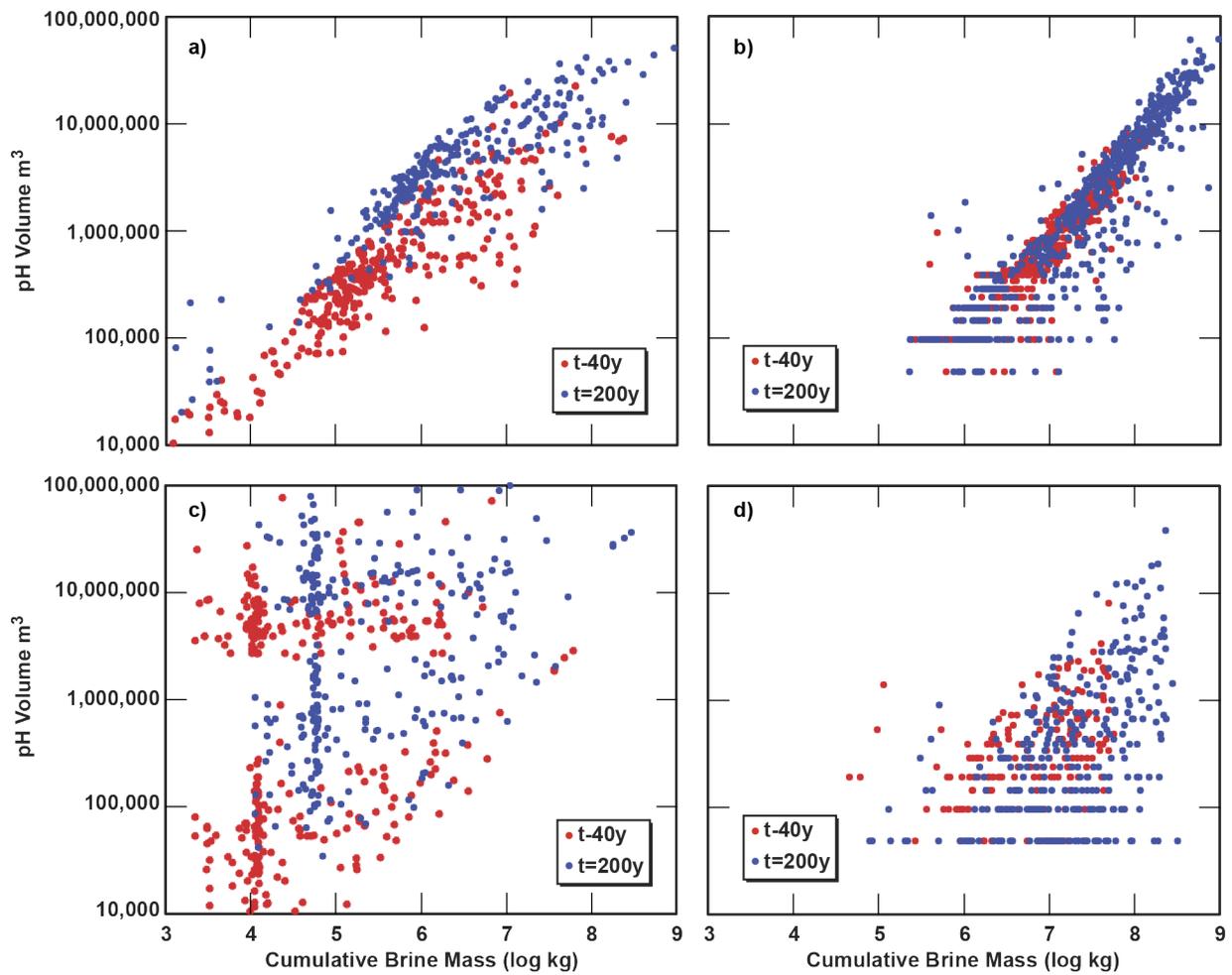
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 518 **Figure 11: Comparison of the probability of occurrence of emulated plumes for the leakage scenarios**
 519 **investigated for the High Plains alluvium and Edwards carbonate aquifers that exceed the no-impact (a) and**
 520 **MCL (b) thresholds for pH, TDS, As, Cd, and Pb for volumes greater than 10^5 m^3 against the likelihood**
 521 **ranges for expressing the probability of occurrence.**

522 Figure 12 plots pH and TDS plume volumes for no-impact thresholds against the cumulative
 523 mass of CO_2 and brine leaked into the unconfined carbonate and confined alluvium aquifers at
 524 40 and 200 years after the initiation of CO_2 injection. Plume volume is largely dependent on the
 525 mass of CO_2 or brine that leaks into the aquifer (where TDS concentration is also important). Up
 526 to one million tons of CO_2 and brine leaked into the aquifers and produced plume volumes as
 527 large as 100 million cubic meters (10^8 m^3). In both the Edwards and High Plains models, the
 528 amount of leaked CO_2 and brine comprised a very small fraction ($\leq 0.4\%$) of the CO_2 injected

529 into the storage reservoir (250 million tons) and reservoir brine in the area of review even after
530 200 years of simulation.

531 We use the data in Figure 12 to estimate leakage bounds that do not result in a measureable
532 change in the groundwater composition (defining plume volume $> 10^5 \text{ m}^3$). The lower bound for
533 pH plume volumes is about 100–1,000 tons of CO_2 for the unconfined carbonate or confined
534 alluvium aquifer examples. Similar lower bounds on CO_2 leakage for the two different aquifers
535 can be explained by buffering capacity of carbonate minerals in both systems and by the nearly
536 identical no-impact thresholds for each system. There is a more marked difference for the lower
537 bounds for brine leakage for the two aquifers, largely because the no-impact thresholds differ
538 significantly. The simulated results indicate that leakage as small as 1–10 tons could result in a
539 measurable change in the carbonate aquifer with a $\text{TDS}_{\text{no impact threshold}} = 420 \text{ mg L}^{-1}$. Whereas the
540 lower bound for the alluvial aquifer was about 100–1,000 tons of brine because this particular
541 aquifer has a higher no-impact threshold ($\text{TDS}_{\text{no impact threshold}} = 1300 \text{ mg L}^{-1}$). Establishing a given
542 aquifer's leakage tolerance requires a thorough assessment of the pre-injection chemistry at the
543 site that accounts for variability of current land use practices. In some cases, a high-density of
544 data may be available within the model domain, as was the case for the Edwards aquifer used as
545 the basis for the unconfined aquifer in this study. However this was not the case for the High
546 Plains aquifer where the no-impact threshold was based on data collected over a very large
547 region and consequently sampled greater variability.

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Figure 12: pH (a, b) and TDS (c, d) no-impact plume volumes plotted versus cumulative mass of CO₂ and brine leaked into the unconfined carbonate aquifer (a, c) and the confined alluvium aquifer (b, d), where red and blue symbols indicated plume volumes 40 and 200 years after CO₂ injection has started.

554 **4. DETECTION OF GROUNDWATER PLUMES**

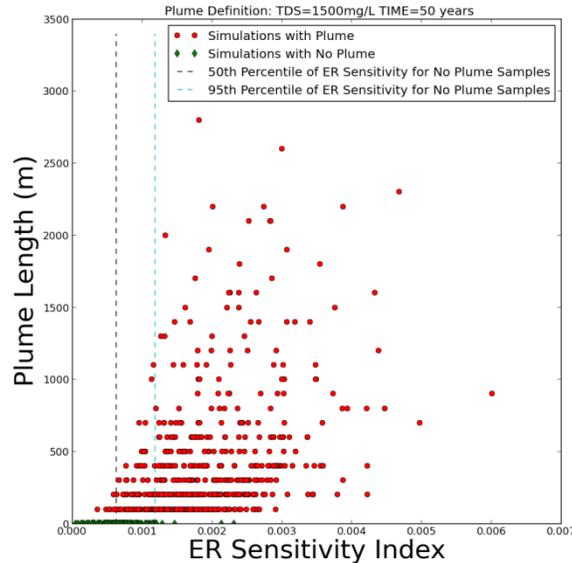
555 Figures 9, 10, and 12 suggest that relatively small amounts of CO₂ and brine leaked from the
 556 storage reservoir can result in a measureable change to the shallow aquifer chemistry. Despite
 557 the nominally large range of plume volumes, our computations show the probability of detecting
 558 the plumes using the available shallow water infrastructure is *extremely to very unlikely* over the
 559 entire 200-year period (Table 6). This determination was made using the simulated results
 560 because it allowed individual leakage source points to be compared with individual shallow
 561 groundwater receptors. Our conceptual model contains 165 deep wells, of which 49 serve as
 562 potential leakage source terms because they penetrate the area of review. Actual shallow
 563 groundwater receptors consist of 128 drinking wells in the carbonate aquifer; and 48 drinking,
 564 agricultural, and industrial wells for the confined alluvial aquifer. Receptor density is about 2.6
 565 wells per km for the carbonate aquifer's model domain and 1 well per km for the alluvial
 566 aquifer's model domain. The analysis assumes that shallow wells are screened from the top to
 567 the bottom of the aquifer could detect a plume at any depth.

568 **Table 6: Percent probability that any of the shallow aquifer wells will contain groundwater above the no-**
 569 **impact thresholds over the 200-year period. Actual shallow well locations are specific to the Edwards and**
 570 **High Plains areas shown in Figure 5 and 6. Deep well locations are the same for both systems.**

Aqueous Component	Unconfined Carbonate Aquifer (Edwards)	Confined Alluvium Aquifer (High Plains)
pH	4.3%	9.6%
Total Dissolved Solids	3.8%	5.0%
Arsenic	1.7%	1.7%
Cadmium	1.4%	0.6%
Lead	2.8%	0.7%

571 Clearly, to increase the likelihood of detecting changes in groundwater chemistry a much higher
 572 density of shallow wells would be needed. Moreover, groundwater sampling is unlikely to be a
 573 reliable early leak detection strategy. Alternatives should be considered, including geophysical
 574 techniques such as electrical resistance (ER) data that samples regions in between monitoring
 575 wells using surface arrays of electrodes. Trainor-Guitton et al. (2013) computed an ER
 576 sensitivity index for a suite of groundwater simulations to assess ER's sensitivity to plume and
 577 non-plume results, where the sensitivity index is a mean log ratio of the electrical response at
 578 two different times. In other words, the ratio is the electrical response at a time after CO₂
 579 injection scaled by the electrical response at time = 0 (before CO₂ injection). In general, Trainor-
 580 Guitton et al. found that the sensitivity of electrical resistivity depends on both the aspect ratio
 581 (the plume's dimension versus depth) and plume's TDS concentration. The study demonstrates
 582 the trade-off introduced when using a geophysical technique: it provides better areal coverage
 583 (between wells) without the expense of drilling boreholes, but there is a possibility of "false
 584 negatives" or "false positives" of plume occurrence because the groundwater is not sampled
 585 directly. This is demonstrated in Figure 13, where plume length (plume defined as TDS ≥ 1500
 586 mg L⁻¹) is plotted versus the ER sensitivity index. Ambiguity in the remote sensing data exists
 587 because samples with no plume (green) yielded a positive index. Both plume and non-plume
 588 simulations can produce the same ER sensitivity as seen in the area between the dashed lines
 589 corresponding to the 50th and 95th percentile of ER sensitivity for non-plumes. A reasonable

590 threshold for detectability would be for all plumes with ER sensitivity ≥ 0.0012 (to the right of
 591 the 95th percentile - cyan line) corresponding to plumes between 100 and 3,000 m in length.
 592 Although this technique may not be able to resolve the diffuse boundary defined by the no-
 593 impact threshold, it would be able to detect more concentrated brines within the plume. Once
 594 detected, monitoring wells could be drilled to target the plume and assess the ability to mitigate
 595 the leak and the need for corrective actions.



596
 597 **Figure 13: Plot of ER sensitivity index versus the plume length. Vertical lines represent the 50th and 95th**
 598 **percentile of the ER sensitivity index for simulations with non-plumes. ER will identify with high likelihood**
 599 **plumes that are $\geq 1,000$ m in length (all samples to the right of the cyan vertical line). The samples between**
 600 **these two lines represent the most ambiguity in the ER signal.**

601 Above-zone pressure measurements have also been suggested as an effective means to detecting
 602 leakage because pressure signals travel fast and can be collected continuously at relatively low
 603 cost. Leakage simulations into shallow groundwater suggest that leakage rates comparable to
 604 those studied here can lead to small changes in down-hole pressure (1–5 psi) and can be detected
 605 at wellbore spacing between 100 and 500 m away from the leaking well (Sun et al., 2013; Sun
 606 and Nicot, 2013). Continuous pressure testing of monitoring wells may provide early detection
 607 of leakage into shallow groundwater.

608

609 **5. IMPLICATIONS FOR MONITORING**

610 The U.S. EPA Class VI well permitting process for CO₂ storage requires that the area of review
611 and risk to overlying USDW resources be assessed. Simulation and emulation studies that
612 capture the storage reservoir, leakage pathways, and aquifer heterogeneity can be used to
613 evaluate effective monitoring strategies of a potential storage site. Identification of possible
614 leakage rates, coupled with predictions of plume volumes, can be used to identify potential
615 monitoring and corrective action strategies should leakage from the storage reservoir occur. In
616 the case of our two aquifer examples, the models assumed a fixed 50-year injection period,
617 variable wellbore leakage pathways to either an unconfined carbonate aquifer or a confined
618 alluvium aquifer, and that no corrective actions were made to the leaking wells for the 200-year
619 simulation period. In the discussion that follows, we refer to results measured against the no-
620 impact thresholds, as they represent the earliest point at which a detectable change in
621 groundwater quality can be measured in the aquifer systems studied here.

622 The U.S. EPA has adopted a no net degradation policy for managing groundwater resources.
623 Therefore, it is extremely important to establish a given USDW's baseline chemistry, as this
624 baseline data can be used to develop no-impact threshold values for the site. The no-impact
625 thresholds calculated as part of this study were demonstrated to be site specific. Key differences
626 in the calculated values between the two sites were due to a combination of aquifer properties, as
627 well as by the availability of existing spatial and temporal groundwater data on which the no-
628 impact threshold was based. In the case of the Edwards aquifer, sufficient data were available
629 from wells located within the model domain. However this was not the case for the High Plains
630 aquifer, where the no-impact threshold values were based on data collected over a very large
631 region. If no-impact thresholds are used to define plumes, aquifers with substantial temporal and
632 spatial variability in water quality will have smaller plumes that will be more difficult to detect.
633 Despite vertical transport of CO₂ out of the unconfined carbonate aquifer, the probability of
634 impact to groundwater quality is higher than for the unconfined carbonate aquifer because the
635 pre-injection chemistry is lower and the natural variability is smaller.

636 Although CO₂ and brine leakage are *likely* to drive pH below and increase TDS above the no-
637 impact thresholds for both aquifers evaluated, the size of the plumes is small relative to spacing
638 of the current network of wells in both the unconfined (2.6 wells/km²) and confined (1 well/km²)
639 aquifers. There is a very low probability that the plumes would intersect USDW wells in the two
640 study areas and in other areas with similar receptor density, based on our initial simulations and
641 current understanding of parameters for both shallow aquifer systems. This result points to the
642 need to test and develop spatially diverse, yet robust, monitoring techniques capable of detecting
643 leakage early, which can be used to add confidence to data generated through typical
644 groundwater assessments.

645 Some period of post-injection site care is required. Our simulations predict that even small
646 amounts of CO₂ and brine, when left unmitigated, can change USDW pH and TDS
647 concentrations for long-periods of time. The difficulty is deciding the time period, because it
648 could take many years to directly observe the impacted waters in monitoring wells. The focus of
649 this study was on using simulations to predict potential impacts within shallow USDWs, not on
650 identifying methods for early leakage detection. Future efforts will focus on understanding how
651 early detection and mitigation of leaks impacts plume volume and the time required for the
652 aquifer chemistry to rebound to pre-leakage conditions.

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